

GENERAL GUIDELINES

FORMULA COMPONENT NAMES -- must be followed by a number!

<u>Abbreviation</u>	<u>Meaning</u>	<u>#Range</u>
ASY	Actual Service Years	(1-18)
PSY	Potential Service Years	(1-15)
FAP	Final Average Pay	(1-15)
SS	Social Security	(1-15)
RAS	Required Actual Service (yrs)	(1-6, 10-15)
DAT	Requirement Dates	(1-15)
ROT	Other Requirements	(1-15)
LNG	Length of Payments	(1-15)
RED	Reduction Factor	(4-15)
MAN	Mandatory Contribution	(1-3, 7-9)
VOL	Voluntary Contribution	(1-3, 7-9)
BEG	Beginning Payment Date	(7-15)
MMAN	Matching Mandatory Contributions	(1-3)
MVOL	Matching Voluntary Contributions	(1-3)
CTE	Annual Contribution by Employer	(1-3)
COE	Other Contribution by Employer	(1-3)
CLS	Lump Sum Contribution	(1-12)
CRAS	Required Actual Service	(1-2)
AGE	Age	(18-85)

	<u>FORMULA NAMES</u>	<u>Question #</u>
NR# - NR#8	Normal Retirement	(C5)
NRT#1	Normal Retirement Total	(C10)
ER#1 - ER#5	Early Retirement	(E7)
ERT#1	Early Retirement Total	(E12)
VD#1 - VD#5	Vested Deferred Benefits	(F11)
VDT#1	Vested Deferred Total	(F16)
DR#1 - DR#5	Disability Retirement	(G7)
DRT#1	Disability Retirement Total	(G13)
DS#1 - DS#5	Death and Survivor's Benefits	(H7)
DST#1	Death and Survivor's Total	(H13)
CNRT#1	Normal Retirement Total	(S2)

FORMULA CODING

Enter formulas exactly as written except:

1. Formula definitions which are generated in other parts of the int. (ex. RED4, FAP1, ASY13) should not have a # sign. Delete the # sign for these if the editor has included one.

ASY#1 = ASY1

(Do however include the # sign when the referent is a formula itself (ex. ERT#1))

2. Fractions must be converted to decimals.

1 1/2% = 1.5

Common conversions:

1/8 = .125

5/8 = .625

1/4 = .25

3/4 = .75

3/8 = .375

7/8 = .875

1/2 = .50

1/3 = .333

2/3 = .667

3. Leave out the comma which marks the thousands digit
\$10,725 = \$10725

4. Leave out zero cents
\$47.00 = \$47

5. General Rule: Wherever necessary (in any PENS application) to round a number, use the following rule:

Round to the nearest whole # (or to the nearest 2nd decimal place if dealing with a dollar or % field which allows 2 decimal places), with 0.5 (or 0.005) rounded to the nearest odd #.

Examples: "10-12" = 11
"\$.075" = \$.07
".12725" = .13

ASY MASTER CODE

Code ASY # 01-18 plus:

- 51. ASY1 + ASY2 (1+2)
- 52. ASY3 + ASY4 (3+4)
- 53. ASY1 + ASY2 + ASY3 (1+2+3)
- 54. ASY2 + ASY4 (2+4)
- 55. ASY4 + ASY5 (4+5)
- 56. ASY2 + ASY3 (2+3)
- 57. ASY1 + ASY3 (1+3)
- 58. ASY1 + ASY2 + ASY3 + ASY4 (1+2+3+4)
- 59. ASY1 + ASY2 + ASY3 + ASY4 + ASY5 (1+2+3+4+5)
- 60. ASY1 + ASY2 + ASY4 (1+2+4)
- 61. ASY16 + ASY17 (16+17)
- 62. ASY5 + ASY6 (5+6)
- 63. ASY13 + ASY14 (13+14)
- 64. ASY2 + ASY5 (2+5)
- 65. ASY1 + ASY4 + ASY5 (1+4+5)
- 66. ASY1 + ASY2 + ASY4 + ASY5 (1+2+4+5)
- 67. ASY1 + ASY2 + ASY10 (1+2+10)
- 68. ASY8 + ASY9 (8+9)
- 69. ASY1 + ASY2 + ASY5 (1+2+5)
- 70. ASY4 + ASY5 + ASY6 (4+5+6)
- 71. ASY6 + ASY7 + ASY8 + ASY9 (6+7+8+9)
- 72. ASY9 + ASY10 (9+10)
- 73. ASY1 + ASY4 (1+4)

- 96. (T), used whenever the requirements for a particular formula are defined to be a combination of previously defined requirements.
- 97. Other combinations

PART I: PENSION PLAN CHARACTERISTICS

Var #

1 INTERVIEW NUMBER (BUILD VAR)

Note: Vars 2-14 are coded from boxes on Facesheet

2 Item 1. SEQ# (4 digits)

3 Item 2. PP ID (4 digits) (4001-8016)

4 Item 3. PLAN #(3 digits)(001-999)

5 Item 4. Attached: (CHECK)

1. PART II checked
2. PART III checked
3. PART II and PART III checked

Var #

6

Item 5. RECORDER'S ID (01-25, 51-55)

99. NA

7

Item 6. DATE FINISHED - MONTH

Code MONTH (01-12)

- 01. January
- 02. February
- 03. March
- 04. April
- 05. May
- 06. June
- 07. July
- 08. August
- 09. September
- 10. October
- 11. November
- 12. December

99. NA

8

Item 6. DAY

Code DAY (01-31)

99. NA

9

Item 6. YEAR

Code YEAR (84), (85)

10

Item 7. LENGTH (MINUTES)

Code number of MINUTES (001-995)

999. NA

Var #

11 Item 8. CHECKER'S ID (51-56)

99. NA

12 Item 9. DATE CHECKED - MONTH

Code MONTH (01-12)

- 01. January
- 02. February
- 03. March
- 04. April
- 05. May
- 06. June
- 07. July
- 08. August
- 09. September
- 10. October
- 11. November
- 12. December

99. NA

13 Item 9. DAY

Code DAY (01-31)

99. NA

14 Item 9. YEAR

Code YEAR (84), (85)

Var #

15 A1. Identify the specific pension plan cover sheet (SEQ#) the pension provider (PP ID), and the plan number (PLAN #) that is coded below: - SEQ#

Code 4-digit SEQ#

CC: V2

16 A1. PP ID (4001-8016)

Code 4-digit PP ID

CC: V3

17 A1. PLAN # (001-999)

Code 3-digit PLAN #

CC: V4

101 (#1) A2. Enter below the HHIDs covered by this pension plan, whether
106 (#2) the HHID is also covered by any other SEQ#/plans, and if
111 (#3) necessary, the SEQ# containing the information on how
116 (#4) benefits are integrated

121 (#5) Code HHID as a 5 digit #, where the 5th digit is R=1, S=2,
126 (#6) VALID RANGE 00011-42882 EXCEPT:

131 (#7)
136 (#8)

00000. Inap, no further lines completed

Code 1412/R as 14121.
If R/S not specified.
Code 5th digit "9"
and MAKE CARD.

Var #

102 (#1) A2. Covered by Any Other SEQ #?

103

104

Code 4-digit SEQ# EXCEPT:

107 (#2)

108

109

0000. Inap, no further SEQ#; "NO" box checked;
no further lines completed

112 (#3)

113

114

117 (#4)

118

119

122 (#5)

123

124

127 (#6)

128

129

132 (#7)

133

134

137 (#8)

138

139

A2. Integrated SEQ#?

105 (#1)

110 (#2)

115 (#3)

120 (#4)

125 (#5)

130 (#6)

135 (#7)

140 (#8)

Code 4-digit integrated SEQ# EXCEPT:

0000. Inap, "NO" box checked; no further lines completed

141

Coder check: More A2 lines to be coded?

0 in
Scr 02-05

1. YES

5. NO

Var #

201 (#9)
206 (#10)
211 (#11)
216 (#12)
221 (#13)
226 (#14)
231 (#15)
236 (#16)
241 (#17)
246 (#18)
251 (#19)

A2. Enter below the HHIDs covered by this pension plan, whether the HHID is also covered by any other SEQ#/plans, and if necessary, the SEQ# containing the information on how benefits are integrated.

Code 5-digit HHID EXCEPT:

0000. Inap, no further lines completed; 141

Var #

202 (#9)
203
204

A2. Covered by Any Other SEQ#?

Code 5-digit SEQ# EXCEPT:

207 (#10)
208
209

0000. Inap, no further SEQ#; "NO" box checked;
no further lines completed; 141

212 (#11)
213
214

217 (#12)
218
219

222 (#13)
223
224

227 (#14)
228
229

232 (#15)
233
234

237 (#16)
238
239

242 (#17)
243
244

247 (#18)
248
249

252 (#19)
253
254

Var #

205 (#9) A2. Integrated SEQ#?

210 (#10)

215 (#11) Code 4-digit integrated SEQ# EXCEPT:

220 (#12)

225 (#13) 0000. Inap, "NO" box checked; no further lines completed; 141

230 (#14)

235 (#15)

240 (#16)

245 (#17)

250 (#18)

255 (#19)

256 Coder check: More A2 lines to be coded?

0 in
Scr 03-05

- 1. YES
- 5. NO

Var #

301 (#20) A2. Enter below the HHIDs covered by this pension plan, whether

306 (#21) the HHID is also covered by any other SEQ#/plans, and if

311 (#22) necessary, the SEQ# containing the information on how

316 (#23) benefits are integrated.

321 (#24)

326 (#25) Code 5 -digits HHID EXCEPT:

331 (#26)

336 (#27) 0000. Inap, no further lines completed; 141; 256

341 (#28)

346 (#29)

351 (#30)

Var #

302 (#20)

303

304

A2. Covered by Any Other SEQ#?

Code 4-digit SEQ# EXCEPT:

307 (#21)

308

309

0000. Inap, no further SEQ#; "NO" box checked; no further lines completed; 141; 256

312 (#22)

313

314

317 (#23)

318

319

322 (#24)

323

324

327 (#25)

328

329

332 (#26)

333

334

337 (#27)

338

339

342 (#28)

343

344

347 (#29)

348

349

352 (#30)

353

354

Var #

305 (#20)
310 (#21)
315 (#22)
320 (#23)
325 (#24)
330 (#25)
335 (#26)
340 (#27)
345 (#28)
350 (#29)
355 (#30)

A2. Integrated SEQ#?

Code 4-digit integrated SEQ# EXCEPT:

0000. Inap, "NO" box checked; no further lines completed;
141; 256

356

Coder check: More A2 lines to be coded?

0 in
Scr 04-05

- 1. YES
- 5. NO

Var #

401 (#31)
406 (#32)
411 (#33)
416 (#34)
421 (#35)
426 (#36)
431 (#37)
436 (#38)
441 (#39)
446 (#40)
451 (#41)

A2. Enter below the HHIDs covered by this pension plan, whether the HHID is also covered by any other SEQ#/plans, and if necessary, the SEQ# containing the information on how benefits are integrated.

Code 5-digit HHID EXCEPT:

0000. Inap, no further lines completed; 141; 256; 356

402 (#31)
403
404

A2. Covered by Any Other SEQ#?

Code 4-digit SEQ# EXCEPT:

407 (#32)
408
409

0000. Inap, no further SEQ#; "NO" box check; no further lines completed; 141; 256; 356

412 (#33)
413
414

417 (#34)
418
419

422 (#35)
423
424

427 (#36)
428
429

432 (#37)
433
434

437 (#38)
438
439

442 (#39)
443
444

447 (#40)
448
449

452 (#41)
453
454

Var #

405 (#31)
410 (#32)
415 (#33)
420 (#34)
425 (#35)
430 (#36)
435 (#37)
440 (#38)
445 (#39)
450 (#40)
455 (#41)

A2. Integrated SEQ#:

Code 4-digit integrated SEQ# EXCEPT:

0000. Inap, "NO" box checked; no further lines completed;
141; 256; 356

456

Coder check: more A2 lines to be coded?

0 in
Scr 05

- 1. YES
- 5. NO

Var #

501 (#42)
506 (#43)
511 (#44)
516 (#45)
521 (#46)
526 (#47)
531 (#48)
536 (#49)
541 (#50)
546 (#51)
551 (#52)

A2. Enter below the HHIDs covered by this pension plan, whether the HHID is also covered by any other SEQ#/plans, and if necessary, the SEQ# containing the information on how benefits are integrated.

Code 5-digit HHID EXCEPT:

0000. Inap, no further lines completed; 141; 256; 356; 456

502 (#42)
503
504

A2. Covered by Any Other SEQ#?

Code 4-digit SEQ# EXCEPT:

0000. Inap, no further SEQ#; "NO" box checked; no further lines completed; 141; 256; 356; 456

507 (#43)
508
509

512 (#44)
513
514

517 (#45)
518
519

522 (#46)
523
524

527 (#47)
528
529

532 (#48)
533
534

537 (#49)
538
539

542 (#50)
543
544

547 (#51)
548
549

552 (#52)
553
554

Var #

- 505 (#42)
- 510 (#43)
- 515 (#44)
- 520 (#45)
- 525 (#46)
- 530 (#47)
- 535 (#48)
- 540 (#49)
- 545 (#50)
- 550 (#51)
- 555 (#52)

A2. Integrated SEQ#?

Code 4-digit integrated SEQ# EXCEPT:

0000. Inap, "NO" box checked; no further lines completed;
141; 256; 356; 456

601

A3. Are all new employees in covered groups immediately eligible to participate in this pension plan?

0 in
602-604

- 1. YES
- 5. NO

602

A3A. What are the age or service qualifications that new employees must meet in order to participate in this pension plan?

- 1. a - AFTER AGE
- 2. b - AFTER SERVICE
- 3. c - AFTER AGE OR SERVICE
- 4. d - AFTER AGE AND SERVICE
- 7. z - OTHER

0. Inap, 601

603

A3A. AGE

Code AGE (18-85)

99. NA

00. Inap, 601; no AGE given

604

A3A. MONTHS/YEARS

Code number of MONTHS (001-995)

999. NA

000. Inap, 601; no MONTHS given

Note: Convert YEARS or MONTHS and YEARS to MONTHS.

4 YRS	=	48 MOS
5	=	60
6	=	72
7	=	84
8	=	96
9	=	108
10	=	120

Var #

- 605 A3B Is there a maximum age after which new employees are no longer eligible to participate in this pension plan?

- 1. a - YES, MAXIMUM AGE
 - 2. b - NO

 - 7. z - OTHER
 - 9. NA
-
- 606 A3B. AGE

- Code AGE (18-85)
- 99. NA
 - 00. Inap, no AGE given
-
- 607 A4. Is participation in the pension plan mandatory or optional for eligible employees?

- 1. a - MANDATORY OR AUTOMATIC PARTICIPATION
 - 2. b - MANDATORY OR AUTOMATIC AFTER AGE
 - 3. c - MANDATORY OR AUTOMATIC AFTER AGE OR SERVICE YEARS
 - 4. d - OPTIONAL PARTICIPATION, WITH NO AGE OR SERVICE RESTRICTIONS

 - 7. z - OTHER
-
- 608 A4. AGE

- Code AGE (18-85)
- 99. NA
 - 00. Inap, no AGE given
-
- 609 A4. SERVICE YEARS

- Code number of YEARS (01-50)
- 99. NA
 - 00. Inap, no SERVICE YEARS given

Var #

610

A5. Does this pension plan contain any additional provisions
(that are not coded below) for post-retirement health,
welfare, or other special benefits

1. YES
5. NO
9. NA

611

A6. What type of pension plan is this?

1. DEFINED BENEFIT (PART II only, Code PENS 2A & PENS 2B)
2. DEFINED CONTRIBUTION (PART III only, Code PENS 3)
3. COMBINATION DEFINED BENEFIT AND DEFINED CONTRIBUTION
(PARTS II & III, Code PENS 2A, PENS 2B, PENS 3)

Var #
612

Z1. What data sources were used?
Z1a. SPD (PROVIDER)

0 in
613-616

- 1. Checked
- 5. Not checked
- 9. NA to entire question

613 Z1a. Effective date of plan - MONTH (01-12,99)

00. Inap, 612

614 Z1a. Effective date of plan - YEAR (01-95,99)

00. Inap, 612

615 Z1a. Effective date of latest amendment - MONTH (01-12,99)

00. Inap. 612

616 Z1a. Effective date of latest amendement - YEAR (01-95,99)

00. Inap, 612

617 Z1b. SPD (LABOR)

0 in
618-621

- 1. Checked
- 5. Not checked
- 9. NA to entire question

618 Z1B. Effective date of plan - MONTH (01-12,99)

00. Inap, 617

619 Z1b. Effective date of plan - YEAR (01-95,99)

00. Inap, 617

620 Z1b. Effective date of latest amendment - MONTH (01-12,99)

00. Inap, 617

621 Z1. Effective Date of latest amendment - YEAR (01-95,99)

00. Inap, 617

Var #
622

Z1c. Form 5500

0 in
623-624

- 1. Checked
- 5. Not checked
- 9. DK

623

Z1c. Date filed - MONTH (01-12,99)

- 00. Inap, 622

624

Z1c. Date filed - YEAR (01-1-95,99)

- 00. Inap, 622

625

Z1d. Other plan documents

0 in
626-627

- 1. checked
- 5. Not checked
- 9. NA to entire question

626

Z1d. Effective date - MONTH (01-12,99)

- 00. Inap, 625

627

Z1d. Effective date - YEAR (01-95,99)

- 00. Inap, 625

628

Z1z. OTHER

- 1. Checked
- 5. Not checked
- 9. NA to entire question

629

Z2. Record below any unusual characteristics of this pension plan, or any information needed to integrate benefits from the defined benefit and the defined contribution parts of this pension plan.

Precoded in red pencil by study staff

- 1. General comments
- 2. Specific comments on formulas
- 3. Plan is not an annuity
- 4. Eligibility requirements
- 5. Inappropriate comments
- 0. No comments

PART II: DEFINED BENEFIT PENSION PLANS

701 B1. Identify the specific pension plan cover sheet (SEQ#), the pension provider (PP ID), and the plan number (PLAN #) that is coded below:
SEQ#

Code 4-digit #

0000. Inap, No. II

702 B1. PP ID

Code 4-digit # (4001-8016)

0000. Inap, No. II

703 B1. PLAN #

Code 3-digit # (001-999)

000. Inap, No. II

704 C1. Do any of the normal retirement benefit and supplement formulas or eligibility requirements depend on the number of actual years of credited service the employee has accumulated?

0 in
705-723,
Scr 8-9

1. YES

5. NO

9. NA

Var #

705

C1. SUMMARY: # of ASY's

0 in
806-823
906-923

1. One

0 in
906-923

2. Two

3. Three

0. Inap, 704

ASY 1 = V706-723
ASY 2 = V806-823
ASY 3 = V906-923

706(1)
806(2)
906(3)

C1A. How is the number of actual years of credited service (ASY) determined for use in the normal retirement formulas or for eligibility requirements?

- 1. a - ALL YEARS OF EMPLOYMENT ARE CREDITED
- 2. b - ONLY COUNT YEARS AFTER AGE:
- 3. c - ONLY COUNT YEARS AFTER FIRST # YEARS:
- 4. d - ONLY COUNT YEARS AFTER AGE:
OR AFTER FIRST # YEARS:
- 5. e - ONLY COUNT YEARS AFTER AGE:
AND AFTER FIRST # YEARS:
- 6. f - ONLY COUNT YEARS DURING FINAL # YRS:
- 7. z - OTHER
- 9. NA
- 0. Inap, 704; 705

707(1)
807(2)
907(3)

C1A. AGE (18-85; 99)

00. Inap, 704; 705 no age

708(1)
808(2)
908(3)

C1A. # YEARS (01-50; 99)

00. Inap, 704; 705; no years

Var #

709(1)
809(2)
909(3)

CLB. Are the above definitions of ASY based only on specific calendar time periods, or on all years covered by this pension plan?

1. a - COVERS ALL YEARS
2. b - BEFORE
3. c - AFTER
4. d - FROM/TO
7. z - OTHER

9. NA
0. Inap, 704; 705

710(1)
810(2)
910(3)

CLB. BEFORE/AFTER/FROM: MONTH (01-12; 99)

00. Inap, 704; 705

711(1)
811(2)
911(3)

CLB. BEFORE/AFTER/FROM: YEAR (01-95; 99)

00. Inap 704; 705

712(1)
812(2)
912(3)

CLB. TO: MONTH (01-12; 99)

00. Inap, 704; 705

713(1)
813(2)
913(3)

CLB. TO: YEAR (01-95; 99)

00. Inap, 704; 705

Var #

714(1)
814(2)
914(3)

C1C. Is there a maximum number of actual years of credited service than can be used for normal retirement benefits or a maximum age after which service years can no longer be credited toward normal retirement benefits?

1. a - YES, MAXIMUM AGE:
2. b - YES, MAXIMUM ASY:
3. c - YES, MAXIMUM AGE PLUS ASY:
4. d - YES, WHEN OVER MAXIMUM AGE:
OR OVER MAXIMUM ASY:
5. e - YES, WHEN OVER MAXIMUM AGE:
AND OVER MAXIMUM ASY:
6. f - NO
7. z - OTHER

9. NA
0. Inap, 704; 705

715(1)
815(2)
915(3)

C1C. AGE (18-85; 99)

00. Inap, 704; 705; no age

716(1)
816(2)
916(3)

C1C. ASY (01-50; 99)

00. Inap, 704; 705; no ASY

717(1)
817(2)
917(3)

C1C. AGE + ASY: (001-150; 999)

000. Inap, 704; 705; no AGE + ASY

Var #

718(1)
818(2)
918(3)

C1D. Are participants credited one service year for each year they meet minimum employment requirements, or does the amount of credited service depend on the actual number of hours worked each year?

- 01. a - CREDITED ONE ASY FOR EACH YEAR EMPLOYED;
NO MINIMUM
- 02. b - CREDITED WITH EITHER ZERO OR ONE ASY: ONE ASY IS
CREDITED FOR EACH YEAR WORKED A MINIMUM OF : _____
HOURS PER YEAR
- 03. c - CREDITED WITH EITHER ZERO OR ONE ASY; ONE ASY IS
CREDITED FOR EACH YEAR WORKED A MINIMUM OF: _____
WEEKS PER YEAR, AND # HOURS PER WEEK
- 04. d - CREDITED WITH EITHER ZERO OR ONE ASY: ONE ASY IS
CREDITED FOR EACH YEAR WORKED A MINIMUM OF: _____
MONTHS PER YEAR, AND # HOURS PER MONTH
- 05. e - CREDITED WITH A PRORATED FRACTION FROM ZERO TO ONE
ASY; ONE FULL ASY IS CREDITED FOR EACH YEAR WORKED
A MINIMUM OF: _____ # HOURS PER YEAR
- 06. f - CREDITED WITH A PRORATED FRACTION FROM ZERO TO ONE
ASY; ONE FULL ASY IS CREDITED FOR EACH YEAR WORKED
A MINIMUM OF: _____ # WEEKS PER YEAR, AND # HOURS
PER WEEK
- 07. g - CREDITED WITH A PRORATED FRACTION FROM ZERO TO ONE
ASY; ONE FULL ASY IS CREDITED FOR EACH YEAR WORKED
A MINIMUM OF: _____ # MONTHS PER YEAR, AND # HOURS
PER MONTH
- 08. h - CREDITED EACH YEAR WITH A PRORATED FRACTION FROM
ZERO TO MORE THAN ONE ASY; ONE FULL ASY IS CREDITED
FOR EVERY: _____ # HOURS PER YEAR
- 09. i - CREDITED EACH YEAR WITH A PRORATED FRACTION FROM
ZERO TO MORE THAN ONE ASY; ONE FULL ASY IS CREDITED
FOR EVERY: _____ # WEEKS PER YEAR, AND # HOURS PER
WEEK
- 10. j - CREDITED EACH YEAR WITH A PRORATED FRACTION FROM
ZERO TO MORE THAN ONE ASY; ONE FULL ASY IS CREDITED
FOR EVERY: _____ # MONTHS PER YEAR, AND # HOURS
PER MONTH
- 97. z - OTHER
- 99. NA
- 00. Inap, 704; 705

Var #

719(1) C1D. # HOURS PER YEAR (0001-2496; 9999)

819(2)

919(3)

0000. Inap 704; 705

720(1) C1D. # WEEKS PER YEAR (01-52; 99)

820(2)

920(3)

00. Inap 704; 705

721(1) C1D. # HOURS PER WEEK (01-48; 99)

821(2)

921(3)

00. Inap, 704; 705

722(1) C1D. # MONTHS PER YEAR (01-12; 99)

822(2)

922(3)

00. Inap, 704; 705

723(1) C1D. # HOURS PER MONTH (001-208; 999)

823(2)

923(3)

000. Inap, 704; 705

1001

C2. Do any of the normal retirement benefit or supplement formulas depend on the number of potential years of credited service?

0 in
1002-1035

1. YES

5. NO

9. NA

1002

C2. SUMMARY: # of PSY's

0 in
1014-1035

1. One

0 in
1025-1035

2. Two

3. Three

0. Inap, 1001

Var #

PSY 1 = V1003-1013 PSY 2 = V1014-1024 PSY 3 = V1025-1035
--

1003 (1)
 1014 (2)
 1025 (3)

C2A. How is the number of potential years of credited service (PSY) determined for use in the normal retirement formulas.

1. a - ASSUMES PARTICIPANT WORKS UNTIL AGE:
COUNT ALL YEARS SINCE FIRST EMPLOYED
2. b - ASSUMES PARTICIPANT WORKS UNTIL AGE:
COUNT ONLY YEARS EMPLOYED AFTER AGE:
3. c - ASSUMES PARTICIPANT WORKS UNTIL AGE:
COUNT ONLY YEARS AFTER FIRST # YRS:
4. d - ASSUMES PARTICIPANT WORKS UNTIL AGE:
COUNT ONLY YEARS EMPLOYED AFTER AGE:
OR ONLY YEARS AFTER FIRST # YRS:
5. e - ASSUMES PARTICIPANT WORKS UNTIL AGE:
COUNT ONLY YEARS EMPLOYED AFTER AGE:
AND ONLY YEARS AFTER FIRST # YRS:
7. z - OTHER
9. NA
0. Inap, 1001; 1002

1004 (1)
 1015 (2)
 1026 (3)

C2A. UNTIL AGE (18-85; 99)

00. Inap, 1001; 1002

1005 (1)
 1016 (2)
 1027 (3)

C2A. AFTER AGE (18-85; 99)

00. Inap, 1001, 1002

1006 (1)
 1017 (2)
 1028 (3)

C2A. # YEARS (01-50; 99)

00. Inap, 1001; 1002

Var #

1007 (1)
1018 (2)
1029 (3)

C2B. Are the above definitions of PSY based only on specific calendar time periods, or on all years covered by this pension plan?

- 1. a - COVERS ALL YEARS: NO SPECIFIC DATES CITED.
- 2. b - BEFORE:
- 3. c - AFTER:
- 4. d - FROM/TO

- 7. z - OTHER
- 9. NA
- 0. Inap, 1001; 1002

1008 (1)
1019 (2)
1030 (3)

C2B. BEFORE/AFTER/FROM: MONTH(01-12;99)

00. Inap, 1001; 1002

1009 (1)
1020 (2)
1031 (3)

C2B. BEFORE/AFTER/FROM: YEAR (01-95;99)

00. Inap, 1001; 1002

1010 (1)
1021 (2)
1032 (3)

C2B. TO: MONTH (01-12: 99)

00. Inap, 1001; 1002

1011 (1)
1022 (2)
1033 (3)

C2B. TO: YEAR (01-95: 99)

00. Inap, 1001; 1002

Var #

1012 (1) C2C. Is there a maximum number of potential credited service
1023 (2) years that can be used for normal retirement benefits?
1034 (3)

- 1. a - YES, MAXIMUM PSY:
- 2. b - NO
- 7. z - OTHER
- 9. NA
- 0. Inap, 1001; 1002

1013 (1) C2C. MAXIMUM PSY (01-50: 99)
1024 (2)
1035 (3) 00. Inap, 1001; 1002

1101 C3. Do any of the normal retirement benefit or supplement
formulas depend on the level of the employee's wages
or salary?

