

2. What is the total number of people residing in this household? |_|_|

3. How many people under the age of 18 live in this household? |_|_|

IF NUMBER SAME AS NUMBER IN QUESTION 2, ASK: So everyone here is under age 18?

YES 1 SKIP TO Q11
NO 2

4. For this study, we would like to interview the head of the household or that person's spouse or partner if they are more knowledgeable about the household finances. Who would this be? (PROBE, IF NECESSARY)

NAME (SPECIFY) _____ 1 GO TO Q5
UNSURE 2 GO TO Q4A

4A. We want the person who either owns the residence or whose name is on the lease, or that person's spouse or partner if they are more knowledgeable about the household finances. Who would this be? (PROBE, IF NECESSARY)

NAME (SPECIFY) _____ 1 GO TO Q5
MULTIPLE OWNERS/RENTERS 2 GO TO Q4B
NO ONE/UNSURE 3 GO TO Q4C

4B. Of the multiple owners/renters, we want the person who is closest to age 45 or that person's spouse or partner if they are more knowledgeable about the household finances. Who would this be? (PROBE, IF NECESSARY)

NAME (SPECIFY) _____ GO TO Q5

4C. In that case, we want the person closest to age 45 or that person's spouse or partner whichever is more knowledgeable about the finances of the household. Who would this be? (PROBE, IF NECESSARY)

NAME (SPECIFY) _____ GO TO Q5

5. Before we go on, I just need to ask you a couple more preliminary questions.

IS (NAME IN QUESTION 4-4C) ALSO THE HEF INFORMANT? (PROBE, IF NECESSARY.)

YES 1 SKIP TO Q8
NO 2

6. What is your name? _____

7. How are you related to RESPONDENT? _____

8. How old were you on your last birthday? |_|_|

9. CODE SEX; IF NOT OBVIOUS SAY: and for research purposes I have to ask -- what is your sex?

MALE 1
FEMALE 2

10. (NAME PROVIDED IN QUESTION 4-4C) has been selected to participate in this interview.

10A. **IF RESPONDENT AVAILABLE, CONDUCT THE INTERVIEW. IF RESPONDENT NOT AVAILABLE, GO TO QUESTION 10B.**

10B. **IF RESPONDENT NOT AVAILABLE ASK:** What would be the best time to reach (RESPONDENT)?

_____|-_____|-|9_|8_| Time: ____|:____| A / P

Phone: (____|____|) ____|____|-____|____|

GO TO QUESTION 11. IF RESPONDENT NOT WILLING TO DO THE INTERVIEW, GO TO QUESTION 10C.

10C. **IF SELECTED RESPONDENT NOT WILLING TO DO INTERVIEW, REQUEST AN INTERVIEW WITH R'S SPOUSE/PARTNER OR WITH A PROXY.**

IF PROXY,

1. HAVE RESPONDENT SIGN A PROXY PERMISSION FORM.
2. DETERMINE A GOOD TIME TO CALL THE PROXY TO SCHEDULE AN APPOINTMENT AND GO TO QUESTION 11.

_____|-_____|-|9_|8_| Time: ____|:____| A / P

Phone: (____|____|) ____|____|-____|____|

IF RESPONDENT REFUSES INTERVIEW, GO TO QUESTION 10D.

10D. **IF RESPONDENT REFUSES FOR SELF AND FOR SPOUSE/PARTNER OR PROXY, CIRCLE TYPE OF REFUSAL BELOW.**

- SOFT REFUSAL 1
- HARD REFUSAL 2
- HOSTILE REFUSAL 3

11. Thank you very much for your time.

INTERVIEWER: GO TO PAGE 4 OF THE HEF -- HOUSEHOLD CONTACT OBSERVATIONS.

HOUSEHOLD CONTACT OBSERVATIONS
COMPLETE QUESTIONS 1-10 AT TIME OF FIRST CALL

1. TYPE OF STRUCTURE IN WHICH R LIVES: (CODE THE FIRST THAT APPLIES)

- DID NOT SEE R'S HOME 0 SKIP TO Q11
- TRAILER, MOBILE HOME 1
- DETACHED SINGLE-FAMILY HOME 2
- BUILDING WITH 2-9 UNITS 3
- BUILDING WITH 10-49 UNITS 4
- BUILDING WITH 50 OR MORE UNITS 5
- APARTMENT IN MOSTLY COMMERCIAL STRUCTURE 6
- OTHER 7
- (SPECIFY) _____

2. DOES THE BUILDING/PROPERTY CONTAINING THE HU HAVE ANY OF THE FOLLOWING? (CODE ALL THAT APPLY AND FOLLOW SKIP FOR FIRST APPLICABLE RESPONSE).

- DOORMAN 1
- GUARDHOUSE 2
- OTHER GATEKEEPER 3
- LOCKED LOBBY 4
- LOCKED GATE 5
- NO TRESPASSING SIGN 6
- NONE OF THE ABOVE 7
- } SKIP TO Q4

3. DOES THE (DOORMAN/GUARD/GATEKEEPER) REQUIRE PERMISSION FROM SOMEONE IN R'S HU TO ALLOW YOU TO MAKE CONTACT WITH THE HOUSEHOLD?

