

**UNITED STATES OF AMERICA
BOARD OF GOVERNORS OF THE FEDERAL RESERVE SYSTEM
WASHINGTON, D.C.**

In the Matter of)	
)	
MAI LY-VU)	
)	Docket Nos. 19-018-E-I
A former institution-affiliated party of)	19-018-B-I
PACIFIC PREMIER BANK)	
Irvine, California, a state member bank)	

ORDER OF PROHIBITION AND RESTITUTION

WHEREAS, pursuant to sections 8(e) and 8(b)(6)(A) of the Federal Deposit Insurance Act, as amended, (the “FDI Act”) (12 U.S.C. §§ 1818(e), (b)(6)(A)), the Board of Governors of the Federal Reserve System (the “Board”) is of the opinion, for the reasons set forth in the accompanying Final Decision, that a final Order of Prohibition and Restitution should issue against MAI LY-VU (“Ly-Vu”), an institution- affiliated party, as defined in Section 3(u) of the FDI Act (12 U.S.C. § 1813(u)), of Pacific Premier Bank (“PPB”), Irvine, California;

NOW THEREFORE, IT IS HERBY ORDERED, pursuant to sections 8(e) and 8(b)(6)(A) of the FDI Act, 12 U.S.C. §§ 1818(e), (b)(6)(A), that:

1. Respondent Ly-Vu is prohibited, without the prior written approval of the Board, and any other appropriate Federal financial institutions regulatory agency where necessary pursuant to section 8(e)(7)(B) of the FDI Act, 12 U.S.C. § 1818(e)(7)(B), from:
 - a. participating in any manner in the conduct of the affairs of any institution or agency specified in section 8(e)(7)(A) of the FDI Act, 12 U.S.C. § 1818(e)(7)(A), including, but not limited to, any insured depository institution, any insured depository institution holding company or subsidiary of such holding company, or

any foreign bank or company to which subsection (a) of 12 U.S.C. § 3106 applies and any subsidiary of such foreign bank or company;

- b. soliciting, procuring, transferring, attempting to transfer, voting, or attempting to vote any proxy, consent, or authorization with respect to any voting rights in any institution or agency enumerated in section 8(e)(7)(A) of the FDI Act, 12 U.S.C. § 1818(e)(7)(A);
- c. violating any voting agreement previously approved by any appropriate Federal banking agency; or
- d. voting for a director, or serving or acting as an institution-affiliated party, as that term is defined in section 3(u) of the FDI Act, 12 U.S.C. § 1813(u), such as an officer, director, or employee, in any institution described in section 8(e)(7)(A) of the FDI Act, 12 U.S.C. § 1818(e)(7)(A).

2. Respondent is ordered to make restitution to PPB in the amount of \$18,700.00 pursuant to section 8(b)(6)(A) of the FDI Act, 12 U.S.C. § 1818(b)(6)(A).

3. Any violation of this Order shall separately subject Ly-Vu to appropriate civil or criminal penalties or both under section 8 of the FDI Act (12 U.S.C. § 1818).

4. This Order, and each and every provision thereof, is and shall remain fully effective and enforceable until expressly stayed, modified, terminated, or suspended in writing by the Board.

This ORDER shall become effective at the expiration of thirty days after service is made.

By Order of the Board of Governors, this 29th day of September, 2021.

BOARD OF GOVERNORS OF THE
FEDERAL RESERVE SYSTEM

/s/ Ann E. Misback
Ann E. Misback
Secretary of the Board