0 in
1102-1153

- 1. YES
- 5. NO
- 9. NA

1102 C3. SUMMARY: # of FAP's

0 in
1120-1153

- 1. One

0 in
1137-1153

- 2. Two
- 3. Three
- 0. Inap, 1101

FAP1 - V1103-1119
FAP2 - V1120-1136
FAP3 - V1137-1153

1103 (1)
 1120 (2)
 1137 (3)

C3A. How is Final Average Pay (FAP) defined for use in the normal retirement formulas?

- 01. a - FINAL SALARY OR WAGE RATE
- 02. b - TOTAL OF ALL MO/YRS
- 03. c - AVERAGE OF ALL MO/YRS
- 04. d - AVERAGE DURING FINAL # MO/YRS
- 05. e - HIGHEST MO/YR DURING FINAL # MO/YRS
- 06. f - AVERAGE OF THE HIGHEST # MO/YRS:
DURING FINAL # MO/YRS:
- 07. g - AVERAGE HIGHEST CONSECUTIVE # MO/YRS:
DURING FINAL # MO/YRS:
- 08. h - NO SPECIFIED PERIOD, HIGHEST MO/YR
- 09. i - NO SPECIFIED PERIOD
AVERAGE OF THE HIGHEST # MO/YRS:
- 10. j - NO SPECIFIED PERIOD,
AVERAGE HIGHEST CONSECUTIVE # MO/YRS:
- 97. z - OTHER
- 99. NA
- 00. Inap, 1101; 1102

1104 (1)
 1121 (2)
 1138 (3)

C3A. CHECK: MO or YR

- 1. MO
- 2. YR
- 9. NA
- 0. Inap, 1101; 1102

1105 (1)
 1122 (2)
 1139 (3)

C3A. FIRST # MO/YRS (001-240; 999)

- 000. Inap, 1101; 1102

1106 (1)
 1123 (2)
 1140 (3)

C3A. SECOND # MO/YRS (f and g only) (001-240; 999)

- 000. Inap, 1101; 1102

Var #

- 1107 (1) C3B. When used in the normal retirement formulas, is FAP expressed
1124 (2) in terms of monthly or annual amounts?
1141 (3)
-
1. a - MONTHLY AMOUNT
2. b - YEARLY AMOUNT
3. c - DOES NOT APPLY -- FAP DEFINED AS TOTAL OF ALL MO/YRS
7. z - OTHER
9. NA
0. Inap, 1101; 1102
- 1108 (1) C3C. Are the above definitions of FAP based on wages and
1125 (2) salaries earned during specific calendar time periods,
1142 (3) or during all years covered by this pension plan?
-
1. a - COVERS ALL YEARS: NO SPECIFIC DATES CITED.
2. b - BEFORE
3. c - AFTER
4. d - FROM/TO:
7. z - OTHER
9. NA
0. Inap, 1101; 1102
- 1109 (1) C3C. BEFORE/AFTER/FROM: MONTH (01-12; 99)
1126 (2)
1143 (3)
-
00. Inap, 1101; 1102
- 1110 (1) C3C. BEFORE/AFTER/FROM: YEAR (01-95; 99)
1127 (2)
1144 (3)
-
00. Inap, 1101; 1102
- 1111 (1) C3C. TO: MONTH (01-12; 99)
1128 (2)
1145 (3)
-
00. Inap, 1101; 1102
- 1112 (1) C3C. TO: YEAR (01-95; 99)
1129 (2)
1146 (3)
-
00. Inap, 1101; 1102

Var #

1113 (1)
1130 (2)
1147 (3)

C3D. Is there a maximum age or service years after which any wages or salaries cannot be used in calculating FAP?

- 1. a - YES, MAXIMUM AGE:
- 2. b - YES, MAXIMUM ASY:
- 3. c - YES, MAXIMUM AGE PLUS ASY:
- 4. d - YES, WHEN OVER MAXIMUM AGE:
 OR OVER MAXIMUM ASY:
- 5. e - YES, WHEN OVER MAXIMUM AGE:
 AND OVER MAXIMUM ASY:
- 6. f - NO
- 7. z - OTHER
- 8. YES, FROM AGE:
 TO AGE:
- 9. NA
- 0. Inap, 1101; 1102

1114 (1)
1131 (2)
1148 (3)

C3D. DEFINE ASY

Code ASY # (01-18)

SEE ASY MC
for combination
codes

- 99. NA
- 00. NOT USED; Inap 1101; 1102

1115 (1)
1132 (2)
1149 (3)

C3D. AGE (18-85; 99) (From Age)

- 00. Inap, 1101; 1102

1116 (1)
1133 (2)
1150 (3)

C3D. ASY/AGE (To Age)

- 00. Inap, 1101; 1102

1117 (1)
1134 (2)
1151 (3)

C3D. AGE + ASY (001- 150; 999)

- 000. Inap, 1101; 1102

Var #

1118 (1) C3E. Is there a maximum on the amount of monthly/annual wages
 1135 (2) and salaries that can be used to calculate FAP?
 1152 (3)

- 1. a - YES, MAXIMUM OF:
- 2. b - NO
- 7. z - OTHER
- 9. NA
- 0. Inap, 1101; 1102

1119 (1) C3E. MAXIMUM OF: (\$000 001-999 995; 999 999)
 1136 (2)
 1153 (3) 000 000. Inap, 1101; 1102

1201 C4. Do Social Security benefit payments or the Social
 Security taxable wage base enter into any of the normal
 retirement benefit or supplement formulas?

0 in
 1202-1224
 and scr 13-14

- 1. YES
- 5. NO
- 9. NA

1202 C4. SUMMARY: # of SS's

0 in
 1303-1324,
 1403-1424

1. One

0 in
 1403-1424

2. Two

3. Three

0. Inap, 1201

Var #

SS1 = V1203-1224
SS2 = V1303-1324
SS3 = V1403-1424

1203

C4A. How is the Social Security term (SS) defined for use in the normal retirement formulas?

1. a - SOCIAL SECURITY BENEFIT AMOUNT (CHECK WHETHER PRIMARY OR JOINT, AND WHETHER REDUCED OR UNREDUCED.)
2. b - SOCIAL SECURITY TAXABLE WAGE BASE
7. z - OTHER
9. NA
0. Inap, 1201; 1202

1204

C4A. If a : PRIMARY ...

1. PRIMARY
2. JOINT
3. NOT CITED
9. NA
0. Inap, 1201, 1202

1205

C4A. If a : UNREDUCED ...

1. UNREDUCED
2. REDUCED
3. NOT CITED
9. NA
0. Inap, 1201, 1202

Var #

1206

C4A. If b: AT RETIREMENT...

1. AT RETIREMENT
2. CAREER TOTAL
3. CAREER AVERAGE

9. NA

0. Inap, 1201; 1202

1207

C4A. If b: ACTUAL...

1. ACTUAL
2. ESTIMATED AT RETIREMENT
3. ESTIMATED IN YEAR: 19__

7. OTHER

9. NA

0. Inap, 1201; 1202

1208

C4A. If b: YEAR: 19__(01-95; 99)

00. Inap, 1201; 1202

1209

C4B. When used in the normal retirement formulas, is SS expressed in terms of monthly or annual amounts?

- 1. a - MONTHLY AMOUNT
- 2. b - YEARLY AMOUNT
- 3. c - DOES NOT APPLY -- SS DEFINED AS CAREER TOTAL SWB.
- 7. z - OTHER
- 9. NA
- 0. Inap, 1201; 1202

1210

C4C. Are the above definitions of SS based only on specific calendar time periods, or on all years covered by this pension plan?

- 1. a - COVERS ALL YEARS: NO SPECIFIC DATES CITED
- 2. b - BEFORE:
- 3. c - AFTER:
- 4. d - FROM/TO:
- 7. z - OTHER
- 9. NA
- 0. Inap, 1201; 1202

1211

C4C. BEFORE/AFTER/FROM: MONTH (01-12; 99)

- 00. Inap, 1201; 1202

1212

C4C. BEFORE/AFTER/FROM: YEAR (01-95; 99)

- 00. Inap, 1201; 1202

1213

C4C. TO: MONTH (01-12; 99)

- 00. Inap, 1201; 1202

1214

C4C. TO: YEAR (01-95; 99)

- 00. Inap, 1201; 1202

Var #

1215

C4D. Is there a maximum limitation on the amount of SS that can be used to determine normal retirement benefits or a maximum on service years or age after which the SS amount no longer accrues?

- 01. a - YES, MAXIMUM PERCENTAGE OF SS:
- 02. b - YES, MAXIMUM DOLLAR AMOUNT:
- 03. c - YES, MAXIMUM AGE:
- 04. d - YES, MAXIMUM ASY:
- 05. e - YES, MAXIMUM AGE PLUS ASY:
- 06. f - YES, WHEN OVER MAXIMUM AGE:
OR OVER MAXIMUM ASY:
- 07. g - YES, WHEN OVER MAXIMUM AGE:
AND OVER MAXIMUM ASY:
- 08. h - NO
- 97. z - OTHER
- 99. NA
- 00. Inap, 1201; 1202

1216

C4D. DEFINE ASY

Code ASY # (01-18)

99. NA

00. NOT USED; Inap, 1201; 1202

See ASY MC
for combination
codes.

Var #

- 1217 C4D. % PERCENT to 2 decimals (0001-9500,9999)
 0000. Inap, 1201; 1202
- 1218 C4D. \$ DOLLARS (000 001-999 995; 999 999)
 000 000. Inap, 1201;1202
- 1219 C4D. AGE (18-85; 99)
 00. Inap, 1202; 1202
- 1220 C4D. ASY (01-50; 99)
 00. Inap, 1201; 1202
- 1221 C4D. AGE + ASY (001-150; 999)
 000. Inap, 1201; 1202

Var #

1222

C4E. If participants can retire before they are eligible to receive Social Security benefits, how is the SS term estimated for use in the pension formula(s)?

1. a - DOES NOT APPLY
2. b - BENEFIT AMOUNT EXPECTED AT AGE:
ASSUMES PARTICIPANTS HAVE NO FUTURE
WAGE OR SALARY INCOME.
3. c - BENEFIT AMOUNT EXPECTED AT AGE:
ASSUMES PARTICIPANTS WORK UNTIL THEN
AT CURRENT WAGES OR SALARIES
4. d - BENEFIT AMOUNT EXPECTED AT AGE:
ASSUMES PARTICIPANTS WORK UNTIL THEN,
WITH ANNUAL INCOME INCREASES OF:
5. e - ESTIMATION METHOD NOT CITED
7. z - OTHER
9. NA
0. Inap, 1201; 1202

1223

C4E. AGE (18-85; 99)

00. Inap, 1201; 1202

1224

C4E. % PERCENT ("d" only) to 2 decimals (0001-9500,9999)

0000. Inap, 1201; 1202

Var #

1501

C5. Express the normal retirement benefit and supplement formulas, including any other minimums or maximums on benefit amounts, using the terms defined in the above questions (ASY, PSY, FAP, SS) and standard notation
CHECK BOX: TOTAL NUMBER OF SEPARATE FORMULAS CODED:

Code # checked (01-11)

0 in
1515-1550
and scr 16

01. One formula

0 in
1527-1550
and scr 16

02. Two formulas

0 in
1539-1550
and scr 16

03. Three formulas

0 in
1603-1650

04. Four formulas

0 in
1615-1650

05. Five formulas

0 in
1627-1650

06. Six formulas

0 in
1639-1650

07. Seven formulas

08. Eight formulas

09. Nine formulas

10. Ten formulas

11. Eleven formulas

99. NA - see supervisor

Var #

1502

CHECK BOX: ALL FORMULAS DETERMINE...

1. MONTHLY
2. YEARLY BENEFITS
3. NA

FORMULAS

NR#1 - see variable 1858
NR#2 - see variable 1859
NR#3 - see variable 1860
NR#4 - see variable 1861
NR#5 - see variable 1862
NR#6 - see variable 1863
NR#7 - see variable 1864
NR#8 - see variable 1865

Var #

1503 (1) NR#1 - RAS (01-06, 10-15; 99)
 1515 (2)
 1527 (3) 00. Inap, 1501
 1539 (4)

1504 (1) NR #1 - DAT (01-15; 99)
 1516 (2)
 1528 (3) 00. Inap, 1501
 1540 (4)

1505 (1) NR #1 - ROT (01-15; 99)
 1517 (2)
 1529 (3) 00. Inap, 1501
 1541 (4)

1506 (1) NR #1 - LNG (01-15; 99)
 1518 (2)
 1530 (3) 00. Inap, 1501
 1542 (4)

1858 (1) NR #1 - FORMULA
 1859 (2)
 1860 (3) Code 120 digit formula
 1861 (4) Inap., 1501

1603 (5) NR#5 - RAS (01-06, 10-15; 99)
 1615 (6)
 1627 (7) 00. Inap, 1501
 1639 (8)

1604 (5) NR#5 - DAT (01-15; 99)
 1616 (6)
 1628 (7) 00. Inap, 1501
 1640 (8)

1605 (5) NR#5 - ROT (01-15; 99)
 1617 (6)
 1629 (7) 00. Inap, 1501
 1641 (8)

1606 (5) NR#5 - LNG (01-15; 99)
 1618 (6)
 1630 (7) 00. Inap, 1501
 1642 (8)

Var #

1862 (5)

NR#5 - FORMULA

Code 120-digit formula

1863 (6)

Inap., 1501.

1864 (7)

1865 (8)

1701

C6. SUMMARY : # of RAS's

0 in
1721-1758

1. One

0 in
1740-1758

2. Two

3. Three

RAS1 = V1702-1720
RAS2 = V1721-1739
RAS3 = V1740-1758

1702 (1)

1721 (2)

1740 (3)

C6. DEFINE ASY (01-18, 99)

00. NOT USED; Inap, 1701

See ASY MC
for combinations.

Var #

1703 (1)	C6a.	NO MINIMUM AGE OR ASY	
1722 (2)		1. "a" checked	
1741 (3)		9. NA	
		0. "a" not checked; Inap, 1701	
1704 (1)	C6b.	MINIMUM AGE OF: _____ (18-85, 99)	
1723 (2)		AND NO MINIMUM ASY	
1742 (3)		00. Inap, 1701	
1705 (1)	C6c.	MINIMUM ASY OF: _____ (01-50, 99)	
1724 (2)		AND NO MINIMUM AGE	
1743 (3)		00. Inap, 1701	
1706 (1)	C6d.	MINIMUM AGE OF: _____ (18-85, 99)	
1725 (2)		00. Inap, 1701	
1744 (3)			
1707 (1)	C6d.	AND MINIMUM ASY OF: _____ (01-50, 99)	
1726 (2)		00. Inap, 1701	
1745 (3)			

Var #

1708 (1) C6e. MINIMUM AGE OF: (18-85, 99)
1727 (2)
1746 (3) 00. Inap, 1701

1709 (1) C6e. AND MINIMUM ASY OF: (01-50, 99)
1728 (2)
1747 (3) 00. Inap, 1701

1710 (1) C6f. MINIMUM AGE OF: (18-85, 99)
1729 (2)
1748 (3) 00. Inap, 1701

1711 (1) C6f. AND MINIMUM ASY OF: (01-50, 99)
1730 (2)
1749 (3) 00. Inap, 1701

1712 (1) C6g. AGE PLUS ASY OF: (001-150, 999)
1731 (2) NO MIN AGE OR ASY
1750 (3) 000. Inap, 1701

1713 (1) C6h. AGE PLUS ASY OF: (001-150, 999)
1732 (2)
1751 (3)

1714 (1) C6h. AND MINIMUM AGE OF: (18-85, 99)
1733 (2)
1752 (3) 00. Inap, 1701

Var #

1715 (1) C6i. AGE PLUS ASY OF: (001-150, 999)
1734 (2)
1753 (3) 000. Inap, 1701

1716 (1) C6i. AND MINIMUM ASY OF: (01-50, 99)
1735 (2)
1754 (3) 00. Inap, 1701

1717 (1) C6j. AGE PLUS ASY OF: (001-150, 999)
1736 (2)
1755 (3) 000. Inap, 1701

1718 (1) C6j. AND MINIMUM AGE OF: (18-85, 99)
1737 (2)
1756 (3) 00. Inap, 1701

1719 (1) C6j. AND MINIMUM ASY OF: (01-50, 99)
1738 (2)
1757 (3) 00. Inap, 1701

1720 (1) C6z. OTHER
1739 (2)
1758 (3) 1. "z" checked
9. NA
0. "z" not checked; Inap, 1701

Var #

1801 C7. SUMMARY: # of DAT's

0 in
1807-1816

1. One

0 in
1812-1816

2. Two

3. Three

DAT1 = V1802 - 1806
DAT2 = V1807 - 1811
DAT3 = V1812 - 1816

1802 (1)
1807 (2)
1812 (3)

C7. Do the normal retirement benefit or supplement formulas apply only to participants that retire during a specific calendar time period, or to all retirement dates (DAT)?

- 1. a - COVERS ALL YEARS: NO SPECIFIC DATES CITED
- 2. b - BEFORE:
- 3. c - AFTER:
- 4. d - FROM:
TO:
- 7. z - OTHER
- 9. NA
- 0. Inap., 1801

1803 (1)
1808 (2)
1813 (3)

C7. BEFORE/AFTER/FROM: MONTH (01-12; 99)

00. Inap, 1801

1804 (1)
1809 (2)
1814 (3)

C7. BEFORE/AFTER/FROM: YEAR (01-95; 99)

00. Inap, 1801

1805 (1)
1810 (2)
1815 (3)

C7. TO: MONTH (01-12; 99)

00. Inap, 1801

1806 (1)
1811 (2)
1816 (3)

C7. TO: YEAR (01-95; 99)

00. Inap, 1801

1817 C8. SUMMARY: # OF ROT'S

0 in
1823-1832

1. One

0 in
1828-1832

2. Two

3. Three

ROT1 = V1818 - 1822
ROT2 = V1823 - 1827
ROT3 = V1828 - 1832

Var #

- 1818 (1)
- 1823 (2)
- 1828 (3)

To receive any of the normal retirement benefits or supplements are there any other requirements (ROT), such as specific employer approval, or benefits limited to only participants that made voluntary contributions, or other special requirements?

(NOTE: If ROT formula cited below, see V1890, V1891, V1892).

- 01. a - NO OTHER SPECIAL REQUIREMENTS
- 02. b - EMPLOYER APPROVAL REQUIRED
- 03. c - VOLUNTARY CONTRIBUTIONS REQUIRED
- 04. d - PAST SERVICE CREDIT REQUIRED
- 05. e - IF PLAN PARTICIPANT ON:
- 06. f - IF PLAN PARTICIPANT BEFORE:
- 07. g - IF PLAN PARTICIPANT AFTER:
- 08. h - IF PLAN PARTICIPANT FROM:
TO:

- 20. Veterans only
- 21. Non-veterans only
- 22. Only non-employment related disabilities for veterans
- 23. Only non-employment related disabilities for non-veterans
- 24. Participants have children under age 21 at time of termination.

- 30. Must qualify for company's long-term disability program
- 31. Must not qualify for company's long-term disability program
- 32. Must qualify for company's disability program or be eligible for SS disability
- 33. Must qualify for company's disability program and be eligible for SS disability
- 34. Not currently eligible for any type of SS benefit
- 35. Not eligible for company's disability program, but is eligible for SS disability
- 36. Must qualify for SS disability

- 38. Must qualify for company's disability program and plan participant before:
- 39. Must qualify for company's disability program and ROT formula

- 40. Fire, police officer; hazardous duty employee
- 41. ROT formula and if hazardous duty employee
- 42. Employment related disability for fire, police officers
- 43. Non-employment related disability for fire, police officers

- 45. Employer approval and ROT formula
- 46. Employer approval and voluntary contributions
- 47. Employer approval and plan participant before:

- 60. ROT formula and plan participant on:
- 61. ROT formula and plan participant before:
- 62. ROT formula and plan participant after:
- 63. ROT formula and plan participant from/to:
- 64. Voluntary contribution required and ROT formula

Var #

1818 (1)
1823 (2)
1828 (3)
(continued)

- 65. Voluntary contributions required and plan participant from/to:
- 66. No withdrawal of voluntary contributions and plan participant before:
- 67. Withdrawal of voluntary contributions or plan participant after:
- 69. Only if eligible for SS disability and plan participant on:
- 70. Only if eligible for SS disability and plan participant before:
- 71. Only if not eligible for SS disability and plan participant before:
- 72. Only if eligible for SS disability and ROT formula
- 73. Only if eligible for SS disability and voluntary contributions
- 74. Only if eligible for SS disability and employment related disability
- 75. Employment related disabilities and plan participant before:
- 76. Employment related disabilities and plan participant after:
- 77. Non-employment related disabilities and plan participant before:
- 78. Non-employment related disabilities and plan participant from/to:
- 79. Employment related disabilities and plan participant from/to:

- 96. ROT requirement expressed in standard notation formula-- see V1890/V1891/V1892

- 97. z - OTHER
- 99. NA
- 00. Inap, 1817

1819 (1)
1824 (2)
1829 (3)

C8. ON/BEFORE/AFTER/FROM: MONTH (01-12;99)

00. Inap, 1817

1820 (1)
1825 (2)
1830 (3)

C8. ON/BEFORE/AFTER/ FROM: YEAR (01-95; 99)

00. Inap, 1817

1821 (1)
1826 (2)
1831 (3)

C8. TO: MONTH (01-12; 99)

00. Inap, 1817

1822 (1)
1827 (2)
1832 (3)

C8. TO: YEAR (01-95; 99)

00. Inap, 1817

Var #

1833

C9. SUMMARY: # of LNG's

0 in
1839-1848

1. One

0 in
1844-1848

2. Two

3. Three

LNG 1 = V1834-1838
LNG 2 = V1839-1843
LNG 3 = V1844-1848

1834 (1)
1839 (2)
1844 (3)

C9. Aside from lump-sum withdrawal options, are the normal retirement benefits and supplements paid on a regular basis for life, on a regular basis for some other specified time period, a one-time payment, or how long (LNG)?

- 01. a - FOR LIFE
- 02. b - FOR # YRS:
- 03. c - UNTIL AGE:
- 04. d - AFTER AGE:
- 05. e - FROM AGE:
TO AGE:
- 06. f - UNTIL ELIGIBLE FOR SOCIAL SECURITY BENEFITS
- 07. g - AFTER ELIGIBLE FOR SOCIAL SECURITY BENEFITS
- 08. h - ONE TIME PAYMENT

- 18. Until participant would have been eligible for NR
- 19. After participant would have been eligible for NR
- 20. For life after first # YRS:
- 21. Until earlier of AGE:
or duration of #YRS:
- 22. After earlier of AGE:
or duration of #YRS:
- 23. Until earlier of AGE:
or eligible for Social Security Disability
- 30. Until child reaches AGE 18 (or 21 if student)

- 50. Until earlier of AGE 65 or #YRS=.5*ASY1
- 51. Until earlier of AGE 65 or #YRS=.25*ASY10

- 97. z - OTHER
- 99. NA
- 00. Inap, 1833

Var #

1835 (1) # YRS (01-50; 99)
1840 (2) _____
1845 (3) 00. Inap, 1833

1836 (1) UNTIL/AFTER/FROM AGE: (18-95; 99)
1841 (2) _____
1846 (3) 00. Inap, 1833

1837 (1) TO AGE: (18-95; 99)
1842 (2) _____
1847 (3) 00. Inap, 1833

1838 (1) (f-g) UNREDUCED...
1843 (2) _____
1848 (3) 1. UNREDUCED
2. REDUCED
3. NOT CITED
9. NA
0. Inap, 1833

Var #

1857 C10. Please express how the normal retirement benefit and supplement formulas are combined to determine the total normal retirement benefit amount, including any limitations on the minimum or maximum total normal retirement benefit.

NRT #1 = Code formula

1857	NRT#1 formula
1858	NR#1 formula
1859	NR#2 formula
1860	NR#3 formula
1861	NR#4 formula
1862	NR#5 formula
1863	NR#6 formula
1864	NR#7 formula
1865	NR#8 formula
1890	ROT1 formula
1891	ROT2 formula
1892	ROT3 formula

1901 D1. Are participants in this pension plan subject to a mandatory retirement age?

1. YES

0 in
1902

5. NO

9. NA

1902 D1A. What is the mandatory retirement age?

Code AGE (50-95)

99. NA

00. Inap, 1901

Var #

1903

D2. For vested participants who retire later than the normal retirement age (but on or before the mandatory age), are benefit increases calculated using the normal retirement formulas and maximums coded above, or is there a special factor used to adjust normal retirement benefit amounts for participants who retire late?

0 in
1904-1928

- 1. USE SPECIAL ADJUSTMENT FACTOR
- 5. USE NORMAL RETIREMENT FORMULAS AND MAXIMUMS
- 9. NA

1904

D3. How is the adjustment factor defined?

0 in
1909-1928

1. a - NORMAL RETIREMENT BENEFITS ACTUARIALLY INCREASED FOR EACH YEAR WORK AFTER:

0 in
1906-1908
1914-1928

2. b - FIXED DOLLAR OR PERCENT INCREASE, MULTIPLIED BY THE NUMBER OF YEARS THE PARTICIPANT RETIRES AFTER REACHING A CERTAIN AGE, ASY, OR SUM OF AGE + ASY.

0 in
1906-1913

3. c - VARYING DOLLAR OR PERCENT INCREASE DEPENDING ON AGE, ASY, OR SUM AGE + ASY.

0 in
1906-1928

4. d - UNSPECIFIED INCREASE

7. z - OTHER

9. NA

0. Inap, 1903

Var #

1905

D3. DEFINE ASY

Code ASY # (01-18)

See ASY MC for
combination codes.

99. NA

00. NOT USED; Inap, 1903; 1904

1906

If a: "AGE" BOX

- 1. AGE
- 2. ASY
- 3. AGE+ASY

7. Other

9. NA

0. Inap, 1903; 1904

1907

If a: OVER _____, (001-150;999)

000. Inap, 1903, 1904

1908

If a: TO MAX: _____ (001-150;999)

000. Inap, 1903, 1904

Var #

1909

If b: "\$" BOX

- 1. \$
- 2. %

- 7. Other

- 9.

- 0. Inap, 1903, 1904

1910

If b: "AGE" BOX

- 1. AGE
- 2. ASY
- 3. AGE+ASY

- 7. Other

- 9.

- 0. Inap, 1903, 1904

1911

If b: \$ or % field to 2 decimals

000 000 01 - 999 995 00

-9. NA

000 000 00. Inap, 1903; 1904

1912

If b: OVER: _____ (AGE/ASY field) (001-150; 999)

000. Inap, 1903, 1904

1913

If b: TO MAX: _____ (AGE/ASY field) (001-150; 999)

000. Inap, 1903, 1904

Var #

1914

If c: "\$" BOX

- 1. \$
- 2. %
- 7. Other
- 9. NA
- 0. Inap, 1903; 1904

1915

If c: "AGE" BOX

- 1. AGE
- 2. ASY
- 3. AGE+ASY
- 7. Other
- 9. NA
- 0. Inap, 1903; 1904

1916 (UNDER)
 1918 (FROM-MIN)
 1921 (FROM)
 1924 (FROM)
 1927 (OVER)

If c: \$ or % field to two decimals

000 000 01 - 999 995 00

-9. NA

000 000 00. Inap, 1903; 1904

1917 (UNDER)

If c: AGE/ASY/AGE+ASY field (001-150; 999)

1919 (FROM-MIN)
 1920 (TO)

000. Inap, 1903; 1904

1922 (FROM)
 1923 (TO)

1925 (FROM)
 1926 (TO MAX)

1928 (OVER)

Var #

2001

E1. Does this pension plan include a provision for early retirement?

0 in
2002-2057
and scr
21 - 32

- 1. YES
- 2. YES, BUT NO (INSUFFICIENT) DETAILS GIVEN IN PLAN
- 5. NO
- 9. NA

2002

E2. Do any of the early retirement benefit and supplement formulas or eligibility requirements depend on the number of actual years of credit service the employee has accumulated?

0 in
2003-2057

- 1. YES, USE ONE OR MORE NEW DEFINITIONS
- 2. YES, USE PRIOR DEFINITIONS
- 5. NO
- 9. NA
- 0. Inap, 2001

2003

E2. SUMMARY: # of ASY's

0 in
2022-2057

1. One

0 in
2040-2057

2. Two

3. Three

0. Inap, 2001;2002

ASY4 = V2004-2021 ASY5 = V2022-2039 ASY6 = V2040-2057

Var #

2004 (4)
2022 (5)
2040 (6)

E2A. How is the number of actual years of credited service (ASY) determined for use in the early retirement formulas or for eligibility requirements?