- YES 1
- NO 2

4. CONDITION OF STRUCTURE IN WHICH R LIVES:

- GENERALLY CLEAN AND SOUND 1
- SOME PEELING PAINT OR CRACKS IN WALLS 2
- NEEDS SUBSTANTIAL PAINTING, REFILLING, OR REPAIR .. 3
- DILAPIDATED 4

5. HOUSING STRUCTURES ON (BLOCK/AREA) ARE:

- 0-20 FEET APART 1
- 21-100 FEET APART 2
- OVER 100 FEET APART 3

6. THE AREA AROUND R'S HU IS: (CODE ALL THAT APPLY)
- OPEN LAND/FARMS/RANCHES 1
 - TRAILER PARK 2
 - DETACHED SINGLE-FAMILY HOMES 3
 - ATTACHED SINGLE-FAMILY HOMES 4
 - BUILDINGS WITH 2-9 UNITS 5
 - BUILDINGS WITH 10-49 UNITS 6
 - BUILDINGS WITH 50 OR MORE UNITS 7
 - GOLF COURSE 8
 - PARK OR CEMETERY 9
 - COMMERCIAL STRUCTURES 10
 - VACANT BUILDINGS 11
 - SCHOOL OR OTHER GOVERNMENT BUILDING 12
 - OTHER 13
- (SPECIFY) _____

7. BUILDINGS IN THE IMMEDIATE NEIGHBORHOOD ARE:
- ALL RESIDENTIAL 1
 - MOSTLY RESIDENTIAL 2
 - ABOUT EQUALLY RESIDENTIAL AND NONRESIDENTIAL .. 3
 - MOSTLY NONRESIDENTIAL 4
 - NONE IN VIEW 5

SKIP TO Q9

8. GENERAL CONDITION OF THE EXTERIOR OF BUILDINGS
AROUND R'S DWELLING LOOKS:
- BETTER THAN R'S 1
 - AS GOOD AS R'S 2
 - WORSE THAN R'S 3

9. NEIGHBORHOOD RESIDENTS SEEM TO BE:
- ALL OR ALMOST ALL AFRICAN-AMERICAN 1
 - ALL OR ALMOST ALL HISPANIC 2
 - ALL OR ALMOST ALL NON-HISPANIC WHITE 3
 - MIXED AFRICAN-AMERICAN AND HISPANIC 4
 - MIXED AFRICAN-AMERICAN AND NON-HISPANIC WHITE .. 5
 - MIXED HISPANIC AND NON-HISPANIC WHITE 6
 - MIXED AFRICAN-AMERICAN, HISPANIC AND
NON-HISPANIC WHITE 7
 - OTHER MIXED NEIGHBORHOOD 8
 - OTHER 9
- (SPECIFY) _____
- NONE OBSERVED 10

10. GENERALLY, THE AREA AROUND THE R'S HU APPEARS TO BE:
- UNUSUALLY WEALTHY 1
 - NOT UNUSUALLY WEALTHY OR UNUSUALLY POOR 2
 - UNUSUALLY POOR 3

**COMPLETE QUESTIONS 11-14 AFTER FIRST CONTACT
WITH HEF INFORMANT**

11. THE FIRST PERSONAL CONTACT WITH THE HEF INFORMANT WAS:

FACE-TO-FACE 1

BY INTERCOM OR TELEPHONE AT HU 2

OTHER TELEPHONE 3

OTHER 4

(SPECIFY): _____

—

} SKIP TO Q13

12. RACE/ETHNICITY OF HEF INFORMANT WAS:

NON-HISPANIC WHITE 1

BLACK; AFRICAN-AMERICAN 2

HISPANIC; LATINO 3

ASIAN 4

AMERICAN INDIAN; ALASKA NATIVE 5

PACIFIC ISLANDER; HAWAIIAN NATIVE 6

OTHER 7

(SPECIFY): _____

13. DID THE HEF INFORMANT ASK ANY OF THE FOLLOWING?
(CODE ALL THAT APPLY)

WHAT IS THE PURPOSE OF THE SURVEY 1

WHO IS THE SPONSOR OF THE SURVEY 2

WHY/HOW WAS I CHOSEN 3

HOW LONG WILL THE INTERVIEW TAKE 4

WHO WILL SEE THE ANSWERS; PRIVACY QUESTIONS 5

CAN I GET A COPY OF THE RESULTS 6

IS THERE AN INCENTIVE FOR PARTICIPATING 7

OTHER INFORMATIONAL QUESTION OR EXPRESSION OF

INTEREST 8

(SPECIFY): _____

NONE OF THE ABOVE 9

14. DID THE HEF INFORMANT MAKE ANY OF THE FOLLOWING COMMENTS?
(CODE ALL THAT APPLY)

- I'M TOO BUSY/DON'T HAVE TIME/SURVEY TOO LONG 1
- I DON'T LIKE/DO SURVEYS 2
- I DON'T KNOW ANYTHING ABOUT THIS 3

- I'M NOT THE SORT OF PERSON YOU WANT 4

- I'M NOT INTERESTED 5
- THIS IS TOO PERSONAL 6
- SURVEY A WASTE OF MONEY 7
- GOVERNMENT HAS NO BUSINESS DOING THIS 8
- GOVERNMENT ALREADY KNOWS ALL THIS 9
- LET ME THINK ABOUT IT 10
- OTHER NEGATIVE COMMENT OR DELAY 11
- (SPECIFY): _____
- NONE OF THE ABOVE 12

TRY#	DAY Sun=1 Mon=2 Tue=3 Wed=4 Thur=5 Fri=6 Sat=7	MONTH (1-12) DATE	EXACT TIME (AM/PM)	TYPE PER=1 TEL=2	NAME & PHONE # OF CONTACT	DISP CODE	COMMENTS	FI INITIALS & FI ID #