1. a - ALL YEARS OF EMPLOYMENT ARE CREDITED.
2. b - ONLY COUNT YEARS AFTER AGE:
3. c - ONLY COUNT YEARS AFTER FIRST # YEARS:
4. d - ONLY COUNT YEARS AFTER AGE:
OR AFTER FIRST # YEARS:
5. e - ONLY COUNT YEARS AFTER AGE:
AND AFTER FIRST # YEARS:
6. f - ONLY COUNT YEARS DURING FINAL # YRS:
7. z - OTHER
9. NA
0. Inap, 2001; 2002; 2003;

2005 (4)
2023 (5)
2041 (6)

E2A. AGE (18-85; 99)

00. Inap, 2001; 2002; 2003

2006 (4)
2024 (5)
2042 (6)

E2A. # YEARS (01-50; 99)

00. Inap, 2001; 2002; 2003

Var #

2007 (4)
2025 (5)
2043 (6)

E2B. Are the above definitions of ASY based only on specific calendar time periods, or on all years covered by this pension plan?

1. a - COVERS ALL YEARS: NO SPECIFIC DATES CITED.
2. b - BEFORE:
3. c - AFTER:
4. d - FROM/TO:
7. z - OTHER
9. NA
0. Inap, 2001; 2002; 2003

2008 (4)
2026 (5)
2044 (6)

E2B. BEFORE/AFTER/FROM: MONTH (01-12; 99)

00. Inap, 2001; 2002; 2003

2009 (4)
2027 (5)
2045 (6)

E2B. BEFORE/AFTER/FROM: YEAR (01-95; 99)

00. Inap, 2001; 2002; 2003

2010 (4)
2028 (5)
2046 (6)

E2B. TO: MONTH (01-12; 99)

00. Inap, 2001; 2002; 2003

2011 (4)
2029 (5)
2047 (6)

E2B. TO: YEAR (01-95; 99)

00. Inap, 2001; 2002; 2003

Var #

2012 (4) E2C. Is there a maximum number of actual years of credited
2030 (5) service that can be used for early retirement benefits or
2048 (6) a maximum age after which service years can no longer
be credited toward early retirement benefits?

1. a - YES, MAXIMUM AGE:
2. b - YES, MAXIMUM ASY:
3. c - YES, MAXIMUM AGE PLUS ASY:
4. d - YES, WHEN OVER MAXIMUM AGE:
OR OVER MAXIMUM ASY:
5. e - YES, WHEN OVER MAXIMUM AGE:
AND OVER MAXIMUM ASY:
6. f - NO
7. z - OTHER
9. NA
0. Inap, 2001; 2002; 2003

2013 (4) E2C. AGE (18-85; 99)
2031 (5)

2049 (6) 00. Inap, 2001; 2002; 2003

2014 (4) E2C. ASY (01-50; 99)
2032 (5)

2050 (6) 00, Inap, 2001; 2002; 2003

2015 (4) E2C. AGE + ASY (001-150; 999)
2033 (5)

2051 (6) 000. Inap, 2001; 2002; 2003

Var #

2016 (4)
2034 (5)
2052 (6)

E2D. Are participants credited one service year for each year they meet minimum employment requirements, or does the amount of credited service depend on the actual number of hours worked each year?

- 01. a - CREDITED ONE ASY FOR EACH YEAR EMPLOYED; NO MINIMUM WORK REQUIREMENTS
- 02. b - CREDITED WITH EITHER ZERO OR ONE ASY; ONE ASY IS CREDITED FOR EACH YEAR WORKED A MINIMUM OF:
HOURS PER YEAR
- 03. c - # WEEKS PER YEAR, AND
HOURS PER WEEK
- 04. d - # MONTHS PER YEAR, AND
HOURS PER MONTH
- 05. e - CREDITED WITH A PRORATED FRACTION FROM ZERO TO ONE ASY; ONE FULL ASY IS CREDITED FOR EACH YEAR WORKED A MINIMUM OF:
HOURS PER YEAR
- 06. f - # WEEKS PER YEAR, AND
HOURS PER WEEK
- 07. g - # MONTHS PER YEAR, AND
HOURS PER MONTH
- 08. h - CREDITED EACH YEAR WITH A PRORATED FRACTION FROM ZERO TO MORE THAN ONE ASY; ONE FULL ASY IS CREDITED FOR EVERY:
HOURS PER YEAR
- 09. i - # WEEKS PER YEAR, AND
HOURS PER WEEK
- 10. j - # MONTHS PER YEAR, AND
HOURS PER MONTH
- 97. z - OTHER
- 99. NA
- 00. Inap, 2001; 2002; 2003.

Var #

2017 (4) E2D. # HOURS PER YEAR (0001-2496; 9999)
2035 (5)
2053 (6) 0000. Inap, 2001; 2002; 2003

2018 (4) E2D. # WEEKS PER YEAR (01-52; 99)
2036 (5)
2054 (6) 00. Inap, 2001; 2002; 2003

2019 (4) E2D. # HOURS PER WEEK (01-48; 99)
2037 (5)
2055 (6) 00. Inap, 2001; 2002; 2003

2020 (4) E2D. # MONTHS PER YEAR (01-12; 99)
2038 (5)
2056 (6) 00. Inap, 2001; 2002; 2003

2021 (4) E2D. # HOURS PER MONTH (001-208; 999)
2039 (5)
2057 (6) 000. Inap, 2001; 2002; 2003

Var #

2101

E3. Do any of the early retirement benefit or supplement formulas depend on the number of potential years of credited service?

0 in
2102-2135

- 1. YES, USE ONE OR MORE NEW DEFINITIONS
- 2. YES, USE PRIOR DEFINITIONS
- 5. NO
- 9. NA
- 0. Inap, 2001

2102

E3. SUMMARY: # of PSY'S

0 in
2114-2135

1. One

0 in
2125-2135

2. Two

3. Three

0. Inap, 2001; 2101

PSY4 = V2103 - 2113
PSY5 = V2114 - 2124
PSY6 = V2125 - 2135

Var #

2103 (4)
2114 (5)
2125 (6)

E3A. How is the number of potential years of credited service (PSY) determined for use in the early retirement formulas

1. a - ASSUMES PARTICIPANT WORKS UNTIL AGE:
COUNT ALL YEARS SINCE FIRST EMPLOYED
2. b - ASSUMES PARTICIPANT WORKS UNTIL AGE:
COUNT ONLY YEARS EMPLOYED AFTER AGE:
3. c - ASSUMES PARTICIPANT WORKS UNTIL AGE:
COUNT ONLY YEARS AFTER FIRST # YRS:
4. d - ASSUMES PARTICIPANT WORKS UNTIL AGE:
COUNT ONLY YEARS EMPLOYED AFTER AGE:
OR ONLY YEARS AFTER FIRST # YRS
5. e - ASSUMES PARTICIPANT WORKS UNTIL AGE:
COUNT ONLY YEARS EMPLOYED AFTER AGE:
AND ONLY YEARS AFTER FIRST # YRS:
7. z - OTHER
9. NA
0. Inap, 2001; 2101; 2102

2104 (4)
2115 (5)
2126 (6)

E3A. UNTIL AGE: (18-85; 99)

00. Inap, 2001; 2101; 2102

2105 (4)
2116 (5)
2127 (6)

E3A. AFTER AGE: (18-85; 99)

00. Inap, 2001; 2101; 2102

2106 (4)
2117 (5)
2128 (6)

E3A. # YEARS: (01-50; 99)

00. Inap, 2001; 2101; 2102

Var #
2107 (4)
2118 (5)
2129 (6)

E3B. Are the above definitions of PSY based only on specific calendar time periods, or on all years covered by this pension plan?

- 1. a - COVERS ALL YEARS: NO SPECIFIC DATES CITED
- 2. b - BEFORE:
- 3. c - AFTER:
- 4. d - FROM/TO:
- 7. z - OTHER
- 9. NA
- 0. Inap, 2001; 2102; 2102

2108 (4)
2119 (5)
2130 (6)

E3B. BEFORE/AFTER/FROM: MONTH (01-12; 99)

- 00. Inap, 2001; 2101; 2102

2109 (4)
2120 (5)
2131 (6)

E3B. BEFORE/AFTER/FROM: YEAR (01-95; 99)

- 00. Inap, 2001; 2102; 2102

2110 (4)
2121 (5)
2132 (6)

E3B. TO: MONTH (01-12; 99)

- 00. Inap, 2001; 2101; 2102

2111 (4)
2122 (5)
2133 (6)

E3B. TO: YEAR (01-95, 99)

- 00. Inap, 2001; 2101; 2102

2112 (4)
2123 (5)
2134 (6)

E3C. Is there a maximum number of potential credited service years that can be used for early retirement benefits?

- 1. a - YES, MAXIMUM PSY:
- 2. b - NO
- 7. z - OTHER
- 9. NA
- 0. Inap, 2001; 2101; 2102

2113 (4)
2124 (5)
2135 (6)

E3C. MAXIMUM PSY: (01-50; 99)

- 00. Inap, 2001; 2101; 2102

Var #

2201

E4. Do any of the early retirement benefit or supplement formulas depend on the level of the employee's wages or salary?

0 in
2202-2253

- 1. YES, USE ONE OR MORE NEW DEFINITIONS
- 2. YES, USE PRIOR DEFINITIONS
- 5. NO
- 9. NA
- 0. Inap, 2001

2202

E4. SUMMARY: # of FAP's

0 in
2220-2253

1. One

0 in
2237-2253

2. Two

3. Three

0. Inap, 2001; 2201

FAP4 = V2203 - 2219
FAP5 = V2220 - 2236
FAP6 = V2237 - 2253

Var #

2203 (4)
2220 (5)
2237 (6)

E4A. How is Final Average Pay (FAP) defined for use in the early retirement formulas?

- 01. a - FINAL SALARY OR WAGE RATE
- 02. b - TOTAL OF ALL MO/YRS
- 03. c - AVERAGE OF ALL MO/YRS
- 04. d - AVERAGE DURING FINAL # MO/YRS:
- 05. e - HIGHEST MO/YR DURING FINAL # MO/YRS:
- 06. f - AVERAGE OF THE HIGHEST # MO/YRS:
DURING FINAL # MO/YRS:
- 07. g - AVERAGE HIGHEST CONSECUTIVE # MO/YRS
DURING FINAL # MO/YRS:
- 08. h - NO SPECIFIED PERIOD, HIGHEST MO/YR
- 09. i - NO SPECIFIED PERIOD,
AVERAGE OF THE HIGHEST # MO/YRS:
- 10. j - NO SPECIFIED PERIOD.
AVERAGE HIGHEST CONSECUTIVE # MO/YRS
- 97. z - OTHER
- 99. NA
- 00. Inap, 2001; 2201; 2202

2204 (4)
2221 (5)
2238 (6)

E4A. CHECK: MO or YR

- 1. MO
- 2. YR
- 9. NA
- 0. Inap, 2001; 2201; 2202

2205 (4)
2222 (5)
2239 (6)

E4A. FIRST # MO/YRS (001-240; 999)

- 000. Inap, 2001; 2201; 2202

2206 (4)
2223 (5)
2240 (6)

E4A. SECOND # MO/YRS (f and j only) (001-240; 999)

- 000. Inap, 2001; 2201; 2202

Var #

- 2207 (4) E4B. When used in the early retirement formulas, is FAP expressed
2224 (5) in terms of monthly or annual amounts?
2241 (6)
-
1. a - MONTHLY AMOUNT
2. b - YEARLY AMOUNT
3. c - DOES NOT APPLY -- FAP DEFINED AS TOTAL OF ALL MO/YRS
7. z - OTHER
9. NA
0. Inap, 2001; 2201; 2202
- 2208 (4) E4C. Are the above definitions of FAP based on wages and salaries
2225 (5) earned during specific calendar time periods, or during
2242 (6) all years covered by this pension plan?
-
1. a - COVERS ALL YEARS: NO SPECIFIC DATES CITED
2. b - BEFORE:
3. c - AFTER:
4. d - FROM/TO:
7. z - OTHER
9. NA
0. Inap, 2001; 2201; 2202
- 2209 (4) E4C. BEFORE/AFTER/FROM: MONTH (01-12; 99)
2226 (5)
2243 (6)
-
00. Inap, 2001; 2201; 2202
- 2210 (4) E4C. BEFORE/AFTER/FROM: YEAR (01-95; 99)
2227 (5)
2244 (6)
-
00. Inap, 2001; 2201; 2202
- 2211 (4) E4C. TO: MONTH (01-12; 99)
2228 (5)
2245 (6)
-
00. Inap, 2001; 2201; 2202
- 2212 (4) E4C. TO: YEAR (01-95; 99)
2229 (5)
2246 (6)
-
00. Inap, 2001; 2201; 2202

Var #

2213 (4)
2230 (5)
2247 (6)

E4D. Is there a maximum age or service years after which any wages or salaries cannot be used in calculating FAP?

- 1. a - YES, MAXIMUM AGE:
- 2. b - YES, MAXIMUM ASY:
- 3. c - YES, MAXIMUM AGE PLUS ASY:
- 4. d - YES, WHEN OVER MAXIMUM AGE:
OR OVER MAXIMUM ASY:
- 5. e - YES, WHEN OVER MAXIMUM AGE:
AND OVER MAXIMUM ASY:
- 6. f - NO
- 7. z - OTHER
- 9. NA
- 0. Inap, 2001; 2201; 2202

2214 (4)
2231 (5)
2248 (6)

E4D. DEFINE ASY

Code ASY # 01-18 _____

00. NOT USED:
Inap, 2001; 2201; 2202

See ASY MC for combi- nation codes.

2215 (4)
2232 (5)
2249 (6)

E4D. AGE (18-85; 99)

00. Inap, 2001; 2201; 2202

2216 (4)
2233 (5)
2250 (6)

E4D. ASY (01-50; 99)

00. Inap, 2001; 2201; 2202

2217 (4)
2234 (5)
2251 (6)

E4D. AGE + ASY (001-150; 999)

000. Inap, 2001; 2201; 2202

Var #

2218 (4)
2235 (5)
2252 (6)

E4E. Is there a maximum on the amount of monthly/annual wages and salaries that can be used to calculate FAP?

- 1. a - YES, MAXIMUM OF:
- 2. b - NO
- 7. z - OTHER
- 9. NA
- 0. Inap, 2001; 2201; 2202

2219 (4)
2236 (5)
2253 (6)

E4E. MAXIMUM OF: (000 001-999 995, 999 999)

000 000. Inap, 2001; 2201; 2202

2301

E5. Do Social Security benefit payments or the Social Security taxable wage base enter into any of the early retirement benefit or supplement formulas?

0 in
2302-2324
and scr 24-25

- 1. YES, USE ONE OR MORE NEW DEFINITIONS
- 2. YES, USE PRIOR DEFINITIONS
- 5. NO
- 9. NA
- 0. Inap, 2001

2302

E5. SUMMARY # of SS's

0 in
2403-2524

0 in
2503-2524

- 1. One
- 2. Two
- 3. Three
- 0. Inap, 2001; 2301

SS4 = V2303 - 2324
SS5 = V2403 - 2424
SS6 = V2503 - 2524

Var #

SS4 = V2303-2324
SS5 = V2403-2424
SS6 = V2503-2524

2303

E5A. How is the Social Security term (SS) defined for use in the
early retirement formulas?

1. a - SOCIAL SECURITY BENEFIT AMOUNT (CHECK WHETHER PRIMARY OR JOINT, AND WHETHER REDUCED OR UNREDUCED.)
2. b - SOCIAL SECURITY TAXABLE WAGE BASE
7. z - OTHER
9. NA
0. Inap, 2001; 2301; 2302

2304

If a: PRIMARY...

1. PRIMARY
2. JOINT
3. NOT CITED
9. NA
0. Inap, 2001; 2301; 2302

2305

If a: UNREDUCED...

1. UNREDUCED
2. REDUCED
3. NOT CITED
9. NA
0. Inap, 2001; 2301; 2302

Var #

2306

If b: AT RETIREMENT...

1. AT RETIREMENT
2. CAREER TOTAL
3. CAREER AVERAGE
9. NA
0. Inap, 2001; 2301; 2302

2307

If b: ACTUAL...

1. ACTUAL
2. ESTIMATED AT RETIREMENT
3. ESTIMATED IN YEAR: 19
9. NA
0. Inap, 2001; 2302; 2302

2308

If b: YEAR: 19 (01-95; 99)

00. Inap, 2001; 2301; 2302

2309

E5B. When asked in the early retirement formulas, is SS expressed in terms of monthly or annual amounts?

- 1. a - MONTHLY AMOUNT
- 2. b - YEARLY AMOUNT
- 3. c - DOES NOT APPLY -- SS DEFINED AS CAREER TOTAL SWB.
- 7. z - OTHER
- 9. NA
- 0. Inap, 2001; 2301; 2302

2310

E5C. Are the above definitions of SS based only on specific calendar time periods, or on all years covered by this pension plan?

- 1. a - COVERS ALL YEARS: NO SPECIFIC DATES CITED.
- 2. b - BEFORE:
- 3. c - AFTER
- 4. d - FROM/TO:
- 7. z - OTHER
- 9. NA
- 0. Inap, 2001; 2301; 2302

2311

E5C. BEFORE/AFTER/FROM: MONTH (01-12; 99)

- 00. Inap, 2001; 2301; 2302

2312

E5C. BEFORE/AFTER/FROM: YEAR (01-95; 99)

- 00. Inap, 2001; 2301; 2302

2313

E5C. TO: MONTH: (01-12; 99)

- 00. Inap, 2001; 2301; 2302

2314

E5C. TO: YEAR: (01-95; 99)

- 00. Inap, 2001; 2301; 2302

Var #

2315

E5D. Is there a maximum limitation on the amount of SS that can be used to determine early retirement benefits or a maximum on service years or age after which the SS amount no longer accrues?

- 01. a - YES, MAXIMUM PERCENTAGE OF SS:
- 02. b - YES, MAXIMUM DOLLAR AMOUNT:
- 03. c - YES, MAXIMUM AGE:
- 04. d - YES, MAXIMUM ASY:
- 05. e - YES, MAXIMUM AGE PLUS ASY:
- 06. f - YES, WHEN OVER MAXIMUM AGE:
OR OVER MAXIMUM ASY:
- 07. g - YES, WHEN OVER MAXIMUM AGE:
AND OVER MAXIMUM ASY:
- 08. h - NO
- 97. z - OTHER
- 99. NA
- 00. Inap, 2001; 2301; 2302

2316

E5D. DEFINE ASY:

Code ASY # (01-18)

See ASY MC for
combination codes.

99. NA

00. Inap, 2001; 2301; 2302

Var #

2317 E5D. % PERCENT TO 2 decimals (0001-9500, 9999)

0000. Inap, 2001; 2301; 2302

2318 E5D. \$ DOLLARS (000 001-999 995; 999 999)

000 000. Inap, 2001; 2301; 2302

2319 E5D. AGE (18-85; 99)

00. Inap, 2001; 2301; 2302

2320 E5D. ASY (01-50; 99)

00. Inap, 2001; 2301; 2302

2321 E5D. AGE + ASY (001-150; 999)

000. Inap, 2001; 2301; 2302

Var #

2322

E5E. If participants can retire before they are eligible to receive Social Security benefits, how is the SS term estimated for use in the pension formula(s)?

1. a - DOES NOT APPLY
2. b - BENEFIT AMOUNT EXPECTED AT AGE:
ASSUMES PARTICIPANTS HAVE NO FUTURE
WAGE OR SALARY INCOME
3. c - BENEFIT AMOUNT EXPECTED AT AGE:
ASSUMES PARTICIPANTS WORK UNTIL THEN
AT CURRENT WAGES OR SALARIES
4. d - BENEFIT AMOUNT EXPECTED AT AGE:
ASSUMES PARTICIPANTS WORK UNTIL THEN,
WITH ANNUAL INCOME INCREASES OF:
5. e - ESTIMATION METHOD NOT CITED
7. z - OTHER
9. NA
0. Inap, 2001; 2301; 2302

2323

E5E. AGE (18-85; 99)

00. Inap, 2001; 2301; 2302

2324

E5E. % PERCENT (d only) to 2 decimals (0001-9500, 9999)

0000. Inap, 2001; 2301; 2302

Var #

2601

E6. Do any of the early retirement benefit or supplement formulas include a reduction factor to adjust benefits?

0 in
2602-26
and scr
27-28

- 1. YES
- 5. NO
- 9. NA
- 0. Inap, 2001

2602

E6. SUMMARY: # of RED's

0 in
2703-2826

1. One

0 in
2803-2826

2. Two

3. Three

0. Inap, 2001; 2601

RED4 = V2603-26
RED5 = V2703-26
RED6 = V2803-26

2603

E6A. How is the reduction factor (RED) defined for use in the early retirement formulas?

0 in
2608-2626

1. a - ACTUARIALLY EQUIVALENT TO BENEFITS RECEIVED AT:

0 in
2605-2607,
2612-2626

2. b - FIXED DOLLAR OR PERCENT REDUCTION, MULTIPLIED BY THE NUMBER OF YEARS THE PARTICIPANT RETIRES BEFORE REACHING A CERTAIN AGE, ASY OR SUM OF AGE + ASY.

0 in
2605-2611

3. c - VARYING DOLLAR OR PERCENT REDUCTION DEPENDING ON AGE, ASY OR SUM AGE + ASY.

0 in
2605-2626

4. d - UNSPECIFIED REDUCTION

7. z - OTHER

9. NA

0. Inap, 2001; 2601; 2602

2604

E6A. DEFINE ASY

Code ASY # (01-18)

See ASY MC for combinations.

99. NA

00. NOT USED: Inap, 2001; 2601; 2602; 2603

2605

If a: "AGE" BOX

1. AGE

2. AGE + ASY

3. NORMAL

5. ASY

7. OTHER

9. NA

0. Inap, 2001; 2601; 2602; 2603

2606

If a: AGE/ASY

00. Inap, 2001; 2601; 2602; 2603

2607

If a: AGE + ASY (001-150; 999)

000. Inap, 2001; 2602; 2602; 2603

Var #

2608

If b: "\$" BOX

1. \$
2. %
7. Other
9. NA
0. Inap, 2001; 2601; 2602; 2603

2609

If b: "AGE" BOX

1. AGE
2. ASY
3. AGE + ASY
7. Other
9. NA
0. Inap, 2001; 2601; 2602; 2603

2610

If b: \$ or % field to 2 decimals

000 000 01 - 999 995 00

-9. NA

000 000 00. Inap, 2001; 2601; 2602; 2603

2611

If b: AGE/ASY field (001-150; 999)

000. Inap, 2001; 2601; 2602; 2603

Var #

2612

If c: "\$" BOX

- 1. \$
- 2. %
- 7. Other
- 9. NA
- 0. Inap, 2001; 2601; 2602; 2603

2613

If c: "AGE" BOX

- 1. AGE
- 2. ASY
- 3. AGE + ASY
- 7. Other
- 9. NA
- 0. Inap, 2001; 2601; 2602; 2603

2614 (UNDER)

If c: \$ or % field to 2 decimals

2616 (FROM MIN)

000 000 01 - 999 995 00

2619 (FROM)

-9. NA

2622 (FROM)

000 000 00. Inap, 2001; 2601; 2602; 2603

2625 (OVER)

2615 (UNDER)

If c: AGE/ASY field (001-150; 999)

2617 (FROM MIN)

000. Inap, 2001; 2601; 2602; 2603

2618 (TO)

2620 (FROM)

2621 (TO)

2623 (FROM)

2624 (TO MAX)

2626 (OVER)

Var #

2901

E7. Express the early retirement benefit and supplement form including any other minimums or maximums on benefit amount, using the terms defined in the above questions (ASY, PSY, FAP, SS, RED) and standard notation.
CHECK BOX: TOTAL NUMBER OF SEPARATE FORMULAS CODED:

Code # checked (01-10)

0 in
2915-2938
and scr 30

01. One formula(s)

0 in
2927-2938
and scr 30

02. Two "

0 in
3003-3026

03. Three "

0 in
3015-3026

04. Four "
05. Five "
99. NA - See supervisor

00. Inap, 2001

2902

CHECK BOX: ALL FORMULAS DETERMINE:

- 1. MONTHLY
- 2. YEARLY BENEFITS
- 9. NA
- 0. Inap., 2001;

ER#1 - see variable 3261
 ER#2 - see variable 3262
 ER#3 - see variable 3263
 ER#4 - see variable 3264
 ER#5 - see variable 3265

Var #

2903 (1) ER#1 = RAS (01-06, 10-15; 96, 99)

2915 (2)

2927 (3)

00. Inap, 2001; 2901

for VARS 2930-2906
"T" = 96

2904 (1) ER#1 = DAT (01-15; 96, 99)

2916 (2)

2928 (3)

00. Inap, 2001; 2901

2905 (1) ER#1 = ROT (01-15; 96, 99)

2917 (2)

2929 (3)

00. Inap, 2001; 2901

2906 (1) ER#1 = LNG (01-15; 96, 99)

2918 (2)

2930 (3)

00. Inap, 2001; 2901

3261 (1) ER#1 - FORMULA

Code 120-digit formula

3262 (2)

Inap, 2001; 2901.

3263 (3)

Var #

3003 (4)
3015 (5)

ER#4: RAS (01-06, 10-15; 96, 99)

00. Inap, 2001; 2901

3004 (4)
3016 (5)

ER#4: DAT (01-15; 96, 99)

00. Inap, 2001; 2901

3005 (4)
3017 (5)

ER#4: ROT (01-15; 96, 99)

00. Inap, 2001; 2901

3006 (4)
3018 (5)

ER#4: LNG (01-15; 96, 99)

00. Inap, 2001; 2901

3264 (4)

ER#4: FORMULA

3265 (5)

Code 120-digit formula

Inap, 2001; 2901.

3101

E8. SUMMARY: # of RAS's

0 in
3121-3158

1. One

0 in
3140-3158

2. Two

3. Three

0. Inap, 2001

RAS 4 = V3102 - 3120
RAS 5 = V3121 - 3139
RAS 6 = V3140 - 3158

3102 (4)
3121 (5)
3140 (6)

E8. DEFINE ASY

Code ASY #01-18

See ASY MC
for combinations.

00. NOT USED; Inap, 3101

99. NA

Var #

3103 (4)
3122 (5)
3141 (6)

E8a. NO MINIMUM AGE OR ASY

- 1. "a" checked
- 9. NA
- 0. "a" not checked; Inap, 1701

3104 (4)
3123 (5)
3142 (6)

E8b. MINIMUM AGE OF: _____ (18-85, 99)
AND NO MINIMUM ASY

- 00. Inap, 1701

3105 (4)
3124 (5)
3143 (6)

E8c. MINIMUM ASY OF: _____ (01-50, 99)
AND NO MINIMUM AGE

- 00. Inap, 1701

3106 (4)
3125 (5)
3144 (6)

E8d. MINIMUM AGE OF: _____ (18-85, 99)

- 00. Inap, 1701

3107 (4)
3126 (5)
3145 (6)

E8d. AND MINIMUM ASY OF: _____ (01-50, 99)

- 00. Inap, 1701

Var #

3108 (4) E8e. MINIMUM AGE OF: (18-85, 99)
3127 (5)
3146 (6) 00. Inap, 1701

3109 (4) E8e. AND MINIMUM ASY OF: (01-50, 99)
3128 (5)
3147 (6) 00. Inap, 1701

3110 (4) E8f. MINIMUM AGE OF: (18-85, 99)
3129 (5)
3148 (6) 00. Inap, 1701

3111 (4) E8f. AND MINIMUM ASY OF: (01-50, 99)
3130 (5)
3149 (6) 00. Inap, 1701

3112 (4) E8g. AGE PLUS ASY OF: (001-150, 999)
3131 (5) NO MIN AGE OR ASY
3150 (6) 000. Inap, 1701

3113 (4) E8h. AGE PLUS ASY OF: (001-150, 999)
3132 (5)
3151 (6) 000. Inap, 1701

3114 (4) E8h. AND MINIMUM AGE OF: (18-85, 99)
3133 (5)
3152 (6) 00. Inap, 1701

Var #

3115 (4) E8i. AGE PLUS ASY OF: _____ (001-150, 999)
3134 (5)
3153 (6) 000. Inap, 1701

3116 (4) E8i. AND MINIMUM ASY OF: _____ (01-50, 99)
3135 (5)
3154 (6) 00. Inap, 1701

3117 (4) E8j. AGE PLUS ASY OF: _____ (001-150, 999)
3136 (5)
3155 (6) 000. Inap, 1701

3118 (4) E8j. AND MINIMUM AGE OF : _____ (18-85, 99)
3137 (5)
3156 (6) 00. Inap, 1701

3119 (4) E8j. AND MINIMUM ASY OF: _____ (01-50, 99)
3138 (5)
3157 (6) 00. Inap, 1701

3120 (4) E8z. OTHER
3139 (5)
3158 (6) 1. "z" checked
9. NA
0. "z" not checked; Inap, 1701

Var #

3201

E9. Do the early retirement benefit or supplement formulas apply only to participants that retire during a specific calendar time period, or to all retirement dates (DAT)?

0 in
3202-3217

- 1. USE ONE OR MORE NEW DATES
- 2. USE PRIOR DEFINITIONS
- 9. NA
- 0. Inap, 2001

3202

E9. SUMMARY: # of DAT's

0 in
3208-3217

1. One

0 in
3213-3217

2. Two

3. Three

0. Inap, 2001; 3201

DAT 4 = V3203 - 3207
DAT 5 = V3208 - 3212
DAT 6 = V3213 - 3217

3203 (4)
3208 (5)
3213 (6)

E9. NEW DATES

- 1. a - COVERS ALL YEARS: NO SPECIFIC DATES CITED.
- 2. b - BEFORE:
- 3. c - AFTER:
- 4. d - FROM/TO:
- 7. z - OTHER
- 9. NA
- 0. Inap, 2001; 3201; 3202

3204 (4)
3209 (5)
3214 (6)

E9. BEFORE/AFTER/FROM: MONTH (01-12; 99)

00. Inap, 2001; 3201; 3202

3205 (4)
3210 (5)
3215 (6)

E9. BEFORE/AFTER/FROM: YEAR (01-95; 99)

00. Inap, 2001; 3201; 3202

3206 (4)
3211 (5)
3216 (6)

E9. TO: MONTH (01-12; 99)

00. Inap, 2001; 3201; 3202

3207 (4)
3212 (5)
3217 (6)

E9. TO: YEAR (01-95; 99)

00. Inap, 2001; 3201; 3202

3218

E10. To receive any of the early retirement benefits or supplements are there any other requirements (ROT), such as specific employer approval, or benefits limited to only participants that made voluntary contributions, or other special requirements?

0 in
3219-3234

- 1. USE ONE OR MORE NEW REQUIREMENTS
- 2. USE PRIOR DEFINITIONS
- 9. NA
- 0. Inap, No. II, 2001

3219

E10. SUMMARY: # of ROT's

0 in
3225-3234

1. One

0 in
3230-3234

2. Two

3. Three

0. Inap, 2001; 3218

Var #

E10. Other Requirements

- 3220 (4)
- 3225 (5)
- 3230 (6)

(NOTE: If ROT formula cited below, see V3290, V3291, V3292)

- 01. a - NO OTHER REQUIREMENTS
- 02. b - EMPLOYER APPROVAL REQUIRED
- 03. c - VOLUNTARY CONTRIBUTIONS REQUIRED
- 04. d - PAST SERVICE CREDIT REQUIRED
- 05. e - IF PLAN PARTICIPANT ON:
- 06. f - IF PLAN PARTICIPANT BEFORE:
- 07. g - IF PLAN PARTICIPANT AFTER:
- 08. h - IF PLAN PARTICIPANT FROM/TO:

- 20. Veterans only
- 21. Non-veterans only
- 22. Only non-employment related disabilities for veterans
- 23. Only non-employment related disabilities for non-veterans
- 24. Participants have children under age 21 at time of termination.

- 30. Must qualify for company's long-term disability program
- 31. Must not qualify for company's long-term disability program
- 32. Must qualify for company's disability program or be eligible for SS disability
- 33. Must qualify for company's disability program and be eligible for SS disability
- 34. Not currently eligible for any type of SS benefit
- 35. Not eligible for company's disability program, but is eligible for SS disability
- 36. Must qualify for SS disability

- 38. Must qualify for company's disability program and plan participant before:
- 39. Must qualify for company's disability program and ROT formula

- 40. Fire, police officer; hazardous duty employee
- 41. ROT formula and if hazardous duty employee
- 42. Employment related disability for fire, police officers
- 43. Non-employment related disability for fire, police officers

- 45. Employer approval and ROT formula
- 46. Employer approval and voluntary contributions
- 47. Employer approval and plan participant before:

- 60. ROT formula and plan participant on:
- 61. ROT formula and plan participant before:
- 62. ROT formula and plan participant after:
- 63. ROT formula and plan participant from/to:
- 64. Voluntary contribution required and ROT formula
- 65. Voluntary contributions required and plan participant from/to:
- 66. No withdrawal of voluntary contributions and plan participant before:
- 67. Withdrawal of voluntary contributions or plan participant after:
- 69. Only if eligible for SS disability and plan participant on:

Var #

3220 (4)
3225 (5)
3230 (6)
(continued)

- 70. Only if eligible for SS disability and plan participant before:
- 71. Only if not eligible for SS disability and plan participant before:
- 72. Only if eligible for SS disability and ROT formula
- 73. Only if eligible for SS disability and voluntary contributions
- 74. Only if eligible for SS disability and employment related disability
- 75. Employment related disabilities and plan participant before:
- 76. Employment related disabilities and plan participant after:
- 77. Non-employment related disabilities and plan participant before:
- 78. Non-employment related disabilities and plan participant from/to:
- 79. Employment related disabilities and plan participant from/to:

- 96. ROT requirement expressed in standard notation formula-- see V3290/V3291/V3292.

- 97. z - OTHER
- 99. NA
- 00. Inap, 2001; 3218, 3219.

3221 (4)
3226 (5)
3231 (6)

E10. ON/BEFORE/AFTER/FROM: MONTH (01-12; 99)

00. Inap, 2001; 3218, 3219

3222 (4)
3227 (5)
3232 (6)

E10. ON/BEFORE/AFTER/FROM: YEAR (01-95; 99)

00. Inap, 2001; 3218, 3219

3223 (4)
3228 (5)
3233 (6)

E10. TO: MONTH (01-12; 99)

00. Inap, 2001; 3218, 3219

3224 (4)
3229 (5)
3234 (6)

E10. TO: YEAR (01-95; 99)

00. Inap, 2001; 3218, 3219

3235

E11. Aside from lump-sum withdrawal options, are the early retirement benefits and supplements paid on a regular basis for life, on a regular basis for some other specified time period, a one-time payment, or how long (LNG)?

0 in
3236 3251

1. USE ONE OR MORE NEW PAYMENT LENGTHS
2. USE PRIOR DEFINITIONS
9. NA
0. Inap, No. II, 2001

3236

E11. SUMMARY: # of LNG's

0 in
3232-3251

1. One

LNG 4 = V3237 - 3241
LNG 5 = V3242 - 3246
LNG 6 = V3247 - 3251

0 in
3247-3251

2. Two

3. Three

0. Inap, 2001; 3235

3237 (4)
3242 (5)
3247 (6)

E11. NEW PAYMENT LENGTHS

01. a - FOR LIFE
02. b - FOR # YRS:
03. c - UNTIL AGE:
04. d - AFTER AGE:
05. e - FROM AGE:
TO AGE:
06. f - UNTIL ELIGIBLE FOR SOCIAL SECURITY BENEFITS
07. g - AFTER ELIGIBLE FOR SOCIAL SECURITY BENEFITS
08. h - ONE TIME PAYMENT

18. Until participant would have been eligible for NR
19. After participant would have been eligible for NR
20. For life after first # YRS:
21. Until earlier of AGE:
or duration of #YRS:
22. After earlier of AGE:
or duration of #YRS:
23. Until earlier of AGE:
or eligible for Social Security Disability
30. Until child reaches AGE 18 (or 21 if student)

50. Until earlier of AGE 65 or #YRS=.5*ASY1
51. Until earlier of AGE 65 or #YRS=.25*ASY10

97. z - OTHER
99. NA
00. Inap, 2001; 3201; 3202.

Var #

3238 (4) E11. # YEARS (01-50; 99)
 3243 (5) _____
 3248 (6) 00. Inap, 2001

3239 (4) E11. UNTIL/AFTER/FROM AGE: (18-85; 99)
 3244 (5) _____
 3249 (6) 00. Inap, 2001

3240 (4) E11. TO AGE: (18-85; 99)
 3245 (5) _____
 3250 (6) 00. Inap, 2001

3241 (4) E11. UNREDUCED... (f and g only)
 3246 (5) _____
 3251 (6) 1. UNREDUCED
 2. REDUCED
 3. NOT CITED
 9. NA
 0. Inap, 2001

3260 E12. Please express how the early retirement benefit and supplement
 formulas are combined to determine the total early retire-
 ment benefit amount, including any limitations on the
 minimum or maximum total early retirement benefit.
 ERT#1=

00. Inap, 2001 See also Variable 3260.

3260 ERT #1 formula

3261 ER#1 formula

3262 ER#2 formula

3263 ER#3 formula

3264 ER#4 formula

3265 ER#5 formula

3290 ROT4 formula

3291 ROT5 formula

3292 ROT6 Formula

Var #

3301

F1. For participants that terminate employment before they qualify for normal or early retirement, how are actual service years defined to determine eligibility for deferred vested benefits?

0 in
3302

1. USE NEW DEFINITION OF ASY

0 in
3303-3316

5. USE PRIOR DEFINITION (SPECIFY): ASY _____

9. NA

3302

F1. (SPECIFY) ASY:

Code ASY # (01-18)

See ASY MC for combinations.

99. NA

00. Inap, 3301

3303

F1. NEW DEFINITION OF ASY

1. a - ALL YEARS OF EMPLOYMENT ARE CREDITED.

2. b - ONLY COUNT YEARS AFTER AGE:

3. c - ONLY COUNT YEARS AFTER FIRST # YEARS:

4. d - ONLY COUNT YEARS AFTER AGE:
OR AFTER FIRST # YEARS:

5. e - ONLY COUNT YEARS AFTER AGE:
AND AFTER FIRST # YEARS:

6. f - ONLY COUNT YEARS DURING FINAL #YEARS:

7. z - OTHER

9. NA

0. Inap, 3301

3304

F1. AGE (18-85; 99)

00. Inap, 3301

3305

F1. #YEARS (01-50; 99)

00. Inap, 3301

Var #

3306

FLA. Are the above definitions based only on specific calendar
time periods, or on all years covered by this pension plan?

1. a - COVERS ALL YEARS: NO SPECIFIC DATES CITED.
2. b - BEFORE:
3. c - AFTER:
4. d - FROM/TO
5. z - OTHER
9. NA
0. Inap, 3301

3307

FLA. BEFORE/AFTER/FROM: MONTH (01-12; 99)

00. Inap, 3301

3308

FLA. BEFORE/AFTER/FROM: YEAR (01-95; 99)

00. Inap, 3301

3309

FLA. TO: MONTH (01-12; 99)

00. Inap, 3301

3310

FLA. TO: YEAR (01-95; 99)

00. Inap, 3301

Var #

3311

FLB. Are participants credited one service year for each year they meet minimum employment requirements, or does the amount of credited service depend on the actual number of hours worked each year?

- 01. a - CREDITED ONE ASY FOR EACH YEAR EMPLOYED; NO MINIMUM WORK REQUIREMENTS
- 02. b - CREDITED WITH EITHER ZERO OR ONE ASY; ONE ASY IS CREDITED FOR EACH YEAR WORKED A MINIMUM OF: #HOURS PER YEAR
- 03. c - #WEEKS PER YEAR, AND #HOURS PER WEEK
- 04. d - #MONTHS PER YEAR, AND #HOURS PER MONTH
- 05. e - CREDITED WITH A PRORATED FRACTION FROM ZERO TO ONE ONE ASY; ONE FULL ASY IS CREDITED FOR EACH YEAR WORKED A MINIMUM OF: #HOURS PER YEAR
- 06. f - #WEEKS PER YEAR, AND # HOURS PER WEEK
- 07. g - #MONTHS PER YEAR, AND #HOURS PER MONTH
- 08. h - CREDITED EACH YEAR WITH A PRORATED FRACTION FROM ZERO TO MORE THAN ONE ASY; ONE FULL ASY IS CREDITED FOR EVERY: #HOURS PER YEAR
- 09. i - #WEEKS PER YEAR, AND #HOURS PER WEEK
- 10. j - #MONTHS PER YEAR, AND #HOURS PER MONTH
- 97. z - OTHER
- 99. NA
- 00. Inap, 3301

Var #

3312 FLB. #HOURS PER YEAR (0001-2496; 9999)
0000. Inap, 3301

3313 FLB. #WEEKS PER YEAR (01-52; 99)
00. Inap, 3301

3314 FLB. #HOURS PER WEEK (01-48; 99)
00. Inap, 3301

3315 FLB. #MONTHS PER YEAR (01-12; 99)
00. Inap, 3301

3316 FLB. #HOURS PER MONTH (001-208; 999)
000. Inap, 3301

3317 FZ. Which of the following vesting schedules defines eligibility for deferred vested benefits (in addition to the return of the participants own contributions)?

0 in
3318-3347

2. b - IMMEDIATE 100% VESTING FROM TIME OF INITIAL PARTICIPATION

0 in
3325-3347

3. c - CLIFF VESTING: VESTING INCREASES FROM 0% TO 100% WHEN:

0 in
3318-3324

4. d - STEP VESTING: BEGINS AT:

0 in
3318-3347

5. e - NO VESTING SCHEDULE CITED.

7. z - OTHER (SPECIFY):

9. NA

Var #

CLIFF VESTING

- 3318 If c: AGE (18-85; 99)
 00. Inap, 3317
- 3319 If c: ASY (01-50; 99)
 00. Inap, 3317
- 3320 If c: AGE + ASY (001-150; 999)
 000. Inap, 3317
- 3321 If c: AGE OR ASY - AGE (18-85, 99)
 00. Inap, 3317
- 3322 If c: AGE OR ASY -ASY (01-50, 99)
 00. Inap, 3317
- 3323 If c: AGE AND ASY - AGE (18-85, 99)
 00. Inap, 3317
- 3324 If c: AGE AND ASY - ASY (01-50, 99)
 00. Inap, 3317

Var #

STEP VESTING BEGINS AT ____% WHEN:

3325 If d: ____% to 2 decimals (0001-9500, 9999)

0000. Inap, 3317

3326 If d: AGE (18-85; 99)

00. Inap, 3317

3327 If d: ASY (01-50; 99)

00. Inap, 3317

3328 If d: AGE + ASY (001-150; 999)

000. Inap, 3317

3329 If d: AGE OR ASY - AGE (18-85, 99)

00. Inap, 3317

3330 If d: AGE OR ASY - ASY (01-50, 99)

00. Inap, 3317

3331 If d: AGE AND ASY - AGE (18-85, 99)

00. Inap, 3317

3332 If d: AGE AND ASY - ASY (01-50, 99)

00. Inap, 3317

Var #

STEP VESTING REACHES: ____% WHEN:

3333 If d: ____% to 2 decimals (0001-9500, 9999)

0000. Inap, 3317

3334 If d: AGE (18-85; 99)

00. Inap, 3317

3335 If d: ASY (01-50; 99)

00. Inap, 3317

3336 If d: AGE + ASY (001-150; 999)

000. Inap, 3317

3337 If d: AGE OR ASY - AGE (18-85, 99)

00. Inap, 3317

3338 If d: AGE OR ASY - ASY (01-50, 99)

00. Inap, 3317

3339 If d: AGE AND ASY - AGE (18-85, 99)

00. Inap, 3317

3340 If d: AGE AND ASY - ASY (01-50, 99)

00. Inap, 3317

Var #

STEP VESTING REACHES 100% WHEN:

3341 If d: AGE (18-85; 99)

00. Inap, 3317

3342 If d: ASY (01-50; 99)

00. Inap, 3317

3343 If d: AGE + ASY (001-150; 999)

000. Inap, 3317

3344 If d: AGE OR ASY - AGE (18-85, 99)

00. Inap, 3317

3345 If d: AGE OR ASY - ASY (01-50, 99)

00. Inap, 3317

3346 If d: AGE AND ASY - AGE (18-85, 99)

00. Inap, 3317

3347 If d: AGE AND ASY - ASY (01-50, 99)

00. Inap, 3317

Var #

3401

F3. Are employees required to make contributions in order to participate in this defined benefit plan?

0 in
3402

- 1. YES
- 5. NO
- 9. NA

3402

F3A. Can the participants make any voluntary contributions to this defined benefit pension plan?

0 in
3403-3438
and scr
35-36

- 1. YES

0 in
3403-3438
and scr
35 - 39

- 5. NO
- 9. NA
- 0. Inap, 3401

3403

F4. SUMMARY: # of MAN's

0 in
3504-3637

- 1. One

0 in
3604-3637

- 2. Two
- 3. Three
- 0. Inap, 3402

Var #

MAN 7 = V3404-3437
MAN 8 = V3504-3537
MAN 9 = V3604-3637

3404

F4. On an annual basis, how is the mandatory (MAN) contribution calculated?

- | | |
|-------------------|---|
| 0 in
3409-3423 | 02. b - FIXED DOLLAR AMOUNT: \$ |
| | 03. c - FIXED PERCENTAGE OF PAY: %
UP TO MAXIMUM CONTRIBUTION: \$ |
| | 04. d - FIXED PERCENTAGE PAY AT OR BELOW SWB: % |
| | 05. e - FIXED PERCENTAGE PAY ABOVE SWB: % |
| | 06. f - FIXED PERCENTAGE PAY ABOVE SWB: %
UP TO MAXIMUM CONTRIBUTION: \$ |
- | | |
|-------------------|---------------------------------------|
| 0 in
3407-3408 | 07. g - VARYING DOLLAR OR PERCENTAGE: |
|-------------------|---------------------------------------|
- | | |
|-------------------|---------------|
| 0 in
3407-3423 | 97. z - OTHER |
| | 99. NA |
00. Inap, 3402; 3403

3405

F4. CHECK: PRE TAX

- 1. PRE TAX
- 2. POST TAX
- 9. NA
- 0. NOT CITED: Inap, 3402; 3403; 3404

3406

F4. DEFINE ASY

Code ASY # (01-18)

See ASY MC for combinations.

- 99. NA
- 00. NOT USED; Inap, 3402; 3403; 3404

Var #

3407 F4. b-f: \$ Dollar field (000 001-999 995; 999 999)

999 996. NO MAXIMUM

000 000. Inap, 3402; 3403; 3404

3408 F4. b-f: % Percent field to 2 decimals

0001-9500

9999. NA

0000. Inap, 3402; 3403; 3404

3409 F4. g: "\$ AMOUNT" BOX

1. \$ AMOUNT

2. % PAY

3. % (PAY > SWB)

4. % (PAY ≤ SWB)

7. Other

9. NA

0. Inap, 3402; 3403; 3404

3410 F4. g: "PAY" BOX

1. PAY

2. AGE

3. ASY

4. AGE + ASY

7. Other

9. NA

0. Inap, 3402; 3403; 3404

Var #

3411 (UNDER) F4. g: \$ or % field to 2 decimals
3413 (FROM MIN) 000 000 01 - 999 995 00
3416 (FROM) -9. NA
3419 (FROM) 000 000 00. Inap, 3402; 3403; 3404
3422 (OVER)

3412 (UNDER) F4. g: PAY/AGE/ASY Field
3414 (FROM MIN) Code # given as a 6 digit whole number (000 001-999 995)
3415 (TO) 999 999. NA
3417 (FROM)
3418 (TO) 000 000. Inap, 3402; 3403; 3404
3420 (FROM)
3421 (TO/MAX)
3423 (OVER)

3424 F4A. Do any of the above mandatory contribution formulas depend on the Social Security taxable wage base(SWB)?
1. a - YES, ACTUAL SWB IN EFFECT EACH YEAR
2. b - YES, CAREER AVERAGE SWB CALCULATED EACH YEAR
3. c - YES, CAREER AVERAGE SWB ESTIMATED IN YEAR: 19__
4. d - NO
7. z - OTHER
9. NA
0. Inap, 3402; 3403

3425 F4A. YEAR (01-95; 99)
00. Inap, 3402; 3403

Var #

3426

F4B. Can participants withdraw any mandatory contributions they made to this pension plan if they terminate employment before they become eligible for retirement?

- 01. a - YES, CAN IMMEDIATELY WITHDRAW CONTRIBUTIONS AT TERMINATION
- 02. b - YES, AFTER AGE:
- 03. c - YES, AFTER ASY:
- 04. d - YES, AFTER AGE+ASY:
- 05. e - YES, AFTER AGE:
OR AFTER ASY:
- 06. f - YES, AFTER AGE:
AND AFTER ASY:
- 07. g - NO, CANNOT WITHDRAW CONTRIBUTIONS
- 08. h - NOT CITED
- 97. z - OTHER
- 99. NA
- 00. Inap, 3402; 3403

3427

F4B. DEFINE ASY

Code ASY # (01-18)

See ASY MC FOR
combination codes.

99. NA

00. NOT USED; Inap, 3402; 3403

Var #

3428

F4B. AGE (18-85; 99)

00. Inap, 3402; 3403

3429

F4B. ASY (01-50; 99)

00. Inap, 3402; 3403

3430

F4B. AGE + ASY (001-150; 999)

000. Inap, 3402; 3403

3431

F4C. Does the amount received by the participant include any accrued interest?

1. a - YES, INTEREST ACCRUED AT ANNUAL RATE OF:
2. b - YES, ACCRUED INTEREST PAID, ANNUAL RATE NOT CITED
3. c - NO, INTEREST NOT PAID
4. d - NOT CITED
7. z - OTHER
9. NA
0. Inap, 3402; 3403

3432

F4C. % PERCENT to 2 decimals (0001-9500, 999)

0000. Inap, 3402; 3403

Var #

3433

F4D. Does the interest accrue over the entire time period since the participant first started contributions, or only after a certain age or minimum number of service years?

- 01. a - DOES NOT APPLY -- NO INTEREST PAID
- 02. b - ACCRUE INTEREST OVER THE ENTIRE PERIOD
- 03. c - ACCRUE INTEREST AFTER AGE:
- 04. d - ACCRUE INTEREST AFTER ASY:
- 05. e - ACCRUE INTEREST AFTER AGE PLUS ASY:
- 06. f - ACCRUE INTEREST AFTER AGE:
OR AFTER ASY:
- 07. g - ACCRUE INTEREST AFTER AGE"
AND AFTER ASY:
- 08. h - NOT CITED
- 97. z - OTHER
- 99. NA
- 00. Inap, 3402; 3403

3434

F4D. DEFINE ASY

Code ASY # (01-18)

See ASY MC for combinations.

99. NA

00. NOT USED; Inap, 3402; 3403

Var #

3435 F4D. AGE (18-85; 99)
00. Inap, 3402; 3403

3436 F4D. ASY (01-50; 99)
00. Inap, 3402; 3403

3437 F4D. AGE + ASY (001-150; 999)
000. Inap, 3402; 3403

3438 (for scr 34 only) F4E. Can participants make any voluntary contributions to the defined benefit pension plan?

0 in
scr
37-39

- 1. YES
- 5. NO
- 9. NA
- 0. Inap, 3402

3701 F5. SUMMARY: # of VOL'S

0 in
3802-3955

0 in
3902-3955

- 1. One
- 2. Two
- 3. Three
- 0. Inap, 3402; 3438

VOL7 = V3702-3755
VOL8 = V3802-3855
VOL9 = V3902-3955

Var #

3702

F5. What annual minimums apply to the voluntary contributions?

- | | | |
|-------------------|-----|--|
| 0 in
3705-3721 | 01. | a - NO MINIMUM AMOUNT |
| 0 in
3707-3721 | 02. | b - FIXED DOLLAR AMOUNT: |
| | 03. | c - FIXED PERCENTAGE OF PAY:
UP TO MAXIMUM CONTRIBUTION: |
| | 04. | d - FIXED PERCENTAGE PAY AT <u>OR BELOW</u> SWB |
| | 05. | e - FIXED PERCENTAGE PAY <u>ABOVE</u> SWB: |
| 0 in
3705-3706 | 06. | f - FIXED PERCENTAGE PAY <u>ABOVE</u> SWB:
WITH MINIMUM CONTRIBUTION: |
| | 07. | g - VARYING DOLLAR OR PERCENTAGE: |
| 0 in
3705-3721 | 97. | z - OTHER |
| | 99. | NA |
| | 00. | Inap, 3402; 3438; 3701 |

3703

F5. CHECK: PRE TAX

- 1. PRE TAX
- 2. POST TAX
- 9. NA
- 0. NOT CITED; Inap, 3402; 3438; 3701; 3702

3704

F5. DEFINE ASY

Code ASY # (01-18)

See ASY MC for combinations.

- 99. NA
- 00. NOT USED; Inap, 3402; 3438; 3701; 3702

Var #

3705

F5. a-f: \$ Dollar field (000 001-999 995; 999 999)

999 996. NO MAXIMUM

000 000. Inap, 3402; 3438; 3701; 3702

3706

F5. a-f: % Percent field to 2 decimals

0001-9500

9999. NA

0000. Inap, 3402; 3438; 3701; 3702

Var #

3707 F5. g: "\$ AMOUNT" BOX

1. \$ AMOUNT
2. % PAY
3. % (PAY > SWB)
4. % (PAY ≤ SWB)

7. Other

9. NA

0. Inap, 3402; 3438; 3701; 3702

3708 F5. g: "PAY" BOX

1. PAY
2. AGE
3. ASY
4. AGE + ASY

7. Other

9. NA

0. Inap, 3402; 3438; 3701; 3702

3709 (UNDER) F5. g: \$ or % field to 2 decimals

3711 (FROM MIN) 000 000 01 - 999 995 000
3714 (FROM) -9. NA
3717 (FROM) 000 000 00. Inap, 3402; 3438; 3701; 3702
3720 (OVER)

3710 (UNDER) F5. g: PAY/AGE/ASY field

3712 (FROM MIN) Code # given as 6 digit whole number (000 001-999 995)
3713 (TO) 999 999. NA
3715 (FROM)
3716 (TO) 000 000. Inap, 3402; 3438; 3701; 3702

3718 (FROM)
3719 (TO MAX)

3721 (OVER)

Var #

3722

F5A. Aside from IRS maximums, what annual or career average maximums apply to the voluntary (VOL) contributions?

0 in
3725-3741

01. a - NO MAXIMUM AMOUNT

02. b - FIXED DOLLAR AMOUNT:

0 in
3727-3741

03. c - FIXED PERCENTAGE OF PAY:
UP TO MAXIMUM CONTRIBUTION:

04. d - FIXED PERCENTAGE PAY AT OR BELOW SWB:

05. e - FIXED PERCENTAGE PAY ABOVE SWB:

06. f - FIXED PERCENTAGE PAY ABOVE SWB:
UP TO MAXIMUM CONTRIBUTION:

0 in
3725-3726

07. g - VARYING DOLLAR OR PERCENTAGE

97. z - OTHER

0 in
3725-3741

99. NA

00. Inap, 3402; 3438; 3701

3723

F5A. CHECK: ANNUAL

1. ANNUAL

2. CAREER

9. NA

0. NOT CITED; Inap, 3402; 3438; 3701; 3722

3724

F5A. DEFINE ASY

Code ASY # (01-18)

See ASY MC for combinations.

99. NA

00. NOT USED; Inap, 3402; 3438; 3701; 3702

Var #

3725

F5A. a-f: \$ Dollar field (000 001-999 995; 999 999)

999 996. NO MAXIMUM

000 000. Inap, 3402; 3438; 3701; 3722

3726

F5A. a-f: % Percent field to 2 decimals

0001-9500

999. NA

0000. Inap, 3402; 3438; 3701; 3722

Var #

3727 F5A. g: "\$ AMOUNT" BOX

1. \$ AMOUNT
2. % PAY
3. % (PAY > SWB)
4. % (PAY ≤ SWB)

7. Other

0. Inap, 3402; 3438; 3701; 3722

3728 F5A. g: "PAY" BOX

1. PAY
2. AGE
3. ASY
4. AGE + ASY

7. Other

9. NA

0. Inap, 3402; 3438; 3701; 3722

3729 (UNDER) F5A. g: \$ or % field to 2 decimals

3731 (FROM MIN) 000 000 01-999 995 00
3734 (FROM) -9. NA
3737 (FROM) 000 000 00. Inap, 3402; 3438; 3701; 3722
3740 (OVER)

3730 (UNDER) F5A. g: PAY/AGE/ASY field

3732 (FROM MIN) Code # given as 6 digit whole number (000 001-999 995)
3733 (TO) 999 999. NA
3735 (FROM)
3736 (TO) 000 000. Inap, 3402; 3438; 3701; 3722

3738 (FROM)
3739 (TO MAX)

3741 (OVER)

Var #

3742

F5B. Do any of the voluntary contribution formulas depend on the Social Security taxable wage base (SWB)?

1. a - YES, ACTUAL SWB IN EFFECT EACH YEAR
2. b - YES, CAREER AVERAGE SWB CALCULATED EACH YEAR
3. c - YES, CAREER AVERAGE SWB ESTIMATED IN YEAR: 19__
4. d - NO
7. z - OTHER
9. NA
0. Inap, 3402; 3438; 3701

3743

F5B. YEAR 19__ (01-95; 99)

00. Inap, 3402; 3438; 3701

Var #

3744

F5C. Can participants withdraw any voluntary contributions they made to this pension plan if they terminate employment before they become eligible for retirement?

- 01. a - YES, CAN IMMEDIATELY WITHDRAW CONTRIBUTIONS AT TERMINATION.
- 02. b - YES, AFTER AGE:
- 03. c - YES, AFTER ASY:
- 04. d - YES, AFTER AGE+ASY
- 05. e - YES, AFTER AGE:
OR AFTER ASY:
- 06. f - YES, AFTER AGE
AND AFTER ASY:
- 07. g - NO, CANNOT WITHDRAW CONTRIBUTIONS
- 08. h - NOT CITED
- 97. OTHER
- 99. NA
- 00. Inap, 3402; 3438; 3701

Note: Labeling in Questionnaire MAN 7,8,9 is incorrect - this item is VOL 7,8,9.

3745

F5C. DEFINE ASY

- Code ASY # (01-18) —————
- 99. NA
- 00. NOT USED; Inap, 3402; 3438; 3701

See ASY MC for combination codes.

Var #

3746

F5C. AGE (18-85; 99)

00. Inap, 3402; 3438; 3701

3747

F5C. ASY (01-50; 99)

00. Inap, 3402; 3438; 3701

3748

F5C. AGE + ASY (001-150; 999)

000. Inap, 3402; 3438; 3701

3749

F5D. Does the amount received by the participant include any accrued interest?

1. a - YES, INTEREST ACCRUED AT ANNUAL RATE OF:
2. b - YES, ACCRUED INTEREST PAID, ANNUAL RATE NOT CITED
3. c - NO, INTEREST NOT PAID
4. d - NOT CITED
7. z - OTHER
9. NA
0. Inap, 3402; 3438; 3701

3750

F5D. Z Percent to 2 decimals

0001-9500

9999. NA

0000. Inap, 3402; 3438; 3701

Var #

3751

F5E. Does the interest accrue over the entire time period since the participant first started contributions, or only after a certain age or minimum number of service years?

- 01. a - DOES NOT APPLY -- NO INTEREST PAID
- 02. b - ACCRUE INTEREST OVER ENTIRE PERIOD
- 03. c - ACCRUE INTEREST AFTER AGE:
- 04. d - ACCRUE INTEREST AFTER ASY:
- 05. e - ACCRUE INTEREST AFTER AGE PLUS ASY:
- 06. f - ACCRUE INTEREST AFTER AGE:
OR AFTER ASY:
- 07. g - ACCRUE INTEREST AFTER AGE:
AND AFTER ASY:
- 08. h - NOT CITED
- 97. z - OTHER
- 99. NA
- 00. Inap, 3402; 3438; 3701

3752

F5E. DEFINE ASY

Code ASY # (01-18)

See ASY MC for combination codes.

- 99. NA
- 00. NOT USED; Inap, 3402; 3438; 3701

3753

F5E. AGE (18-85; 99)

- 00. Inap, 3402; 3438; 3701

3754

F5E. ASY (01-50; 99)

- 00. Inap, 3402; 3438; 3701

3755

F5E. AGE + ASY (001-150; 999)

- 000. Inap, 3402; 3438; 3701

Var #

4001

F6. Do any of the vested deferred retirement benefit and supplement formulas or eligibility requirements depend on the number of actual years of credit service the employee has accumulated?

0 in
4002-4056

- 1. YES, USE ONE OR MORE NEW DEFINITIONS
- 2. YES, USE PRIOR DEFINITIONS
- 5. NO
- 9. NA

4002

F6. SUMMARY: # of ASY's

0 in
4021-4056

1. One

0 in
4039-4056

2. Two

3. Three

0. Inap, 4001

ASY7 = 4003-4020
ASY8 = 4021-4038
ASY9 = 4039-4056

Var #

4003 (7)
4021 (8)
4039 (9)

F6A. How is the number of actual years of credited service (ASY) determined for use in the vested deferred retirement formulas or for eligibility requirements?

1. a - ALL YEARS OF EMPLOYMENT ARE CREDITED:
2. b - ONLY COUNT YEARS AFTER AGE:
3. c - ONLY COUNT YEARS AFTER FIRST # YEARS:
4. d - ONLY COUNT YEARS AFTER AGE:
OR AFTER FIRST # YEARS:
5. e - ONLY COUNT YEARS AFTER AGE:
AND AFTER FIRST # YEARS:
6. f - ONLY COUNT YEARS DURING FINAL # YRS:

7. z - OTHER

9. NA

0. Inap, 4001; 4002

4004 (7)
4022 (8)
4040 (9)

F6A. AGE (18-85; 99)

00. Inap, 4001; 4002

4005 (7)
4023 (8)
4041 (9)

F6A. # YEARS (01-50; 99)

00. Inap, 4001; 4002

Var #

4006 (7)
4024 (8)
4042 (9)

F6B. Are the above definitions of ASY based only on specific calendar time periods, or on all years covered by this pension plan?

1. a - COVERS ALL YEARS: NO SPECIFIC DATES CITED
2. b - BEFORE:
3. c - AFTER:
4. d - FROM/TO:

7. z - OTHER
9. NA
0. Inap, 4001; 4002

4007 (7)
4025 (8)
4043 (9)

F6B. BEFORE/AFTER/FROM: MONTH (01-12; 99)

00. Inap, 4001; 4002

4008 (7)
4026 (8)
4044 (9)

F6B. BEFORE/AFTER/FROM: YEAR (01-95; 99)

00. Inap, 4001; 4002

4009 (7)
4027 (8)
4045 (9)

F6B. TO: MONTH (01-12; 99)

00. Inap, 4001; 4002

4010 (7)
4028 (8)
4046 (9)

F6B. TO: YEAR (01-95; 99)

00. Inap, 4001; 4002

Var #

4011 (7)
4029 (8)
4047 (9)

F6C. Is there a maximum of actual years of credited service that can be used for vested deferred retirement benefits or a maximum age after which service years can no longer be credited toward vested deferred retirement benefits?

1. a - YES, MAXIMUM AGE:
2. b - YES, MAXIMUM ASY:
3. c - YES, MAXIMUM AGE PLUS ASY:
4. d - YES, WHEN OVER MAXIMUM AGE:
OR OVER MAXIMUM ASY:
5. e - YES, WHEN OVER MAXIMUM AGE:
AND OVER MAXIMUM ASY:
6. f - NO
7. z - OTHER
9. NA
0. Inap, 4001; 4002

4012 (7)
4030 (8)
4048 (9)

F6C. AGE (18-85; 99)

00. Inap, 4001; 4002

4013 (7)
4031 (8)
4049 (9)

F6C. ASY (01-50; 99)

00. Inap, 4001; 4002

4014 (7)
4032 (8)
4050 (9)

F6C. AGE + ASY (001-150; 999)

000. Inap, 4001; 4002

Var #

4015 (7)
4033 (8)
4051 (9)

F6D. Are participants credited one service year for each year they meet minimum employment requirements, or does the amount of credited service depend on the actual number of hours worked each year?

- 01. a - CREDITED ONE ASY FOR EACH YEAR EMPLOYED; NO MINIMUM WORK REQUIREMENTS
- 02. b - CREDITED WITH EITHER ZERO OR ONE ASY; ONE ASY IS CREDITED FOR EACH YEAR WORKED A MINIMUM OF:
HOURS PER YEAR
- 03. c - # WEEKS PER YEAR, AND
HOURS PER WEEK
- 04. d - # MONTHS PER YEAR, AND
HOURS PER MONTH
- 05. e - CREDITED WITH A PRORATED FRACTION FROM ZERO TO ONE ASY; ONE FULL ASY IS CREDITED FOR EACH YEAR WORKED A MINIMUM OF:
HOURS PER YEAR
- 06. f - # WEEKS PER YEAR, AND
HOURS PER WEEK
- 07. g - # MONTHS PER YEAR, AND
HOURS PER MONTH
- 08. h - CREDITED EACH YEAR WITH A PRORATED FRACTION FROM ZERO TO MORE THAN ONE ASY; ONE FULL ASY IS CREDITED FOR EVERY:
HOURS PER YEAR
- 09. i - # WEEKS PER YEAR, AND
HOURS PER WEEK
- 10. j - # MONTHS PER YEAR, AND
HOURS PER MONTH
- 97. z - OTHER
- 99. NA
- 00. Inap, 4001; 4002

Var #

4016 (7) F6D. # HOURS PER YEAR (0001-2496; 9999)
 4034 (8)
 4052 (9) 0000. Inap, 4001; 4002

4017 (7) F6D. # WEEKS PER YEAR (01-52; 99)
 4035 (8)
 4053 (9) 00. Inap, 4001; 4002

4018 (7) F6D. # HOURS PER WEEK (01-48; 99)
 4036 (8)
 4054 (9) 00. Inap, 4001; 4002

4019 (7) F6D. # MONTHS PER YEAR (01-12; 99)
 4037 (8)
 4055 (9) 00. Inap, 4001; 4002

4020 (7) F6D. # HOURS PER MONTH (001-208; 999)
 4038 (8)
 4056 (9) 000. Inap, 4001; 4002

4101 F7. Do any of the vested deferred retirement benefit or supplement formulas depend on the number of potential years of credited service?

0 in
4102-4135

- 1. YES, USE ONE OR MORE NEW DEFINITIONS
- 2. YES, USE PRIOR DEFINITIONS
- 5. NO
- 9. NA

4102 F7. SUMMARY: # of PSY's

0 in
4114-4135

0 in
4125-4135

- 1. One
- 2. Two
- 3. Three
- 0. Inap, 4101

PSY 7 = V4103 - 4113
 PSY 8 = V4114 - 4124
 PSY 9 = V4125 - 4135

Var #

4103 (7,
4114 (8)
4125 (9)

F7A. How is the number of potential years of credited service (PSY) determined for use in the normal retirement formulas.

- 1. a - ASSUMES PARTICIPANT WORKS UNTIL AGE:
COUNT ALL YEARS SINCE FIRST EMPLOYED
- 2. b - ASSUMES PARTICIPANT WORKS UNTIL AGE:
COUNT ONLY YEARS EMPLOYED AFTER AGE:
- 3. c - ASSUMES PARTICIPANT WORKS UNTIL AGE:
COUNT ONLY YEARS AFTER FIRST # YRS:
- 4. d - ASSUMES PARTICIPANT WORKS UNTIL AGE:
COUNT ONLY YEARS EMPLOYED AFTER AGE:
OR ONLY YEARS AFTER FIRST # YRS:
- 5. e - ASSUMES PARTICIPANT WORKS UNTIL AGE:
COUNT ONLY YEARS EMPLOYED AFTER AGE:
AND ONLY YEARS AFTER FIRST # YRS:
- 7. z - OTHER
- 9. NA
- 0. Inap, 4101; 4102

4104 (7)
4115 (8)
4126 (9)

F7A. UNTIL AGE (18-85; 99)

00. Inap, 4101; 4102

4105 (7)
4116 (8)
4127 (9)

F7A. AFTER AGE (18-85; 99)

00. Inap, 4101; 4102

4106 (7)
4117 (8)
4128 (9)

F7A. # YEARS (01-50; 99)

00. Inap, 4101; 4102

Var #

4107 (7) F7B. Are the above definitions of PSY based only on specific
4118 (8) calendar time periods, or on all years covered by this
4129 (9) pension plan?

1. a - COVERS ALL YEARS: NO SPECIFIC DATES CITED.
2. b - BEFORE:
3. c - AFTER:
4. d - FROM/TO

7. z - OTHER
9. NA
0. Inap, 4101; 4102

4108 (7) F7B. BEFORE/AFTER/FROM: MONTH (01-12; 99)
4119 (8)
4130 (9) 00. Inap, 4101; 4102

4109 (7) F7B. BEFORE/AFTER/FROM: YEAR (01-95; 99)
4120 (8)
4131 (9) 00. Inap, 4101; 4102

4110 (7) F7B. TO: MONTH (01-12; 99)
4121 (8)
4132 (9) 00. Inap, 4101; 4102

4111 (7) F7B. TO: YEAR (01-95; 99)
4122 (8)
4133 (9) 00. Inap, 4101; 4102

Var #

4112 (7)
4123 (8)
4134 (9)

F7C. Is there a maximum number of potential credited service years that can be used for vested deferred retirement benefits?

- 1. a - YES, MAXIMUM PSY:
- 2. b - NO
- 7. z - OTHER
- 9. NA
- 0. Inap, 4101; 4102

4113 (7)
4124 (8)
4135 (9)

F7C. MAXIMUM PSY: (01-50; 99)

- 00. Inap, 4101; 4102

4201

F8. Do any of the vested deferred retirement benefit or supplement formulas depend on the level of the employee's wages or salary?

0 in
4202-4253

- 1. YES, USE ONE OR MORE NEW DEFINITIONS
- 2. YES, USE PRIOR DEFINITIONS
- 5. NO
- 9. NA

4202

F8. SUMMARY: # of FAP's

0 in
4220-4253

- 1. One

0 in
4237-4253

- 2. Two
- 3. Three

- 0. Inap, 4201

FAP7 = V4203-4219
 FAP8 = V4220-4236
 FAP9 = V4237-4253

Var #

4203 (7)
4220 (8)
4237 (9)

F8A. How is Final Average Pay (FAP) defined for use in the normal retirement formulas?

- 01. a - FINAL SALARY OR WAGE RATE
- 02. b - TOTAL OF ALL MO/YRS
- 03. c - AVERAGE OF ALL MO/YRS
- 04. d - AVERAGE DURING FINAL # MO/YRS
- 05. e - HIGHEST MO/YR DURING FINAL # MO/YRS
- 06. f - AVERAGE OF THE HIGHEST # MO/YRS:
DURING FINAL # MO/YRS:
- 07. g - AVERAGE HIGHEST CONSECUTIVE # MO/YRS:
DURING FINAL # MO/YRS:
- 08. h - NO SPECIFIED PERIOD, HIGHEST MO/YR
- 09. i - NO SPECIFIED PERIOD
AVERAGE OF THE HIGHEST # MO/YRS:
- 10. j - NO SPECIFIED PERIOD,
AVERAGE HIGHEST CONSECUTIVE # MO/YRS:
- 97. z - OTHER
- 99. NA
- 00. Inap, 4201; 4202

4204 (7)
4221 (8)
4238 (9)

F8A. CHECK: MO. or YR.

- 1. MONTHLY
- 2. YEARLY
- 9. NA
- 0. Inap, 4201; 4202

Var #

4205 (7)
4222 (8)
4239 (9)

F8A. FIRST # MO/YRS (001-240; 999)

000. Inap, 4201; 4202

4206 (7)
4223 (8)
4240 (9)

F8A. SECOND # MO/YRS (f and g only) (001-240; 999)

000. Inap, 4201; 4202

4207 (7)
4224 (8)
4241 (9)

F8B. When used in the vested deferred retirement formulas, is
FAP expressed in terms of monthly or annual amounts?

1. a - MONTHLY AMOUNT
2. b - YEARLY AMOUNT
3. c - DOES NOT APPLY -- FAP DEFINED AS TOTAL OF ALL
MO/YRS
7. z - OTHER
9. NA
0. Inap, 4201; 4202

Var #

4208 (7)
4225 (8)
4242 (9)

F8C. Are the above definitions of FAP based on wages and salaries earned during specific calendar time periods, or during all years covered by this pension plan?

1. a - COVERS ALL YEARS: NO SPECIFIC DATES CITED
2. b - BEFORE:
3. c - AFTER:
4. d - FROM/TO:
7. z - OTHER
9. NA
0. Inap, 4201; 4202

4209 (7)
4226 (8)
4243 (9)

F8C. BEFORE/AFTER/FROM: MONTH (01-12; 99)

00. Inap, 4201; 4202

4210 (7)
4227 (8)
4244 (9)

F8C. BEFORE/AFTER/FROM: YEAR (01-95; 99)

00. Inap 4201; 4202

4211 (7)
4228 (8)
4245 (9)

F8C. TO: MONTH (01-12; 99)

00. Inap, 4201; 4202

4212 (7)
4229 (8)
4246 (9)

F8C. TO: YEAR (01-95; 99)

00. Inap, 4201; 4202

Var #

4213 (7)
4230 (8)
4247 (9)

F8D. Is there a maximum age or service years after which any wages or salaries cannot be used in calculating FAP?

1. a - YES, MAXIMUM AGE:
2. b - YES, MAXIMUM ASY:
3. c - YES, MAXIMUM AGE PLUS ASY:
4. d - YES, WHEN OVER MAXIMUM AGE:
OR OVER MAXIMUM ASY:
5. e - YES, WHEN OVER MAXIMUM AGE:
AND OVER MAXIMUM ASY:
6. f - NO
7. z - OTHER
9. NA
0. Inap, 4201; 4202

4214 (7)
4231 (8)
4248 (9)

F8D. DEFINE ASY

Code ASY # (01-18)

See ASY MC for
combination codes.

99. NA

00. NOT USED; Inap, 4201; 4202

Var #

4215 (7)	<u>F8D. AGE (18-85; 99)</u>
4232 (8)	
4249 (9)	00. Inap, 4201; 4202
4216 (7)	<u>F8D. ASY (01-50;99)</u>
4233 (8)	
4250 (9)	00. Inap, 4201; 4202
4217 (7)	<u>F8D. AGE + ASY (001-150 ; 999)</u>
4234 (8)	
4251 (9)	000. Inap, 4201; 4202
4218 (7)	<u>F8E. Is there a maximum on the amount of monthly/annual wages</u>
4235 (8)	<u>and salaries that can be used to calculate FAP?</u>
4252 (9)	1. a - YES, MAXIMUM OF:
	2. b - NO
	7. z - OTHER
	9. NA
	0. Inap, 4201; 4202
4219 (7)	<u>F8E. MAXIMUM OF \$ (\$000 001-999 995; 999 999)</u>
4236 (8)	
4253 (9)	000 000. Inap, 4201; 4202

Var #

4301

F9. Do any of the vested deferred retirement benefit and supplement formulas depend on the amount of Social Security benefits received by the employee at retirement?

0 in
4302-4324
and scr44-45

- 1. YES, USE ONE OR MORE NEW DEFINITIONS
- 2. YES, USE PRIOR DEFINITIONS
- 5. NO
- 9. NA

4302

F9. SUMMARY: # of SS's

0 in
4403-4524

1. One

0 in
4503-4524

2. Two

3. Three

0. Inap, 4301

Var #

SS1 = V4303-4324
SS2 = V4403-4424
SS3 = V4503-4524

4303

F9A. How is the Social Security term (SS) defined for use in the vested deferred retirement formulas?

1. a - SOCIAL SECURITY BENEFIT AMOUNT
2. b - SOCIAL SECURITY TAXABLE WAGE BASE
7. z - OTHER
9. NA
0. Inap, 4301; 4302

4304

If a: PRIMARY...

1. PRIMARY
2. JOINT
3. NOT CITED
9. NA
0. Inap, 4301; 4302

4305

If a: UNREDUCED...

1. UNREDUCED
2. REDUCED
3. NOT CITED
9. NA
0. Inap, 4301; 4302

Var #

4306

If b: AT RETIREMENT...

1. AT RETIREMENT
2. CAREER TOTAL
3. CAREER AVERAGE
9. NA
0. Inap, 4301; 4302

4307

If b: ACTUAL...

1. ACTUAL
2. ESTIMATED AT RETIREMENT
3. ESTIMATED IN YEAR: 19__
9. NA
0. Inap, 4301; 4302

4308

If b: YEAR: 19 (01-95; 99)

00. Inap, 4301; 4302

Var #

4309

F9B. When used in the vested deferred retirement formulas, is SS expressed in terms of monthly or annual amounts?

1. a - MONTHLY AMOUNT
2. b - YEARLY AMOUNT
3. c - DOES NOT APPLY -- SS DEFINED AS CAREER TOTAL SWB.
7. z - OTHER
9. NA
0. Inap, 4301; 4302

4310

F9C. Are the above definitions of SS based only on specific calendar time periods, or on all years covered by this pension plan?

1. a - COVERS ALL YEARS: NO SPECIFIC DATES CITED.
2. b - BEFORE:
3. c - AFTER
4. d - FROM/TO:
7. z - OTHER
9. NA
0. Inap, 4301; 4302

4311

F9C. BEFORE/AFTER/FROM: MONTH (01-12; 99)

00. Inap, 4301; 4302

4312

F9C. BEFORE/AFTER/FROM: YEAR (01-95; 99)

00. Inap, 4301; 4302

4313

F9C. TO: MONTH: (01-12; 99)

00. Inap, 4301; 4302

4314

F9C. TO: YEAR: (01-95; 99)

00. Inap, 4301; 4302

Var #

4315

F9D. Is there a maximum limitation on the amount of SS that can be used to determine vested deferred retirement benefits or a maximum on service years or age after which the SS amount no longer accrues?

- 01. a - YES, MAXIMUM PERCENTAGE OF SS:
- 02. b - YES, MAXIMUM DOLLAR AMOUNT:
- 03. c - YES, MAXIMUM AGE:
- 04. d - YES, MAXIMUM ASY:
- 05. e - YES, MAXIMUM AGE PLUS ASY:
- 06. f - YES, WHEN OVER MAXIMUM AGE:
OR OVER MAXIMUM ASY:
- 07. g - YES, WHEN OVER MAXIMUM AGE:
AND OVER MAXIMUM ASY:
- 08. h - NO
- 97. z - OTHER
- 99. NA
- 00. Inap, 4301; 4302

4316

F9D. DEFINE ASY:

Code ASY # (01-18)

See ASY MC for
combination codes.

- 99. NA
- 00. NOT USED; Inap, 4301; 4302

Var #

- 4317 F9D. % Percentage of SS to 2 decimals (0001-9500; 9999)
 0000. Inap, 4301; 4302
- 4318 F9D. \$ MAX DOLLAR AMOUNT (000 001-999 995; 999 999)
 000 000. Inap, 4301; 4302
- 4319 F9D. AGE (18-85; 99)
 00. Inap, 4301; 4302
- 4320 F9D. ASY (01-50; 99)
 00. Inap, 4301; 4302
- 4321 F9D. AGE + ASY (001-150; 999)
 000. Inap, 4301; 4302

Var #

4322

F9E. If participants can retire before they are eligible to receive Social Security benefits, how is the SS term estimated for use in the pension formula(s)?

1. a - DOES NOT APPLY
2. b - BENEFIT AMOUNT EXPECTED AT AGE:
ASSUMES PARTICIPANTS HAVE NO FUTURE
WAGE OR SALARY INCOME
3. c - BENEFIT AMOUNT EXPECTED AT AGE:
ASSUMES PARTICIPANTS WORK UNTIL THEN
AT CURRENT WAGES OR SALARIES
4. d - BENEFIT AMOUNT EXPECTED AT AGE:
ASSUMES PARTICIPANTS WORK UNTIL THEN,
WITH ANNUAL INCOME INCREASES OF:
5. e - ESTIMATION METHOD NOT CITED
7. z - OTHER
9. NA
0. Inap, 4301; 4302

4323

F9E. AGE (18-85; 99)

00. Inap, 4301; 4302

4324

F9E. % PERCENT to 2 decimals (0001-9500;9999)

0000. Inap, 4301; 4302

Var #

4601

F10. Do any of the vested deferred retirement benefit or supplement formulas include a reduction factor to adjust benefits?

0 in
4602-4626
and scr 47-48

- 1. YES, USE ONE OR MORE NEW DEFINITIONS
- 2. YES, USE PRIOR DEFINITIONS
- 5. NO
- 9. NA

4602

F10. SUMMARY: # of RED's

0 in
4703-4826

1. One

0 in
4803-4826

2. Two

3. Three

0. Inap, 4601

RED7 = V4603-4626
 RED8 = V4703-4726
 RED9 = V4803-4826

4603

F10A. How is the reduction factor (RED) defined for use in the vested deferred retirement formulas?

0 in
4608-4626

1. a - ACTUARIALLY EQUIVALENT TO BENEFITS RECEIVED AT:

0 in
4605-4607
4612-4626

2. b - FIXED DOLLAR OR PERCENT REDUCTION, MULTIPLIED BY THE NUMBER OF YEARS THE PARTICIPANT TERMINATES EMPLOYMENT BEFORE REACHING A CERTAIN AGE, ASY, OR SUM AGE+ASY.

0 in
4605-4611

3. c - VARYING DOLLAR OR PERCENT REDUCTION DEPENDING ON AGE, ASY, OR SUM AGE+ASY

0 in
4605-4626

4. d - UNSPECIFIED REDUCTION

7. z - OTHER (SPECIFY BELOW):

9. NA

0. Inap, 4601; 4602

4604

F10A. DEFINE ASY

Code ASY # (01-18)

See ASY MC for combinations.

99. NA

00. NOT USED; Inap, 4601; 4602

4605

If a: "AGE" LIST

- 1. AGE
- 2. AGE + ASY
- 3. NORMAL
- 4. EARLY
- 5. ASY
- 7. Other

9. NA

0. Inap, 4601; 4602; 4603

4606

If a: AGE/ASY

00. Inap, 4601; 4602; 4603

4607

If a: AGE + ASY (001-150; 99)

000. Inap, 4601; 4602; 4603

Var #

4608

If b. \$ BOX

- 1. \$
- 2. %

- 7. Other

- 9. NA

- 0. Inap, 4601; 4602; 4603

4609

If b. "AGE" BOX

- 1. AGE
- 2. ASY
- 3. AGE+ASY

- 7. Other

- 9. NA

- 0. Inap, 4601; 4602; 4603

4610

If b. \$ or % field to 2 decimals

- 000 000 01-999 995 00

- 9. NA

- 000 000 00. Inap, 4601; 4602; 4603

4611

If b. AGE/ASY field (001-150; 999)

- 000. Inap, 4601; 4602; 4603

Var #

4612

If c. "S" BOX

- 1. \$
- 2. %

- 7. Other

- 9. NA

- 0. Inap, 4601; 4602; 4603

4613

If c. "AGE" BOX

- 1. AGE
- 2. ASY
- 3. AGE + ASY

- 7. Other

- 9. NA

- 0. Inap, 4601; 4602; 4603

4614 (UNDER)

If c. \$ or % field to 2 decimals

4616 (FROM MIN)

000 000 01-999 995 00

4619 (FROM)

-9. NA

4622 (FROM)

000 000 00. Inap, 4601; 4602; 4603

4625 (OVER)

4615 (UNDER)

If c. AGE/ASY field (001-150; 999)

4617 (FROM MIN)

000. Inap, 4601; 4602; 4603

4618 (TO)

4620 (FROM)

4621 (TO)

4623 (FROM)

4624 (TO MAX)

4626 (OVER)

Var #

4901

F11. Express the vested deferred retirement benefit and supplement formulas including any other minimums or maximums on benefit amounts.

CHECK BOX: TOTAL NUMBER OF SEPARATE FORMULAS CODED:

Code # checked (01-10)

0 in
4915-4938
and scr 50

01. One formula(s)

0 in
4927-4938
and scr 50

02. Two formula(s)

0 in
5003-5026

03. Three formula(s)

0 in
5015-5026

04. Four formula(s)

05. Five formulas

99. NA - see supervisor

4902

CHECK BOX: ALL FORMULAS DETERMINE...

1. MONTHLY

2. YEARLY BENEFITS

9. NA

0. Inap, 4901

Var. #

VD#1 - see variable 5226
VD#2 - see variable 5227
VD#3 - see variable 5228
VD#4 - see variable 5229
VD#5 - see variable 5230

4903 (1)
4915 (2)
4927 (3)

VD #. DAT (01-15; 96,99)

00. Inap, 4901

for V4903-4906
'D' = 96

4904 (1)
4916 (2)
4928 (3)

VD #. ROT (01-15; 96,99)

00. Inap, 4901

4905 (1)
4917 (2)
4929 (3)

VD #. LNG (01-15; 96,99)

00. Inap, 4901

4906 (1)
4918 (2)
4930 (3)

VD #. BEG (07-15; 96,99)

00. Inap, 4901

5226 (1)

VD #. FORMULA

5227 (2)

Code 120-digit formula

5228 (3)

00. Inap, 4901

Var #

5003 (4)
5015 (5)

VD #. DAT (01-15;96,99)

00. Inap, 4901

5004 (4)
5016 (5)

VD #. ROT (01-15; 96,99)

5005 (4)
5017 (5)

VD #. LNG (01-15; 96,99)

00. Inap, 4901

5006 (4)
5018 (5)

VD #. BEG (07-15; 96,99)

00. Inap, 4901

5229 (4)

VD #. FORMULA

5230 (5)

Code 120-digit formula

00. Inap, 4901

5101

F12. Do the vested deferred retirement benefit or supplement formulas apply only to participants that terminate employment during a specific calendar time period, or to all retirement dates (DAT)?

0 in
5102-5117

- 1. USE ONE OR MORE NEW DATES
- 2. USE PRIOR DEFINITIONS
- 9. NA

5102

F12. SUMMARY: # of DAT's

0 in
5108-5117

1. One

0 in
5113-5117

- 2. Two
- 3. Three

0. Inap, 5101

DAT7 = V5103-5107
 DAT8 = V5108-5112
 DAT9 = V5113-5117

Var #

5103 (7)
5108 (8)
5113 (9)

F12. NEW DATES (DAT's)

1. a - COVERS ALL YEARS: NO SPECIFIC DATES CITED
2. b - BEFORE:
3. c - AFTER:
4. d - FROM/TO
7. z - OTHER
9. NA
0. Inap, 5101; 5102

5104 (7)
5109 (8)
5114 (9)

F12. BEFORE/AFTER/FROM: MONTH (01-12; 99)

00. Inap, 5101; 5102

5105 (7)
5110 (8)
5115 (9)

F12. BEFORE/AFTER/FROM: YEAR (01-95; 99)

00. Inap, 5101; 5102

5106 (7)
5111 (8)
5116 (9)

F12. TO: MONTH (01-12; 99)

00. Inap, 5101; 5102

5107 (7)
5112 (8)
5117 (9)

F12. TO: YEAR (01-95; 99)

00. Inap, 5101; 5102

Var #

5118

F13. To receive any of the vested deferred retirement benefits or supplements are there any other requirements (ROT), such as specific employer approval, or benefits limited to only participants that did not withdraw their contributions, or other special requirements?

0 in
5119-5134

- 1. USE ONE OR MORE NEW REQUIREMENTS
- 2. USE PRIOR DEFINITIONS
- 9. NA

5119

F13. SUMMARY: # of ROT's

0 in
5125-5134

1. One

0 in
5130-5134

2. Two

3. Three

0. Inap, 5118

ROT7 = V5120-5124
ROT8 = V5125-5129
ROT9 = V5130-5134

5120 (7)
5125 (8)
5130 (9)

F13. NEW REQUIREMENTS (ROT's)

(NOTE: If ROT formula cited below, see V5190, V5191, V5192).

- 01. a - NO OTHER SPECIAL REQUIREMENTS
- 02. b - EMPLOYER APPROVAL REQUIRED
- 03. c - NO WITHDRAWAL OF MANDATORY CONTRIBUTIONS
- 04. d - NO WITHDRAWAL OF VOLUNTARY CONTRIBUTIONS
- 05. e - ONLY FOR THOSE THAT WITHDREW MANDATORY CONTRIBUTIONS
- 06. f - ONLY FOR THOSE THAT WITHDREW VOLUNTARY CONTRIBUTIONS
- 07. g - IF PLAN PARTICIPANT ON:
- 08. h - IF PLAN PARTICIPANT BEFORE:
- 09. i - IF PLAN PARTICIPANT AFTER:
- 10. j - IF PLAN PARTICIPANT FROM/TO:

- 20. Veterans only
- 21. Non-veterans only
- 22. Only non-employment related disabilities for veterans
- 23. Only non-employment related disabilities for non-veterans
- 24. Participants have children under age 21 at time of termination.

- 30. Must qualify for company's long-term disability program
- 31. Must not qualify for company's long-term disability program
- 32. Must qualify for company's disability program or be eligible for SS disability
- 33. Must qualify for company's disability program and be eligible for SS disability
- 34. Not currently eligible for any type of SS benefit
- 35. Not eligible for company's disability program, but is eligible for SS disability
- 36. Must qualify for SS disability

Var #

5120 (7)
5125 (8)
5130 (9)
(continued)

- 38. Must qualify for company's disability program and plan participant before:
- 39. Must qualify for company's disability program and ROT formula
- 40. Fire, police officer; hazardous duty employee
- 41. ROT formula and if hazardous duty employee
- 42. Employment related disability for fire, police officers
- 43. Non-employment related disability for fire, police officers
- 45. Employer approval and ROT formula
- 46. Employer approval and voluntary contributions
- 47. Employer approval and plan participant before:
- 60. ROT formula and plan participant on:
- 61. ROT formula and plan participant before:
- 62. ROT formula and plan participant after:
- 63. ROT formula and plan participant from/to:
- 64. Voluntary contribution required and ROT formula
- 65. Voluntary contributions required and plan participant from/to:
- 66. No withdrawal of voluntary contributions and plan participant before:
- 67. Withdrawal of voluntary contributions or plan participant after:
- 69. Only if eligible for SS disability and plan participant on:
- 70. Only if eligible for SS disability and plan participant before:
- 71. Only if not eligible for SS disability and plan participant before:
- 72. Only if eligible for SS disability and ROT formula
- 73. Only if eligible for SS disability and voluntary contributions
- 74. Only if eligible for SS disability and employment related disability
- 75. Employment related disabilities and plan participant before:
- 76. Employment related disabilities and plan participant after:
- 77. Non-employment related disabilities and plan participant before:
- 78. Non-employment related disabilities and plan participant from/to:
- 79. Employment related disabilities and plan participant from/to:
- 96. ROT requirement expressed in standard notation formula-- see V5190/V5191/V5192.
- 97. z - OTHER
- 99. NA
- 00. Inap, 5118; 5119.

Var #

5121 (7) F13. ON/BEFORE/AFTER/FROM: MONTH (01-12; 99)
5126 (8) 00. Inap, 5118; 5119
5131 (9)

5122 (7) F13. ON/BEFORE/AFTER/FROM: YEAR (01-95; 99)
5127 (8) 00. Inap, 5118; 5119
5132 (9)

5123 (7) F13. TO: MONTH (01-12; 99)
5128 (8) 00. Inap, 5118; 5119
5133 (9)

5124 (7) F13. TO: YEAR (01-95; 99)
5129 (8) 00. Inap, 5118; 5119
5134 (9)

5135 F14. Aside from lump-sum withdrawal options, are the vested deferred retirement benefits and supplements paid on a regular basis for life, on a regular basis for some other specified time period, a one-time payment, or how long?

0 in
5136-5151

- 1. USE ONE OR MORE NEW PAYMENT LENGTHS
- 2. USE PRIOR DEFINITIONS
- 9. NA

Var #

5136

F14. SUMMARY: # of LNG's

0 in
5142-5151

1. One

0 in
5147-5151

2. Two

3. Three

0. Inap, 5135

LNG7 = V5137-5141
LNG8 = V5142-5146
LNG9 = V5147-5151

5137 (7)
5142 (8)
5147 (9)

F14. NEW PAYMENT LENGTHS (LNG's)

- 01. a - FOR LIFE
- 02. b - FOR # YRS:
- 03. c - UNTIL AGE:
- 04. d - AFTER AGE:
- 05. e - FROM AGE: *11 to AGE*
- 06. f - UNTIL ELIGIBLE FOR SOCIAL SECURITY BENEFITS
- 07. g - AFTER ELIGIBLE FOR SOCIAL SECURITY BENEFITS
- 08. h - ONE TIME PAYMENT

- 18. Until participant would have been eligible for NR
- 19. After participant would have been eligible for NR
- 20. For life after first # YRS:
- 21. Until earlier of AGE:
or duration of #YRS:
- 22. After earlier of AGE:
or duration of #YRS:
- 23. Until earlier of AGE:
or eligible for Social Security Disability
- 30. Until child reaches AGE 18 (or 21 if student)

- 50. Until earlier of AGE 65 or #YRS=.5*ASY1
- 51. Until earlier of AGE 65 or #YRS=.25*ASY10

- 97. z - OTHER
- 99. NA
- 00. Inap, 5135; 5136.

Var #

5138 (7) F14. # YRS. (01-50; 99)
 5143 (8)
 5148 (9) 00. Inap, 5135; 5136

5139 (7) F14. UNTIL/AFTER/FROM AGE: (18-85; 99)
 5144 (8)
 5149 (9) 00. Inap, 5135; 5136

5140 (7) F14. TO AGE (18-85; 99)
 5145 (8)
 5150 (9) 00. Inap, 5135; 5136

5141 (7) F14. (f-g) UNREDUCED...
 5146 (8)
 5151 (9)

1. UNREDUCED
2. REDUCED
3. NOT CITED

9. NA
0. Inap, 5135; 5136.

5190 ROT7 formula

5191 ROT8 formula

5192 ROT9 formula

5201 F15. SUMMARY: # of BEG's

0 in 5207-5216	1. One
0 in 5212-5216	2. Two
	3. Three

BEG1 = V5202-5206
BEG2 = V5207-5211
BEG3 = V5212-5216

Var #

5202 (7)
5207 (8)
5212 (9)

F15. Do vested deferred benefit payments begin at the time of the participants employment termination, or do the payments begin at some later date (BEG)?

- 01. a - PAYMENTS BEGIN AT TIME OF TERMINATION
- 02. b - PAYMENTS BEGIN ANYTIME PARTICIPANTS CHOOSE AFTER TERMINATION
- 03. c - PAYMENTS BEGIN WHEN PARTICIPANTS REACH AGE:
- 04. d - PAYMENTS BEGIN ANYTIME PARTICIPANTS CHOOSE AFTER AGE:
- 05. e - PAYMENTS BEGIN ANYTIME PARTICIPANTS CHOOSE BETWEEN TERMINATION AND AGE:
- 06. f - PAYMENTS BEGIN ANYTIME PARTICIPANTS CHOOSE FROM AGE: TO AGE:
- 07. g - PAYMENTS BEGIN WHEN PARTICIPANTS AGE+ASY REACH:
- 08. h - PAYMENTS BEGIN WHEN PARTICIPANT WOULD HAVE QUALIFIED FOR NORMAL RETIREMENT
- 09. i - PAYMENTS BEGIN WHEN PARTICIPANT WOULD HAVE QUALIFIED FOR EARLY RETIREMENT

- 19. After 10 YRS
- 20. After 2 YRS
- 21. After 5 YRS
- 22. After earlier of 5 YRS or AGE:
- 23. After participant qualifies for Social Security Disability
- 24. After earlier of age:
or when qualified for Social Security Disability

- 51. After earlier of AGE 65 or # YRS=.25*ASY10

- 97. z - OTHER
- 99. NA
- 00. Inap, 5201.

5203 (7)
5208 (8)
5213 (9)

F15. DEFINE ASY

Code ASY # (01-18)

See ASY MC for combination codes.

99. NA

00. NOT USED; Inap, 5201

Var #

5204 (7) F15. REACH/AFTER/AND/FROM AGE: (18-85; 99)
5209 (8)
5214 (9) 00. Inap, 5201

5205 (7) F15. TO AGE: (18-85; 99)
5210 (8)
5215 (9) 00. Inap, 5201

5206 (7) F15. AGE + ASY (001-150; 999)
5211 (8)
5216 (9) 000. Inap, 5201

5225 F16. Please express how the vested deferred retirement benefit
and supplement formulas are combined to determine the vested
deferred retirement benefit amount, including any limita-
tions on the minimum or maximum total vested deferred
retirement benefit.
VDI#1 = FORMULA

Code 120-digit formula

00. Inap, 5201

5225 VDI #1 formula

5226 VD#1 formula

5227 VD#2 formula

5228 VD#3 formula

5229 VD#4 formula

5230 VD#5 formula

Var #

5301

G1. Does this pension plan include any provisions for disability retirement benefits?

- 1. YES
- 2. YES, BUT NO (INSUFFICIENT) DETAILS GIVEN IN PLAN
- 5. NO
- 9. NA

0 in 5302-5311 and scr 54-66 and 6701-6724
--

5302

G1A. Does this pension plan include a provision that increases the vesting percentage for participants that were not fully vested at the time of disablement?

- 01. a - INCREASED TO 100% AT TIME OF DISABLEMENT
- 02. b - INCREASED TO 100% WHEN ABOVE MINIMUM AGE:
- 03. c - INCREASED TO 100% WHEN ABOVE MINIMUM ASY:
- 04. d - INCREASED TO 100% WHEN ABOVE MINIMUM AGE+ASY:
- 05. e - INCREASED TO 100% WHEN ABOVE MINIMUM AGE:
OR ABOVE MIN ASY:
- 06. f - INCREASED TO 100% WHEN ABOVE MINIMUM AGE:
AND ABOVE MIN ASY:
- 07. g - VESTING PERCENTAGE IS NOT INCREASED ABOVE AMOUNT
CALCULATED AT TIME OF DISABLEMENT.
- 97. z - OTHER
- 99. NA
- 00. Inap, 5301

5303

G1A. DEFINE ASY

- Code ASY# (01-18)
- 99. NA
- 00. NOT USED; Inap 5301

See ASY MC for combination codes.

Var #

5304 GlA. AGE (18-85; 99)
 00. Inap, 5301

5305 GlA. ASY (01-50; 99)
 00. Inap, 5301

5306 GlA. AGE + ASY (001-150; 999)
 000. Inap, 5301

5307

GLB. After disablement, do participants continue to accrue service credit until they are eligible for early or normal retirement benefits, or are no additional service years credited after the time of disablement?

- 01. a - ACCRUE ONE ASY FOR EACH YEAR DISABLED, NO MAXIMUM
- 02. b - ACCRUE ONE ASY FOR EACH YEAR, TO MAXIMUM AGE:
- 03. c - ACCRUE ONE ASY FOR EACH YEAR, TO MAXIMUM TOTAL ASY:
- 04. d - ACCRUE ONE ASY FOR EACH YEAR, TO MAXIMUM ADDITIONAL ASY:
- 05. e - ACCRUE ONE ASY FOR EACH YEAR, TO MAXIMUM TOTAL AGE+ASY:
- 06. f - USE GREATER OF AGE AT DISABLEMENT OR AGE:
- 07. g - USE GREATER OF ASY AT DISABLEMENT OR ASY:
- 08. h - USE GREATER OF AGE+ASY AT DISABLEMENT OR AGE+ASY:
- 09. i - NO ADDITIONAL SERVICE YEARS CREDITED
- 97. z - OTHER
- 99. NA
- 00. Inap, 5301

5308

GLB. DEFINE ASY

Code ASY # (01-18)

See ASY MC for combination codes.

99. NA

00. NOT USED, Inap, 5301

5309

GLB. AGE (18-85; 99)

00. Inap, 5301

5310

GLB. ASY (01-50; 99)

00. Inap, 5301

5311

GLB. AGE + ASY (001-150; 999)

000. Inap, 5301

Var #

5401

G2. Do any of the disability requirement benefit and supplement formulas or eligibility requirements depend on the number of actual years of credit service the employee has accumulated?

0 in
5402-5456

- 1. YES, USE ONE OR MORE NEW DEFINITIONS
- 2. YES, USE PRIOR DEFINITIONS
- 5. NO
- 9. NA
- 0. Inap, 5301

5402

G2. SUMMARY: #of ASY's

0 in
5421-5456

1. One

0 in
5439-5456

2. Two

3. Three

0. Inap, 5301; 5401

ASY10 = V5403-5420
 ASY11 = V5421-5438
 ASY12 = V5439-5456

Var #

5403 (10)
5421 (11)
5439 (12)

G2A. How is the number of actual years of credit service (ASY) determined for use in the disability retirement formulas or for eligibility requirements?

1. a - ALL YEARS OF EMPLOYMENT ARE CREDITED
2. b - ONLY COUNT YEARS AFTER AGE:
3. c - ONLY COUNT YEARS AFTER FIRST # YEARS:
4. d - ONLY COUNT YEARS AFTER AGE:
OR AFTER FIRST # YEARS:
5. e - ONLY COUNT YEARS AFTER AGE:
AND AFTER FIRST # YEARS:
6. f - ONLY COUNT YEARS DURING FINAL # YEARS:
7. z - OTHER
9. NA
0. Inap, 5301; 5401; 5402

5404 (10)
5422 (11)
5440 (12)

G2A. AGE (18-85; 99)

00. Inap, 5301; 5401; 5402

5405 (10)
5423 (11)
5441 (12)

G2A. # YEARS (01-50; 99)

00. Inap, 5301; 5401; 5402

Var #

5406 (10)
5424 (11)
5442 (12)

G2B. Are the above definitions of ASY based only on specific calendar time periods, or on all years covered by this pension plan?

1. a - COVERS ALL YEARS: NO SPECIFIC DATES CITED
2. b - BEFORE:
3. c - AFTER:
4. d - FROM/TO:
7. z - OTHER
9. NA
0. Inap, 5301; 5401; 5402

5407 (10)
5425 (11)
5443 (12)

G2B. BEFORE/AFTER/FROM: MONTH (01-12; 99)

00. Inap, 5301; 5401; 5402

5408 (10)
5426 (11)
5444 (12)

G2B. BEFORE/AFTER/FROM: YEAR (01-95; 99)

00. Inap, 5301; 5401; 5402

5409 (10)
5427 (11)
5445 (12)

G2B. TO: MONTH (01-12; 99)

00. Inap, 5301; 5401; 5402

5410 (10)
5428 (11)
5446 (12)

G2B. TO: YEAR (01-95; 99)

00. Inap, 5301; 5401; 5402

Var #

5411 (10)
5429 (11)
5447 (12)

G2C. Is there a maximum number of actual years of credited service that can be used for disability retirement benefits or a maximum age after which service years can no longer be credited toward disability retirement benefits?

1. a - YES, MAXIMUM AGE:
2. b - YES, MAXIMUM ASY:
3. c - YES, MAXIMUM AGE PLUS ASY:
4. d - YES, WHEN OVER MAXIMUM AGE:
OR OVER MAXIMUM ASY:
5. e - YES, WHEN OVER MAXIMUM AGE:
AND OVER MAXIMUM ASY:
6. f - NO
7. z - OTHER
9. NA
0. Inap, 5301; 5401; 5402

5412 (10)
5430 (11)
5448 (12)

G2C. AGE (18-85; 99)

00. Inap, 5301; 5401; 5402

5413 (10)
5431 (11)
5449 (12)

G2C. ASY (01-50; 99)

00. Inap, 5301; 5401; 5402

5414 (10)
5432 (11)
5450 (12)

G2C. AGE + ASY (001-150; 999)

000. Inap, 5301; 5401; 5402

Var #

5415 (10)
5433 (11)
5451 (12)

G2D. Are participants credited one service year for each year they meet minimum employment requirements, or does the amount of credited service depend on the actual number of hours worked each year?

- 01. a - CREDITED ONE ASY FOR EACH YEAR EMPLOYED; NO MINIMUM WORK REQUIREMENTS
- 02. b - CREDITED WITH EITHER ZERO OR ONE ASY; ONE ASY IS CREDITED FOR EACH YEAR WORKED A MINIMUM OF:
HOURS PER YEAR
- 03. c - # WEEKS PER YEAR, AND
HOURS PER WEEK
- 04. d - # MONTHS PER YEAR, AND
HOURS PER MONTH
- 05. e - CREDITED WITH A PRORATED FRACTION FROM ZERO TO ONE ASY; ONE FULL ASY IS CREDITED FOR EACH YEAR WORKED A MINIMUM OF:
HOURS PER YEAR
- 06. f - # WEEKS PER YEAR, AND
HOURS PER WEEK
- 07. g - # MONTHS PER YEAR, AND
HOURS PER MONTH
- 08. h - CREDITED EACH YEAR WITH A PRORATED FRACTION FROM ZERO TO MORE THAN ONE ASY; ONE FULL ASY IS CREDITED FOR EVERY:
HOURS PER YEAR
- 09. i - # WEEKS PER YEAR, AND
HOURS PER WEEK
- 10. j - # MONTHS PER YEAR, AND
HOURS PER MONTH
- 97. z - OTHER
- 99. NA
- 00. Inap, 5301; 5401; 5402

Var #

5416 (10)
5434 (11)
5452 (12)

G2D. # HOURS PER YEAR (0001-2496; 9999)

0000. Inap, 5301; 5401; 5402

5417 (10)
5435 (11)
5453 (12)

G2D. # WEEKS PER YEAR (01-52; 99)

00. Inap, 5301; 5401; 5402

5418 (10)
5436 (11)
5454 (12)

G2D. # HOURS PER WEEK (01-48; 99)

00. Inap, 5301; 5401; 5402

5419 (10)
5437 (11)
5455 (12)

G2D. # MONTHS PER YEAR (01-12; 99)

00. Inap, 5301; 5401; 5402

5420 (10)
5438 (11)
5456 (12)

G2D. # HOURS PER MONTH (001-208, 999)

000. Inap, 5301; 5401; 5402

5501

G3. Do any of the disability retirement benefit or supplement formulas depend on the number of potential years of credited service?

0 in
5502-5535

- 1. YES, USE ONE OR MORE NEW DEFINITIONS
- 2. YES, USE PRIOR DEFINITIONS
- 5. NO
- 9. NA
- 0. Inap, 5301

5502

G3. SUMMARY: # of PSY's

0 in
5514-5535

1. One

0 in
5525-5535

2. Two

3. Three

0. Inap, 5301; 5501

PSY = V5503-5513
PSY = V5514-5524
PSY = V5525-5535

Var #

5503 (10)
5514 (11)
5525 (12)

G3A. How is the number of potential years of credited service (PSY) determined for use in the normal retirement formulas.

1. a - ASSUMES PARTICIPANT WORKS UNTIL AGE:
COUNT ALL YEARS SINCE FIRST EMPLOYED
2. b - ASSUMES PARTICIPANT WORKS UNTIL AGE:
COUNT ONLY YEARS EMPLOYED AFTER AGE:
3. c - ASSUMES PARTICIPANT WORKS UNTIL AGE:
COUNT ONLY YEARS AFTER FIRST # YRS:
4. d - ASSUMES PARTICIPANT WORKS UNTIL AGE:
COUNT ONLY YEARS EMPLOYED AFTER AGE:
OR ONLY YEARS AFTER FIRST # YRS:
5. e - ASSUMES PARTICIPANT WORKS UNTIL AGE:
COUNT ONLY YEARS EMPLOYED AFTER AGE:
AND ONLY YEARS AFTER FIRST # YRS:

7. z - OTHER

9. NA

0. Inap, 5301; 5501

5504 (10)
5515 (11)
5526 (12)

G3A. UNTIL AGE (18-85; 99)

00. Inap, 5301; 5501

5505 (10)
5516 (11)
5527 (12)

G3A. AFTER AGE (18-85; 99)

00. Inap, 5301; 5501

5506 (10)
5517 (11)
5528 (12)

G3A. # YEARS (01-50; 99)

00. Inap, 5301; 5501

Var #

5507 (10)
5518 (11)
5529 (12)

G3B. Are the above definitions of PSY based only on specific calendar time periods, or on all years covered by this pension plan?

- 1. a - COVERS ALL YEARS: NO SPECIFIC DATES CITED.
- 2. b - BEFORE:
- 3. c - AFTER:
- 4. d - FROM/TO
- 7. z - OTHER
- 9. NA
- 0. Inap, 5301; 5501

5508 (10)
5519 (11)
5530 (12)

G3B. BEFORE/AFTER/FROM: MONTH (01-12; 99)

- 00. Inap, 5301; 5501

5509 (10)
5520 (11)
5531 (12)

G3B. BEFORE/AFTER/FROM: YEAR (01-95; 99)

- 00. Inap, 5301; 5501

5510 (10)
5521 (11)
5532 (12)

G3B. TO: MONTH (01-12; 99)

- 00. Inap, 5301; 5501

5511 (10)
5522 (11)
5533 (12)

G3B. TO: YEAR (01-95; 99)

- 00. Inap, 5301; 5501

5512 (10)
5523 (11)
5534 (12)

G3C. Is there a maximum number of potential credited service years that can be used for normal retirement benefits?

- 1. a - YES, MAXIMUM PSY:
- 2. b - NO
- 7. z - OTHER
- 9. NA
- 0. Inap, 5301; 5501

5513 (10)
5524 (11)
5535 (12)

G3C. MAX PSY: _____ (01-50; 99)

- 00. Inap, 5301; 5501

Var #

5601

G4. Do any of the disability retirement benefit or supplement formulas depend on the level of the employee's wages or salary?

0 in
5602-5653

- 1. YES, USE ONE OR MORE NEW DEFINITIONS
- 2. YES, USE PRIOR DEFINITIONS
- 5. NO
- 9. NA
- 0. Inap, 5301

5602

G4. SUMMARY: # of FAP's

0 in
5620-5653

1. One

0 in
5637-5653

2. Two

3. Three

0. Inap, 5301; 5601

FAP 10 = V5603-5619
FAP 11 = V5620-5636
FAP 12 = V5637-5653

Var #

5603 (10)
5620 (11)
5637 (12)

G4A. How is Final Average Pay (FAP) defined for use in the normal retirement formulas?

- 01. a - FINAL SALARY OR WAGE RATE
- 02. b - TOTAL OF ALL MO/YRS
- 03. c - AVERAGE OF ALL MO/YRS
- 04. d - AVERAGE DURING FINAL # MO/YRS
- 05. e - HIGHEST MO/YR DURING FINAL # MO/YRS
- 06. f - AVERAGE OF THE HIGHEST # MO/YRS:
DURING FINAL # MO/YRS:
- 07. g - AVERAGE HIGHEST CONSECUTIVE # MO/YRS:
DURING FINAL # MO/YRS:
- 08. h - NO SPECIFIED PERIOD, HIGHEST MO/YR
- 09. i - NO SPECIFIED PERIOD
AVERAGE OF THE HIGHEST # MO/YRS:
- 10. j - NO SPECIFIED PERIOD,
AVERAGE HIGHEST CONSECUTIVE # MO/YRS:
- 97. z - OTHER
- 99. NA
- 00. Inap, 5301; 5601; 5602

5604 (10)
5621 (11)
5638 (12)

CHECK: MO or YR

- 1. MO
- 2. YR
- 9. NA
- 0. Inap, 5301; 5601; 5602

Var #

5605 (10)
5622 (11)
5639 (12)

G4A. FIRST # MO/YRS (001-240; -999)

000. Inap, 5301; 5601; 5602

5606 (10)
5623 (11)
5640 (12)

G4A. SECOND # MO/YRS (f and g only) (001-240; 999)

000. Inap, 5301; 5601; 5602

5607 (10)
5624 (11)
5641 (12)

G4B. When used in the normal retirement formulas, is FAP expressed in terms of monthly or annual amounts?

1. a - MONTHLY AMOUNT
2. b - YEARLY AMOUNT
3. c - DOES NOT APPLY -- FAP DEFINED AS TOTAL OF ALL MO/YRS
7. z - OTHER
9. NA
0. Inap. 5301; 5601; 5602

5608 (10)
5625 (11)
5642 (12)

G4C. Are the above definitions of FAP based on wages and salaries earned during specific calendar time periods, or during all years covered by this pension plan?

1. a - COVERS ALL YEARS: NO SPECIFIC DATES CITED.
2. b - BEFORE
3. c - AFTER
4. d - FROM/TO:
7. z - OTHER
9. NA
0. Inap, 5301; 5601; 5602

Var #

5609 (10)	<u>G4C. BEFORE/AFTER/FROM: MONTH (01-12; 99)</u>
5626 (11)	
5643 (12)	00. Inap, 5301; 5601; 5602
5610 (10)	<u>G4C. BEFORE/AFTER/FROM: YEAR (01-95; 99)</u>
5627 (11)	
5644 (12)	00. Inap, 5301; 5601; 5602
5611 (10)	<u>G4C. TO: MONTH (01-12; 99)</u>
5628 (11)	
5645 (12)	00. Inap, 5301; 5601; 5602
5612 (10)	<u>G4C. TO: YEAR (01-95; 99)</u>
5629 (11)	
5646 (12)	00. Inap, 5301; 5601; 5602

Var #

5613 (10)
5630 (11)
5647 (12)

G4D. Is there a maximum age or service years after which any wages or salaries cannot be used in calculating FAP?

1. a - YES, MAXIMUM AGE:
2. b - YES, MAXIMUM ASY:
3. c - YES, MAXIMUM AGE PLUS ASY:
4. d - YES, WHEN OVER MAXIMUM AGE:
OR OVER MAXIMUM ASY:
5. e - YES, WHEN OVER MAXIMUM AGE:
AND OVER MAXIMUM ASY:
6. f - NO
7. z - OTHER
9. NA
0. Inap, 5301; 5601; 5602

5614 (10)
5631 (11)
5648 (12)

G4D. DEFINE ASY

Code ASY # (01-18)

See ASY MC for
combination codes.

99. NA

00. NOT USED; Inap , 5301; 5601; 5602

5615 (10)
5632 (11)
5649 (12)

G4D. AGE (18-85; 99)

00. Inap, 5301; 5601; 5602

5616 (10)
5633 (11)
5650 (12)

G4D. ASY (01-50;99)

00. Inap, 5301; 5601; 5602

5617 (10)
5634 (11)
5651 (12)

G4D. AGE + ASY (001-150 ; 999)

000. Inap, 5301; 5601; 5602

Var #

5618 (10)
5635 (11)
5652 (12)

G4E. Is there a maximum on the amount of monthly/annual wages and salaries that can be used to calculate FAP?

- 1. a - YES, MAXIMUM OF:
- 2. b - NO
- 7. z - OTHER
- 9. NA
- 0. Inap, 5301; 5601; 5602

5619 (10)
5636 (11)
5653 (12)

G4E. MAX OF: \$ (000 001-999 995; 999 999)

000 000. Inap, 5301; 5601; 5602

5701

G5. Do Social Security benefit payments or the Social Security taxable wage base enter into any of the disability retirement benefit or supplement formulas?

- 1. YES, USE ONE OR MORE NEW DEFINITIONS
- 2. YES, USE PRIOR DEFINITIONS
- 5. NO
- 9. NA
- 0. Inap, 5301

0 in
5702-5724
and scr
58-59

5702

G5. SUMMARY: # of SS's

0 in
5803-5924

1. One

0 in
5903-5924

2. Two

3. Three

0. Inap, 5301; 5701

SS10 = V5703-5724
SS11 = V5803-5824
SS12 = V5903-5924

Var #

5703

G5A. How is the Social Security term (SS) defined for use in the disability retirement formulas?

1. a - SOCIAL SECURITY RETIREMENT BENEFIT AMOUNT
2. b - SOCIAL SECURITY TAXABLE WAGE BASE
3. c - SOCIAL SECURITY DISABILITY BENEFIT AMOUNT
7. z - OTHER
9. NA
0. Inap, 5301; 5701; 5702

5704

If a: PRIMARY...

1. PRIMARY
2. JOINT
3. NOT CITED
9. NA
0. Inap, 5301; 5701; 5702

5705

If a: UNREDUCED...

1. UNREDUCED
2. REDUCED
3. NOT CITED
9. NA
0. Inap, 5301; 5701; 5702

Var #

5706

If b: AT DISABLEMENT...

1. AT DISABLEMENT
2. CAREER TOTAL
3. CAREER AVERAGE

9. NA

0. Inap, 5301; 5701; 5702

5707

If b: ACTUAL...

1. ACTUAL
2. ESTIMATED AT DISABLEMENT
3. ESTIMATED IN YEAR: 19__

7. Other

9. NA

0. Inap, 5301; 5701; 5702

5708

If b: YEAR: 19__ (01-95; 99)

00. Inap, 5301; 5701; 5702

Var #

5709

G5B. When used in the disability retirement formulas, is SS expressed in terms of monthly or annual amounts?

- 1. a - MONTHLY AMOUNT
- 2. b - YEARLY AMOUNT
- 3. c - DOES NOT APPLY --SS DEFINED AS CAREER TOTAL SWB
- 7. z - OTHER
- 9. NA
- 0. Inap, 5301; 5701; 5702

5710

G5C. Are the above definitions of SS based only on specific calendar time periods, or on all years covered by this pension plan?

- 1. a - COVERS ALL YEARS: NO SPECIFIC DATES CITED
- 2. b - BEFORE:
- 3. c - AFTER:
- 4. d - FROM:
TO:
- 7. z - OTHER
- 9. NA
- 0. Inap, 5301; 5701; 5702

5711

G5C. BEFORE/AFTER/FROM: MONTH (01-12; 99)

- 00. Inap, 5301; 5701; 5702

5712

G5C. BEFORE/AFTER/FROM: YEAR (01-95; 99)

- 00. Inap, 5301; 5701; 5702

5713

G5C. TO: MONTH (01-12; 99)

- 00. Inap, 5301; 5701; 5702

5714

G5C. TO: YEAR (01-95; 99)

- 00. Inap, 5301; 5701; 5702

Var #

5715

GSD. Is there a maximum limitation on the amount of SS that can be used to determine disability retirement benefits or a maximum on service years or age after which the SS amount no longer accrues?

- 01. a - YES, MAXIMUM PERCENTAGE OF SS:
- 02. b - YES, MAXIMUM DOLLAR AMOUNT:
- 03. c - YES, MAXIMUM AGE:
- 04. d - YES, MAXIMUM ASY:
- 05. e - YES, MAXIMUM AGE PLUS ASY:
- 06. f - YES, WHEN OVER MAXIMUM AGE:
OR OVER MAXIMUM ASY:
- 07. g - YES, WHEN OVER MAXIMUM AGE:
AND OVER MAXIMUM ASY:
- 08. h - NO
- 97. z - OTHER
- 99. NA
- 00. Inap, 5301; 5701; 5702

5716

DEFINE ASY

Code ASY # (01-18)

See ASY MC for
combination codes.

99. NA

00. NOT USED; Inap, 5301; 5701; 5702

Var #

5717 G5D. % PERCENTAGE OF SS to 2 decimals
 0001 - 9500
 9999. NA
 0000. Inap, 5301; 5701; 5702

5718 G5D. \$ DOLLAR AMOUNT (000 001-999 995; 999 999)
 000 000, Inap, 5301; 5701; 5702

5719 G5D. AGE (18-85; 99)
 00. Inap, 5301; 5701; 5702

5720 G5D. ASY (01-50; 99)
 00. Inap, 5301; 5701; 5702

5721 G5D. AGE + ASY (001-150; 999)
 000. Inap, 5301; 5701; 5702

Var #

5722

G5E. If participants can retire before they are eligible to receive Social Security benefits, how is the SS term estimated for use in the pension formula(s)?

1. a - DOES NOT APPLY
2. b - BENEFIT AMOUNT EXPECTED AT AGE:
ASSUMES PARTICIPANTS HAVE NO FUTURE
WAGE OR SALARY INCOME
3. c - BENEFIT AMOUNT EXPECTED AT AGE:
ASSUMES PARTICIPANTS WORK UNTIL THEN
AT CURRENT WAGES OR SALARIES
4. d - BENEFIT AMOUNT EXPECTED AT AGE:
ASSUMES PARTICIPANTS WORK UNTIL THEN,
WITH ANNUAL INCOME INCREASES OF:
5. e - ESTIMATION METHOD NOT CITED
7. z - OTHER
9. NA
0. Inap, 5301; 5701; 5702

5723

G5E. AGE (18-85; 99)

00. Inap, 5301; 5701; 5702

5724

G5E. % PERCENT field (0001-9500; 9999) to two decimals

0000. Inap, 5301; 5701; 5702

Var #

6001

C6. Do any of the disability retirement benefit or supplement formulas include a reduction factor to adjust benefits?

0 in
6002-6026
and scr
61-62

- 1. YES, USE ONE OR MORE NEW DEFINITIONS
- 2. YES, USE PRIOR DEFINITIONS
- 5. NO
- 9. NA
- 0. Inap, 5301

6002

C6. SUMMARY: # of RED's

0 in
6103-6226

1. One

0 in
6203-6226

2. Two

3. Three

0. Inap, 5301; 6001

RED10 = V6003-6026
RED11 = V6103-6126
RED12 = V6203-6226

Var #

6003

G6A. How is the reduction factor (RED) defined for use in disability retirement formulas?

0 in
6008-6026

1. a - ACTUARIALLY EQUIVALENT TO BENEFITS RECEIVED AT:

0 in
6005-6026

2. b - BENEFIT REDUCED BY ANY PAYMENTS RECEIVED UNDER STATE WORKERS COMPENSATION OR SIMILAR LAWS

0 in
6005-6007,
6012-6026

3. c - FIXED DOLLAR OR PERCENT REDUCTION, MULTIPLIED BY THE NUMBER OF YEARS THE PARTICIPANT RETIRES BEFORE REACHING A CERTAIN AGE, ASY, OR SUM OF AGE+ASY.

0 in
6005-6011

4. d - VARYING DOLLAR OR PERCENT REDUCTION DEPENDING ON AGE, ASY, OR SUM AGE+ASY.

5. e - UNSPECIFIED REDUCTION

0 in
6005-6026

6. f - REDUCED ___% PER YEAR FOR COST OF OPTION (SEE V6010)

7. z - OTHER

9. NA

0. Inap, 5301; 6001; 6002

6004

G6A. DEFINE ASY

Code ASY # (01-18)

See ASY MC for combination codes.

99. NA

00. NOT USED; Inap, 5301; 6001; 6002; 6003

Var #

6005

If a: "AGE" LIST

1. AGE
2. AGE+ASY
3. NORMAL
4. EARLY
5. ASY
7. Other

9. NA

0. Inap, 5301; 6001; 6002; 6003

6006

If a: AGE/ASY

00. Inap, 5301; 6001; 6002; 6003

6007

If a: AGE + ASY (001-150; 999)

000. Inap, 5301; 6001; 6002; 6003

Var #

6008

If c: "\$" BOX

- 1. \$
- 2. %
- 7. Other
- 9. NA
- 0. Inap, 5301; 6001; 6002; 6003

6009

If c: "AGE" BOX

- 1. AGE
- 2. ASY
- 3. AGE + ASY
- 7. Other
- 9. NA
- 0. Inap, 5301; 6001; 6002; 6003

6010

If c: \$ or % field to 2 decimals

000 000 01-999 995 00

-9. NA

000 000 00. Inap, 5301; 6001; 6002; 6003

6011

If c: AGE/ASY field (001-150; 999)

000. Inap, 5301; 6001; 6002; 6003

Var #

6012

If d: "\$" BOX

- 1. \$
- 2. %

- 7. Other

- 9. NA

- 0. Inap, 5301; 6001; 6002; 6003

6013

If d: "AGE" BOX

- 1. AGE
- 2. ASY
- 3. AGE + ASY

- 7. Other

- 9. NA

- 0. Inap, 5301; 6001; 6002; 6003

6014 (UNDER)

If d: \$ or % field to 2 decimals

6016 (FROM MIN)

000 000 01-999 995 00

6019 (FROM)

-9. NA

6022 (FROM)

000 000 00. Inap, 5301; 6001; 6002; 6003;

6025 (FROM) .

6015 (UNDER)

If d: AGE/ASY field (001-150; 999)

6017 (FROM MIN)

000. Inap, 5301; 6001; 6002; 6003

6018 (TO)

6020 (FROM)

6021 (TO)

6023 (FROM)

6024 (TO MAX)

6026 (OVER)

Var #

6301

G7. Express the disability retirement benefit and supplement formulas, including any other minimums or maximums on benefit amounts, using the terms defined in the above questions (ASY, PSY, FAP, SS, RED) and standard notation.
CHECK BOX: TOTAL NUMBER OF SEPARATE FORMULAS CODED:

Code # checked (01-10)

0 in
6316-6341,
6403-6428

01. One formula(s)

0 in
6329-6341,
6403-6428

02. Two "

0 in
6403-6428

03. Three "

0 in
6416-6428

04. Four "

05. Five "

99. NA

00. Inap, 5301

6302

CHECK BOX: ALL FORMULAS DETERMINE...

1. MONTHLY

2. YEARLY

9. NA

0. Inap, 5301; 6301

Var #

DR #1 - see variable 6726
DR #2 - see variable 6727
DR #3 - see variable 6728
DR #4 - see variable 6729
DR #5 - see variable 6730

6303 (1)
6316 (2)
6329 (3)

DR#1 RAS (01-06, 10-15; 96,99)

00. Inap, 5301; 6301

6304 (1)
6317 (2)
6330 (3)

DR#1 DAT (01-15; 96,99)

00. Inap, 5301; 6301

6305 (1)
6318 (2)
6331 (3)

DR#1 ROI (01-15; 96,99)

00. Inap, 5301; 6301

6306 (1)
6319 (2)
6332 (3)

DR#1 LNG (01-15; 96,99)

00. Inap 5301; 6301

6307 (1)
6320 (2)
6333 (3)

DR#1 BEG (07-15; 96,99)

00. Inap, 5301; 6301

6726 (1)

DR#1 FORMULA

6727 (2)

Code 120-digit formula

6728 (3)

Inap, 5301; 6301

Var #

6403 (1) DR#4 RAS (01-06, 10-15;96,99)
6416 (2) 00. Inap, 5301; 6301

6404 (1) DR#4 DAT (01-15; 96,99)
6417 (2) 00. Inap, 5301; 6301

6405 (1) DR#4 ROT (01-15; 96,99)
6418 (2)

6406 (1) DR#4 LNG (01-15; 96,99)
6419 (2) 00. Inap, 5301; 6301

6407 (1) DR#4 BEG (07-15; 96,99)
6420 (2) 00. Inap, 5301; 6301

6729 (1) DR#4 FORMULA
6730 (2) Code 120-digit formula
Inap, 5301; 6301

Var #

6501

G8. What combinations of age and actual service years are required (RAS) to receive disability retirement benefits or supplements?

0 in
6502-6559

- 1. USE ONE OR MORE NEW REQUIREMENTS
- 2. USE PRIOR DEFINITIONS
- 9. NA
- 0. Inap, 5301

6502

G8. SUMMARY: # of RAS's

0 in
6522-6559

1. One

0 in
6541-6559

2. Two

3. Three

0. Inap, 5301; 6501

RAS10 = V6503-6521
RAS11 = V6522-6540
RAS12 = V6541-6559

6503 (10)
6522 (11)
6541 (12)

G8. DEFINE ASY

Code ASY #01-18

See ASY MC of combination

99. NA

00. NOT USED; Inap, 5301; 6501; 6502

Var #

6504 (10) G8a. NO MINIMUM AGE OR ASY

6523 (11)

6542 (12)

1. "a" checked

9. NA

0. "a" not checked; Inap, 5301; 6501; 6502

6505 (10) G8b. MINIMUM AGE OF: _____ (18-85, 99) AND NO MINIMUM ASY

6524 (11)

6543 (12)

00. Inap, 5301; 6501; 6502

6506 (10) G8c. MINIMUM ASY OF: _____ (01-50, 99) AND NO MINIMUM AGE

6525 (11)

6544 (12)

00. Inap, 5301; 6501; 6502

6507 (10) G8d. MINIMUM AGE OF: _____ (18-85, 99)

6526 (11)

6545 (12)

00. Inap, 5301; 6501; 6502

6508 (10) G8d. AND MINIMUM ASY OF: _____ (01-50, 99)

6527 (11)

6546 (12)

00. Inap, 5301; 6501; 6502

Var #

6509 (10) G8e. MINIMUM AGE OF: _____ (18-85, 99)
6528 (11) _____
6547 (12) 00. Inap, 5301; 6501; 6502

6510 (10) G8e. AND MINIMUM ASY OF: _____ (01-50, 99)
6529 (11) _____
6548 (12) 00. Inap, 5301, 6501, 6502

6511 (10) G8f. MINIMUM AGE OF: _____ (18-85, 99)
6530 (11) _____
6549 (12) 00. Inap, 5301; 6501; 6502

6512 (10) G8f. AND MINIMUM ASY OF: _____ (01-50, 99)
6531 (11) _____
6550 (12) 00. Inap, 5301, 6501, 6502

6513 (10) G8g. AGE PLUS ASY OF: _____ (001-150, 999) NO MIN AGE OR ASY
6532 (11) _____
6551 (12) 000. Inap, 5301; 6501; 6502

6514 (10) G8h. AGE PLUS ASY OF: _____ (001-150, 999)
6533 (11) _____
6552 (12) 000. Inap, 5301; 6501; 6502

6515 (10) G8h. AND MINIMUM AGE OF: _____ (18-85, 99)
6534 (11) _____
6553 (12) 00. Inap, 5301; 6501; 6502

Var #

6516 (10) G8i, AGE PLUS ASY OF: _____ (001-150, 99)
6535 (11) _____
6554 (12) 00. Inap, 5301; 6501; 6502

6517 (10) G8i. AND MINIMUM ASY OF: _____ (01-50, 99)
6536 (11) _____
6555 (12) 00. Inap, 5301; 6501; 6502

6518 (10) G8j. AGE PLUS ASY OF: _____ (001-150, 999)
6537 (11) _____
6556 (12) 00. Inap, 5301; 6501; 6502

6519 (10) G8j. AND MINIMUM AGE OF: _____ (18-85, 99)
6538 (11) _____
6557 (12) 00. Inap, 5301; 6501; 6502

6520 (10) G8j. AND MINIMUM ASY OF: _____ (01-50, 99)
6539 (11) _____
6558 (12) 00, Inap, 5301; 6501; 6502

6521 (10) G8z. OTHER
6540 (11) _____
6559 (12) 1. "z" checked
9. NA
0. "z" not checked; Inap, 5301; 6501; 6502

Var #

6601

G9. Do the disability retirement benefit or supplement formulas apply only to participants that become disabled during a specific calendar time period, or to all dates covered by this pension plan (DAT)?

0 in
6602-6617

- 1. USE ONE OR MORE NEW DATES
- 2. USE PRIOR DEFINITIONS
- 9. NA
- 0. Inap, 5301

6602

G9. SUMMARY: # of DAT's

0 in
6608-6617

1. One

0 in
6613-6617

2. Two

3. Three

0. Inap, 5301; 6601

DAT10 = V6603-6607
DAT11 = V6608-6612
DAT12 = V6612-6617

Var #

6603 (10)
6608 (11)
6613 (12)

G9. NEW DATES (DAT's)

1. a - COVERS ALL YEARS; NO SPECIFIC DATES CITED
2. b - BEFORE:
3. c - AFTER:
4. d - FROM/TO:
7. z - OTHER
9. NA
0. Inap, 5301; 6601; 6602

6604 (10)
6609 (11)
6614 (12)

G9. BEFORE/AFTER/FROM: MONTH (01-12; 99)

00. Inap, 5301; 6601; 6602

6605 (10)
6610 (11)
6615 (12)

G9. BEFORE/AFTER/FROM: YEAR (01-95; 99)

00. Inap, 5301; 6601; 6602

6606 (10)
6611 (11)
6616 (12)

G9. TO: MONTH (01-12; 99)

00. Inap, 5301; 6601; 6602

6607 (10)
6612 (11)
6617 (12)

G9. TO: YEAR (01-95; 99)

00. Inap, 5301; 6601; 6602

6618

G10. To receive any of the disability retirement benefits or supplements are there any other requirements (ROT), such as specific employer approval, or benefits limited to only participants that made voluntary contributions or other special requirements, aside from evidence of a disability?

0 in
6619-6634

- 1. USE ONE OR MORE NEW REQUIREMENTS
- 2. USE PRIOR DEFINITIONS
- 9. NA
- 0. Inap, 5301.

6619

G10. SUMMARY: # of ROT's

0 in
6625-6634

1. One

ROT10 = V6620-6624
ROT11 = V6625-6629
ROT12 = V6630-6634

0 in
6630-6634

- 2. Two
- 3. Three
- 0. Inap, 5301; 6618.

6620 (10)
6625 (11)
6630 (12)

G10. NEW REQUIREMENTS (ROT'S)

(NOTE: If ROT formula cited below, see V6690, V6691, V6692)

- 01. a - NO OTHER SPECIAL REQUIREMENTS
- 02. b - EMPLOYER APPROVAL REQUIRED
- 03. c - VOLUNTARY CONTRIBUTIONS REQUIRED
- 04. d - ONLY FOR EMPLOYMENT RELATED DISABILITIES
- 05. e - ONLY FOR NON-EMPLOYMENT RELATED DISABILITIES
- 06. f - ONLY IF ELIGIBLE FOR SS DISABILITY BENEFITS
- 07. g - ONLY IF NOT ELIGIBLE FOR SS DISABILITY BENEFITS
- 08. h - IF PLAN PARTICIPANT ON:
- 09. i - IF PLAN PARTICIPANT BEFORE:
- 10. j - IF PLAN PARTICIPANT AFTER:
- 11. k - IF PLAN PARTICIPANT FROM/TO:

- 20. Veterans only
- 21. Non-veterans only
- 22. Only non-employment related disabilities for veterans
- 23. Only non-employment related disabilities for non-veterans
- 24. Participants have children under age 21 at time of termination.

- 30. Must qualify for company's long-term disability program
- 31. Must not qualify for company's long-term disability program
- 32. Must qualify for company's disability program or be eligible for SS disability
- 33. Must qualify for company's disability program and be eligible for SS disability
- 34. Not currently eligible for any type of SS benefit
- 35. Not eligible for company's disability program, but is eligible for SS disability
- 36. Must qualify for SS disability

Var #

6620 (10)
6625 (11)
6630 (12)
(continued)

38. Must qualify for company's disability program and plan participant before:
39. Must qualify for company's disability program and ROT formula
40. Fire, police officer; hazardous duty employee
41. ROT formula and if hazardous duty employee
42. Employment related disability for fire, police officers
43. Non-employment related disability for fire, police officers
45. Employer approval and ROT formula
46. Employer approval and voluntary contributions
47. Employer approval and plan participant before:
60. ROT formula and plan participant on:
61. ROT formula and plan participant before:
62. ROT formula and plan participant after:
63. ROT formula and plan participant from/to:
64. Voluntary contribution required and ROT formula
65. Voluntary contributions required and plan participant from/to:
66. No withdrawal of voluntary contributions and plan participant before:
67. Withdrawal of voluntary contributions or plan participant after:
69. Only if eligible for SS disability and plan participant or
70. Only if eligible for SS disability and plan participant before:
71. Only if not eligible for SS disability and plan participant before:
72. Only if eligible for SS disability and ROT formula
73. Only if eligible for SS disability and voluntary contributions
74. Only if eligible for SS disability and employment related disability
75. Employment related disabilities and plan participant before:
76. Employment related disabilities and plan participant after:
77. Non-employment related disabilities and plan participant before:
78. Non-employment related disabilities and plan participant from/to:
79. Employment related disabilities and plan participant from/to:
96. ROT requirement expressed in standard notation formula-- see V6690/V6691/V6692.
97. z - OTHER
99. NA
00. Inap, 5301; 6618; 6619.

Var #

6621 (10) G10. ON/BEFORE/AFTER/FROM: MONTH (01-12; 99)
 6626 (11)
 6631 (12) 00. Inap, 5301; 6618; 6619

6622 (10) G10. ON/BEFORE/AFTER/FROM: YEAR (01-95; 99)
 6627 (11)
 6632 (12) 00. Inap, 5301; 6618; 6619

6623 (10) G10. TO: MONTH (01-12; 99)
 6628 (11)
 6633 (12) 00. Inap, 5301; 6618; 6619

6624 (10) G10. TO: YEAR (01-95; 99)
 6629 (11)
 6634 (12) 00. Inap, 5301; 6618; 6619

6635 G11. Aside from lump-sum withdrawal options, are the disability retirement benefits and supplements paid on a regular basis for life, on a regular basis for some other specified time period, a one-time payment, or what?

0 in
6636-6651

- 1. USE ONE OR MORE NEW PAYMENT LENGTHS
- 2. USE PRIOR DEFINITIONS
- 9. NA
- 0. Inap 5301

6636 G11. SUMMARY: # of LNG's

0 in
6642-6651

0 in
6647-6651

- 1. One
- 2. Two
- 3. Three
- 0. Inap, 5301; 6635

LNG10 = 6637-6641
 LNG11 = 6642-6646
 LNG12 = 6647-6651

Var #

6637 (10)
6642 (11)
6647 (12)

G11. New Payment lengths (LNG's)

- 01. a - FOR LIFE
- 02. b - FOR # YRS:
- 03. c - UNTIL AGE:
- 04. d - AFTER AGE:
- 05. e - FROM AGE:
TO AGE:
- 06. f - UNTIL ELIGIBLE FOR SOCIAL SECURITY RETIREMENT
BENEFITS
- 07. g - AFTER ELIGIBLE FOR SOCIAL SECURITY RETIREMENT
BENEFITS
- 08. h - UNTIL ELIGIBLE FOR EARLY RETIREMENT BENEFITS
- 09. i - UNTIL ELIGIBLE FOR NORMAL RETIREMENT BENEFITS
- 10. j - UNTIL ELIGIBLE FOR SOCIAL SECURITY DISABILITY
BENEFITS
- 11. k - AFTER ELIGIBLE FOR SOCIAL SECURITY DISABILITY
BENEFITS
- 12. l - ONE TIME PAYMENT
- 18. Until participant would have been eligible for NR
- 19. After participant would have been eligible for NR
- 20. For life after first # YRS:
- 21. Until earlier of AGE:
or duration of #YRS:
- 22. After earlier of AGE:
or duration of #YRS:
- 23. Until earlier of AGE:
or eligible for Social Security Disability
- 30. Until child reaches AGE 18 (or 21 if student)

- 50. Until earlier of AGE 65 or #YRS=.5*ASY1
- 51. Until earlier of AGE 65 or #YRS=.25*ASY10

- 97. OTHER
- 99. NA
- 00. Inap, 5301; 6635; 6636.

Var #

6638 (10)	<u>G11. # YEARS (01-50; 99)</u>
6643 (11)	
6648 (12)	00. Inap, 5301; 6635; 6636
6639 (10)	<u>G11. UNTIL/AFTER/FROM AGE: (18-85; 99)</u>
6644 (11)	
6649 (12)	00. Inap, 5301; 6635; 6636
6640 (10)	<u>G11. TO AGE: (18-85; 99)</u>
6645 (11)	
6650 (12)	00. Inap, 5301; 6635; 6636
6641 (10)	<u>G11. UNREDUCED... (f and g only)</u>
6646 (11)	
6651 (12)	1. UNREDUCED
	2. REDUCED
	3. NOT CITED
	9. NA
	0. Inap, 5301; 6635; 6636
6690	ROT10 formula
6691	ROT11 formula
6692	ROT12 formula

Var #

6701

G12. SUMMARY: # of BEG's

0 in
6707-6716

1. One

0 in
6712-6716

2. Two

3. Three

0. Inap, 5301

BEG10 = V6702-6706
BEG11 = V6707-6711
BEG12 = V6712-6716

6702 (10)
6707 (11)
6712 (12)

G12. Do disability benefit payments begin at the time of the participants disablement, or do the payments begin at some later date (BEG)?

- 01. a - PAYMENTS ALWAYS BEGIN AT TIME OF PARTICIPANTS DISABLEMENT
- 02. b - PAYMENTS BEGIN ANYTIME PARTICIPANTS CHOOSE AFTER DISABLEMENT
- 03. c - PAYMENTS BEGIN WHEN PARTICIPANTS REACH AGE:
- 04. d - PAYMENTS BEGIN ANYTIME PARTICIPANTS CHOOSE AFTER AGE
- 05. e - PAYMENTS BEGIN ANYTIME PARTICIPANTS CHOOSE BETWEEN DISABLEMENT AND AGE:
- 06. f - PAYMENTS BEGIN ANYTIME PARTICIPANTS CHOOSE FROM AGE: TO AGE:
- 07. g - PAYMENT BEGINS WHEN PARTICIPANTS AGE+ASY REACH:
- 08. h - PAYMENTS BEGIN WHEN PARTICIPANT WOULD HAVE QUALIFIED FOR NORMAL RETIREMENT
- 09. i - PAYMENTS BEGIN WHEN PARTICIPANT WOULD HAVE QUALIFIED FOR EARLY RETIREMENT
- 19. After 10 YRS
- 20. After 2 YRS
- 21. After 5 YRS
- 22. After earlier of 5 YRS or AGE:
- 23. After participant qualifies for Social Security Disability
- 24. After earlier of age: or when qualified for Social Security Disability
- 51. After earlier of AGE 65 or # YRS=.25*ASY10
- 97. z - OTHER

Var #

6703 (10)
6708 (11)
6713 (12)

G12. DEFINE ASY

Code ASY # (01-18)

See ASY MC for
combination codes.

99. NA

00. Inap, 5301

6704 (10)
6709 (11)
6714 (12)

G12. REACH/AFTER/AND/FROM AGE: (18-85; 99)

00. Inap, 5301

6705 (10)
6710 (11)
6715 (12)

G12. TO AGE: (18-85; 99)

00. Inap, 5301

6706 (10)
6711 (11)
6716 (12)

G12. AGE + ASY (001-150; 999)

000. Inap, 5301

6725

G13. Please express how the disability retirement benefit and supplement formulas are combined to determine the total disability retirement benefit amount, including any limitations on the minimum or maximum total disability retirement benefit.

DRT#1

Code 120-digit formula

00. Inap, 5301

6725

DRT #1 formula

6726

DR#1 formula

6727

DR#2 formula

6728

DR#3 formula

6729

DR#4 formula

6730

DR#5 formula

Var #

6731

H1. Does this pension plan include a provision for the payment of any benefits to the surviving beneficiaries or estates whose death occurs before retirement?

0 in
6732-6742
and scr
68 - 79

- 1. YES
- 2. YES, BUT NO (INSUFFICIENT) DETAILS GIVEN IN PLAN
- 5. NO
- 9. NA

Var #

6732

H1A. Does this pension plan include a provision that increases the vesting percentage for participants that were not fully vested at the time of death?

- 01. a - INCREASED TO 100% AT TIME OF DEATH
- 02. b - INCREASED TO 100% WHEN ABOVE MINIMUM AGE:
- 03. c - INCREASED TO 100% WHEN ABOVE MINIMUM ASY:
- 04. d - INCREASED TO 100% WHEN ABOVE MINIMUM AGE+ASY:
- 05. e - INCREASED TO 100% WHEN ABOVE MINIMUM AGE:
OR ABOVE MIN. ASY:
- 06. f - INCREASED TO 100% WHEN ABOVE MINIMUM AGE:
AND ABOVE MIN ASY:
- 07. g - VESTING PERCENTAGE IS NOT INCREASED ABOVE AMOUNT
CALCULATED AT TIME OF DEATH
- 97. z - OTHER
- 99. NA
- 00. Inap, 6731

6733

H1A. DEFINE ASY

Code ASY# (01-18)

See ASY MC for
combination codes.

99. NA

00. NOT USED; Inap, 6731

6734

H1A. AGE (18-85; 99)

00. Inap, 6731

6735

H1A. ASY (01-50; 99)

00. Inap, 6731

6736

H1A. AGE + ASY (001-150; 999)

000. Inap, 6731

6737

H1B. After the participant's death, does service credit continue to accrue until the participant would have been eligible for early or normal retirement benefits, or are no additional service years credited after the time of death?

- 01. a - ACCRUE ONE ASY FOR EACH YEAR AFTER DEATH, NO MAXIMUM
- 02. b - ACCRUE ONE ASY FOR EACH YEAR, TO MAXIMUM AGE:
- 03. c - ACCRUE ONE ASY FOR EACH YEAR, TO MAXIMUM TOTAL ASY:
- 04. d - ACCRUE ONE ASY FOR EACH YEAR, TO MAXIMUM ADDITIONAL ASY:
- 05. e - ACCRUE ONE ASY FOR EACH YEAR, TO MAXIMUM TOTAL AGE+ASY:
- 06. f - USE GREATER OF AGE AT DEATH OR AGE:
- 07. g - USE GREATER OF ASY AT DEATH OR ASY:
- 08. h - USE GREATER OF AGE+ASY AT DEATH OR AGE+ASY:
- 09. i - NO ADDITIONAL SERVICE YEARS CREDITED
- 97. z - OTHER
- 99. NA
- 00. Inap, 6731

6738

H1B. DEFINE ASY

Code ASY # (01-18)

See ASY MC for combination codes.

99. NA

00. NOT USED; Inap, 6731

6739

H1B. AGE (18-85; 99)

00. Inap, 6731

6740

H1B. ASY (01-50; 99)

00. Inap, 6731

6741

H1B. AGE + ASY (001-150; 999)

000. Inap, 6731

6742

H1C. Enter below the description of the survivor payment option selected for coding in this section. Assume the beneficiary is the spouse and is the same age as the participant.

- 01. 50% Joint and survivors (J&S)
- 02. 2/3 J&S
- 03. 100% J&S
- 04. 30%
- 05. 45%
- 06. 60%
- 07. 75%
- 08. 55%

Make card

- 97. Other
- 99. NA
- 00. Inap, 6731

6801

H2. Do any of the death and survivor benefit and supplement formulas or eligibility requirements depend on the number of actual years of credit service the employee had accumulated at the time of death?

0 in 6802-6856

- 1. YES, USE ONE OR MORE NEW DEFINITIONS
- 2. YES, USE PRIOR DEFINITIONS
- 5. NO
- 9. NA
- 0. Inap, 6731

6802

H2. SUMMARY: # of ASY's

0 in 6821-6856

0 in 6839-6856

- 1. One
- 2. Two
- 3. Three
- 0. Inap, 6731, 6801

ASY13 = V6803-6820
 ASY14 = V6821-6838
 ASY15 = V6839-6856

Var #

6803 (13)
6821 (14)
6839 (15)

H2A. How is the number of actual years of credited service (ASY) determined for use in the death and survivor benefit formulas or for eligibility requirements?

1. a - ALL YEARS OF EMPLOYMENT ARE CREDITED
2. b - ONLY COUNT YEARS AFTER AGE:
3. c - ONLY COUNT YEARS AFTER FIRST # YEARS:
4. d - ONLY COUNT YEARS AFTER AGE:
OR AFTER FIRST # YEARS:
5. e - ONLY COUNT YEARS AFTER AGE:
AND AFTER FIRST # YEARS:
6. f - ONLY COUNT YEARS DURING FINAL # YRS:
7. z - OTHER
9. NA
0. Inap, 6731; 6801; 6802

6804 (13)
6822 (14)
6840 (15)

H2A. AGE (18-85; 99)

00. Inap, 6731; 6801; 6802

6805 (13)
6823 (14)
6841 (15)

H2A. # YEARS (01-50; 99)

00. Inap, 6731; 6801; 6802

Var #

6806 (13)
6824 (14)
6842 (15)

H2B. Are the above definitions of ASY based only on specific calendar time periods, or on all years covered by this pension plan?

- 1. a - COVERS ALL YEARS: NO SPECIFIC DATES CITED
- 2. b - BEFORE:
- 3. c - AFTER:
- 4. d - FROM/TO:
- 7. z - OTHER
- 9. NA
- 0. Inap, 6731; 6801; 6802

6807 (13)
6825 (14)
6843 (15)

H2B. BEFORE/AFTER/FROM: MONTH (01-12; 99)

- 00. Inap, 6731; 6801; 6802

6808 (13)
6826 (14)
6844 (15)

H2B. BEFORE/AFTER/FROM: YEAR (01-95; 99)

- 00. Inap, 6731; 6801; 6802

6809 (13)
6827 (14)
6845 (15)

H2B. TO: MONTH (01-12; 99)

- 00. Inap, 6731; 6801; 6802

6810 (13)
6828 (14)
6846 (15)

H2B. TO: YEAR (01-95; 99)

- 00. Inap, 6731; 6801; 6802

Var f

6811 (13)
6829 (14)
6847 (15)

H2C. Is there a maximum number of actual years of credited service that can be used for death and survivor benefits or a maximum age after which service years can no longer be credited toward death and survivor benefits?

1. a - YES, MAXIMUM AGE:
2. b - YES, MAXIMUM ASY:
3. c - YES, MAXIMUM AGE PLUS ASY:
4. d - YES, WHEN OVER MAXIMUM AGE:
OR OVER MAXIMUM ASY:
5. e - YES, WHEN OVER MAXIMUM AGE:
AND OVER MAXIMUM ASY:
6. f - NO
7. z - OTHER
9. NA
0. Inap, 6731; 6801; 6802

6812 (13)
6830 (14)
6848 (15)

H2C. AGE (18-85; 99)

00. Inap, 6731; 6801; 6802

6813 (13)
6831 (14)
6849 (15)

H2C. ASY (01-50; 99)

00. Inap, 6731; 6801; 6802

6814 (13)
6832 (14)
6850 (15)

H2C. AGE + ASY (001-150; 999)

000. Inap, 6731; 6801; 6802

Var #

6815 (13)
6833 (14)
6851 (15)

H2D. Are participants credited one service year for each year they meet minimum employment requirements, or does the amount of credited service depend on the actual number of hours worked each year?

- 01. a - CREDITED ONE ASY FOR EACH YEAR EMPLOYED; NO MINIMUM WORK REQUIREMENTS
- 02. b - CREDITED WITH EITHER ZERO OR ONE ASY; ONE ASY IS CREDITED FOR EACH YEAR WORKED A MINIMUM OF:
HOURS PER YEAR
- 03. c - # WEEKS PER YEAR, AND
HOURS PER WEEK
- 04. d - # MONTHS PER YEAR, AND
HOURS PER MONTH
- 05. e - CREDITED WITH A PRORATED FRACTION FROM ZERO TO ONE ASY; ONE FULL ASY IS CREDITED FOR EACH YEAR WORKED A MINIMUM OF:
HOURS PER YEAR
- 06. f - # WEEKS PER YEAR, AND
HOURS PER WEEK
- 07. g - # MONTHS PER YEAR, AND
HOURS PER MONTH
- 08. h - CREDITED EACH YEAR WITH A PRORATED FRACTION FROM ZERO TO MORE THAN ONE ASY; ONE FULL ASY IS CREDITED FOR EVERY:
HOURS PER YEAR
- 09. i - # WEEKS PER YEAR, AND
HOURS PER WEEK
- 10. j - # MONTHS PER YEAR, AND
HOURS PER MONTH
- 97. z - OTHER
- 99. NA
- 00. Inap, 6731; 6801; 6802

Var #

6816 (13)
6834 (14)
6852 (15)

H2D. # HOURS PER YEAR (0001-2080; 9999)

0000. Inap, 6731; 6801; 6802

6817 (13)
6835 (14)
6853 (15)

H2D. # WEEKS PER YEAR (01-52; 99)

00. Inap, 6731; 6801; 6802

6818 (13)
6836 (14)
6854 (15)

H2D. # HOURS PER WEEK (01-48; 99)

00. Inap, 6731; 6801; 6802

6819 (13)
6837 (14)
6855 (15)

H2D. # MONTHS PER YEAR (01-12; 99)

00. Inap, 6731; 6801; 6802

6820 (13)
6838 (14)
6856 (15)

H2D. # HOURS PER MONTH (001-248; 999)

000. Inap 6731; 7801; 6802

Var #

6901

H3. Do any of the death and survivor benefit or supplement formulas depend on the number of potential years of credited service?

0 in
6902-6935

- 1. YES, USE ONE OR MORE NEW DEFINITIONS
- 2. YES, USE PRIOR DEFINITIONS
- 5. NO
- 9. NA
- 0. Inap, 6731

6902

H3. SUMMARY: # of PSY's

0 in
6914-6935

1. One

0 in
6925-6935

2. Two

3. Three

0. Inap, 6731; 6901

PSY13 = V6903-6913
PSY14 = V6914-6924
PSY15 = V6925-6935

Var #

6903 (13) H3A. How is the number of potential years of credited service
6914 (14) (PSY) determined for use in the early retirement formulas
6925 (15)

1. a - ASSUMES PARTICIPANT WORKS UNTIL AGE:
COUNT ALL YEARS SINCE FIRST EMPLOYED
2. b - ASSUMES PARTICIPANT WORKS UNTIL AGE:
COUNT ONLY YEARS EMPLOYED AFTER AGE:
3. c - ASSUMES PARTICIPANT WORKS UNTIL AGE:
COUNT ONLY YEARS AFTER FIRST # YRS:
4. d - ASSUMES PARTICIPANT WORKS UNTIL AGE:
COUNT ONLY YEARS EMPLOYED AFTER AGE:
OR ONLY YEARS AFTER FIRST # YRS
5. e - ASSUMES PARTICIPANT WORKS UNTIL AGE:
COUNT ONLY YEARS EMPLOYED AFTER AGE:
AND ONLY YEARS AFTER FIRST # YRS:
7. z - OTHER
9. NA
0. Inap, 6731; 6901; 6902

6904 (13) H3A. UNTIL AGE: (18-85; 99)

6915 (14)
6926 (15) 00. Inap, 6731; 6901; 6902

6905 (13) H3A. AFTER AGE: (18-85; 99)

6916 (14)
6927 (15) 00. Inap, 6731; 6901; 6902

6906 (13) H3A. # YEARS: (01-50; 99)

6917 (14)
6928 (15) 00. Inap, 6731; 6901; 6902

Var #

6907 (13)
6918 (14)
6929 (15)

H3B. Are the above definitions of PSY based only on specific calendar time periods, or on all years covered by this pension plan?

1. a - COVERS ALL YEARS: NO SPECIFIC DATES CITED
2. b - BEFORE:
3. c - AFTER:
4. d - FROM/TO:
7. z - OTHER
9. NA
0. Inap, 6731; 6901; 6902

6908 (13)
6919 (14)
6930 (15)

H3B. BEFORE/AFTER/FROM: MONTH (01-12; 99)

00. Inap, 6731; 6901; 6902

6909 (13)
6920 (14)
6931 (15)

H3B. BEFORE/AFTER/FROM: YEAR (01-95; 99)

00. Inap, 6731; 6901; 6902

6910 (13)
6921 (14)
6932 (15)

H3B. TO: MONTH (01-12; 99)

00. Inap, 6731; 6901; 6902

6911 (13)
6922 (14)
6933 (15)

H3B. TO: YEAR (01-95, 99)

00. Inap, 6731; 6901; 6902

6912 (13)
6923 (14)
6934 (15)

H3C. Is there a maximum number of potential credited service years that can be used for early retirement benefits?

- 1. a - YES, MAXIMUM PSY:
- 2. b - NO
- 7. z - OTHER
- 9. NA
- 0. Inap, 6731; 6901; 6902

6913 (13)
6924 (14)
6935 (15)

H3C. MAXIMUM PSY: (01-50; 99)

- 00. Inap, 6731; 6901; 6902

7001

H4. Do any of the death and survivor benefit or supplement formulas depend on the level of the employees wages or salary?

0 in
7002-7053

- 1. YES, USE ONE OR MORE NEW DEFINITIONS
- 2. YES, USE PRIOR DEFINITIONS
- 5. NO
- 9. NA
- 0. Inap, 6731

7002

H4. SUMMARY: # of FAP's

0 in
7020-7053

- 1. One

0 in
7037-7053

- 2. Two
- 3. Three
- 0. Inap, 6731; 7001

FAP13 = V7003-7019
FAP14 = V7020-7036
FAP15 = V7037-7053

Var #

7003 (13) H4A. How is Final Average Pay (FAP) defined for use in the death
7020 (14) and survivor benefit formulas?
7037 (15)

- 01. a - FINAL SALARY OR WAGE RATE
- 02. b - TOTAL OF ALL MO/YRS
- 03. c - AVERAGE OF ALL MO/YRS
- 04. d - AVERAGE DURING FINAL # MO/YRS:
- 05. e - HIGHEST MO/YR DURING FINAL # MO/YRS:
- 06. f - AVERAGE OF THE HIGHEST # MO/YRS:
DURING FINAL # MO/YRS:
- 07. g - AVERAGE HIGHEST CONSECUTIVE # MO/YRS
DURING FINAL # MO/YRS:
- 08. h - NO SPECIFIED PERIOD, HIGHEST MO/YR
- 09. i - NO SPECIFIED PERIOD,
AVERAGE OF THE HIGHEST # MO/YRS:
- 10. j - NO SPECIFIED PERIOD.
AVERAGE HIGHEST CONSECUTIVE # MO/YRS
- 97. z - OTHER
- 99. NA
- 00. Inap, 6731; 7001; 7002

7004 (13) H4A. CHECK: MO or YR
7021 (14)
7038 (15)

- 1. MO
- 2. YR
- 9. NA
- 0. Inap, 6731; 7001; 7002

7005 (13) H4A. FIRST # MO/YRS (001-240; 999)
7022 (14)
7039 (15)

- 000. Inap, 6731; 7001; 7002

7006 (13) H4A. SECOND # MO/YRS (f and g only) (001-240; 999)
7023 (14)
7040 (15)

- 000. Inap, 6731; 7001; 7002

Var #

- 7007 (13) H4B. When used in the death and survivor benefit formulas, is
7024 (14) FAP expressed in terms of monthly or annual amounts?
7041 (15)
-
1. a - MONTHLY AMOUNT
2. b - YEARLY AMOUNT
3. c - DOES NOT APPLY -- FAP DEFINED AS TOTAL OF ALL MO/YRS
7. z - OTHER
9. NA
0. Inap, 6731; 7001; 7002
- 7008 (13) H4C. Are the above definitions of FAP based on wages and salaries
7025 (14) earned during specific calendar time periods, or during
7042 (15) all years covered by this pension plan?
-
1. a - COVERS ALL YEARS: NO SPECIFIC DATES CITED
2. b - BEFORE:
3. c - AFTER:
4. d - FROM/TO:
7. z - OTHER
9. NA
0. Inap, 6731; 7001; 7002
- 7009 (13) H4C. BEFORE/AFTER/FROM: MONTH (01-12; 99)
7026 (14)
7043 (15)
-
00. Inap, 6731; 7001; 7002
- 7010 (13) H4C. BEFORE/AFTER/FROM: YEAR (01-95; 99)
7027 (14)
7044 (15)
-
00. Inap, 6731; 7001; 7002
- 7011 (13) H4C. TO: MONTH (01-12; 99)
7028 (14)
7045 (15)
-
00. Inap, 6731; 7001; 7002
- 7012 (13) H4C. TO: YEAR (01-95; 99)
7029 (14)
7046 (15)
-
00. Inap, 6731, 7001; 7002

Var #

- 7013 (13) H4D. Is there a maximum age or service years after which any
7030 (14) wages or salaries cannot be used in calculating FAP?
7047 (15)
1. a - YES, MAXIMUM AGE:
 2. b - YES, MAXIMUM ASY:
 3. c - YES, MAXIMUM AGE PLUS ASY:
 4. d - YES, WHEN OVER MAXIMUM AGE:
OR OVER MAXIMUM ASY:
 5. e - YES, WHEN OVER MAXIMUM AGE:
AND OVER MAXIMUM ASY:
 6. f - NO
 7. z - OTHER
 8. YES, FROM AGE:
TO AGE:
 9. NA
 0. Inap, 6731; 7001; 7002

- 7014 (13) H4D. DEFINE ASY
7031 (14)
7048 (15)
- Code ASY # 01-18
00. NOT USED:
Inap, 6731; 7001; 7002

See ASY MC for combi- nation codes.

- 7015 (13) H4D. AGE (18-85; 99) (From Age)
7032 (14)
7049 (15)
00. Inap, 6731; 7001; 7002

- 7016 (13) H4D. ASY/AGE (To Age)
7033 (14)
7050 (15)
00. Inap, 6731; 7001; 7002

- 7017 (13) H4D. AGE + ASY (001-150; 999)
7034 (14)
7051 (15)
000. Inap, 6731; 7001; 7002

Var #

7018 (13)
7035 (14)
7052 (15)

H4E. Is there a maximum on the amount of monthly/annual wages and salaries that can be used to calculate FAP?

- 1. a - YES, MAXIMUM OF:
- 2. b - NO
- 7. z - OTHER
- 9. NA
- 0. Inap, 6731; 7001; 7002

7019 (13)
7036 (14)
7053 (15)

H4E. MAXIMUM OF: (000 001-999 995; 999 999)

000 000. Inap, 6731; 7001; 7002

7101

H5. Do any of the death and survivor benefit and supplement formulas depend on the amount of Social Security benefits received by the beneficiary due to the employee's contribution?

0 in
7102-7159

- 1. YES, USE ONE OR MORE NEW DEFINITIONS
- 2. YES, USE PRIOR DEFINITIONS
- 5. NO
- 9. NA
- 0. Inap, 6731

7102

H5. SUMMARY # of SS's

0 in
7122-7159

1. One

0 in
7141-7159

2. Two

3. Three

0. Inap, 6731; 7101

SS13 = V7103-7121
SS14 = V7122-7140
SS15 = V7141-7159

Var #

7103 (13)
7122 (14)
7141 (15)

H5A. How is the Social Security term (SS) defined for use in the death and survivor formulas?

1. a - SOCIAL SECURITY BENEFIT AMOUNT
2. b - SOCIAL SECURITY TAXABLE WAGE BASE
3. c - SOCIAL SECURITY SURVIVOR BENEFIT AMOUNT
7. z - OTHER
9. NA
0. Inap, 6731; 7101; 7102

7104 (13)
7123 (14)
7142 (15)

If a: PRIMARY...

1. PRIMARY
2. JOINT
3. NOT CITED
9. NA
0. Inap, 6731; 7101; 7102

7105 (13)
7124 (14)
7143 (15)

If a: UNREDUCED...

1. UNREDUCED
2. REDUCED
3. NOT CITED
9. NA
0. Inap, 6731; 7101; 7102

Var #

7106 (13)
7125 (14)
7144 (15)

If b: AT DEATH

1. AT DEATH
2. CAREER TOTAL
3. CAREER AVERAGE
9. NA
0. Inap, 6731; 7101; 7102

7107 (13)
7126 (14)
7145 (15)

If b: ACTUAL...

1. ACTUAL
2. ESTIMATED AT DEATH
3. ESTIMATED IN YEAR: 19__
9. NA
0. Inap, 6731; 7101; 7102

7108 (13)
7127 (14)
7146 (15)

If b: YEAR: 19 (01-95; 99)

00. Inap, 6731; 7101; 7102

Var #

7109 (13) H5B. When used in the death and survivor benefit formulas, is
7126 (14) SS expressed in terms of monthly or annual amounts?
7147 (15)

1. a - MONTHLY AMOUNT
2. b - YEARLY AMOUNT
3. c - DOES NOT APPLY -- SS DEFINED AS CAREER TOTAL SWB.
7. z - OTHER
9. NA
0. Inap, 6731; 7101; 7102

7110 (13) H5C. Are the above definitions of SS based only on specific
7129 (14) calendar time periods, or on all years covered by this
7148 (15) pension plan

1. a - COVERS ALL YEARS: NO SPECIFIC DATES CITED.
2. b - BEFORE:
3. c - AFTER
4. d - FROM/TO:
7. z - OTHER
9. NA
0. Inap, 6731; 7101; 7102

7111 (13) H5C. BEFORE/AFTER/FROM: MONTH (01-12; 99)
7130 (14)
7149 (15)

00. Inap, 6731; 7101; 7102

7112 (13) H5C. BEFORE/AFTER/FROM: YEAR (01-95; 99)
7131 (14)
7150 (15)

00. Inap, 6731; 7101; 7102

7113 (13) H5C. TO: MONTH: (01-12; 99)
7132 (14)
7151 (15)

00. Inap, 6731; 7101; 7102

7114 (13) H5C. TO: YEAR: (01-95; 99)
7133 (14)
7152 (15)

00. Inap, 6731; 7101; 7102

Var #

7115 (13)
7134 (14)
7153 (15)

H5D. Is there a maximum limitation on the amount of Social Security that can be used to determine death and survivor benefits or a maximum on service years or age after which the Social Security amount no longer accrues?

- 01. a - YES, MAXIMUM PERCENTAGE OF SS:
- 02. b - YES, MAXIMUM DOLLAR AMOUNT:
- 03. c - YES, MAXIMUM AGE:
- 04. d - YES, MAXIMUM ASY:
- 05. e - YES, MAXIMUM AGE PLUS ASY:
- 06. f - YES, WHEN OVER MAXIMUM AGE:
OR OVER MAXIMUM ASY:
- 07. g - YES, WHEN OVER MAXIMUM AGE:
AND OVER MAXIMUM ASY:
- 08. h - NO
- 97. z - OTHER
- 99. NA
- 00. Inap, 6731; 7101; 7102

7116 (13)
7135 (14)
7154 (15)

H5D. DEFINE ASY:

Code ASY # (01-18)

See ASY MC for
combination codes.

99. NA

00. NOT USED: Inap, 6731; 7101; 7102

Var #

7117 (13) H5D. % PERCENT to 2 decimals (0001-9500,9999)
7136 (14) _____
7155 (15) 0000. Inap, 6731; 7101; 7102

7118 (13) H5D. \$ DOLLARS (000 001-999 995; 999)
7137 (14) _____
7156 (15) 000 000. Inap, 6731; 7101; 7102

7119 (13) H5D. AGE (18-85; 99)
7138 (14) _____
7157 (15) 00. Inap 6731; 7101; 7102

7120 (13) H5D. ASY (01-50; 99)
7139 (14) _____
7158 (15) 00. Inap, 6731; 7101; 7102

7121 (13) H5D. AGE + ASY (001-150; 999)
7140 (14) _____
7159 (15) 000. Inap, 6731; 7101; 7102

Var #

Code RED13 on screen 72, RED14 on screen 73, RED15 on screen 74

7201

H6. Do any of the death and survivor benefit or supplement formulas include a reduction factor to adjust benefits?

0 in
7202-7223
and scr
73-74

- 1. YES, USE ONE OR MORE NEW DEFINITIONS
 - 2. YES, USE PFIOR DEFINITIONS
 - 5. NO
 - 9. NA
0. Inap, 6731

NOTE: Category 2 was omitted from Q'naire in error. If "YES" and section H not filled in, code 2 here.

7202

H6. SUMMARY: # of RED's

0 in
7303-7423

1. One

0 in
7403-7423

2. Two

3. Three

0. Inap, 6731; 7201

RED13 = V7203-7223
RED14 = V7303-7323
RED15 = V7403-7423

Var #

7203

H6A. How is the reduction factor (RED) defined for use in the death and survivor benefit formulas?

0 in
7205-7223

1. a - ACTUARIALLY EQUIVALENT TO SURVIVOR BENEFITS RECEIVED AS IF PARTICIPANT HAD RETIRED AT DEATH

0 in
7209-7223

2. b - FIXED DOLLAR OR PERCENT REDUCTION, MULTIPLIED BY THE NUMBER OF YEARS THE PARTICIPANT DIES BEFORE REACHING A CERTAIN AGE, ASY OR SUM OF ASG + ASY.

0 in
7205-7208

3. c - VARYING DOLLAR OR PERCENT REDUCTION DEPENDING ON AGE, ASY OR SUM AGE + ASY.

0 in
7205-7223

4. d - UNSPECIFIED REDUCTION

o. e - REDUCED _____ % PER YEAR FOR COST OF OPTION (SEE V7207, V7307, V7407).

7. z - OTHER

9. NA

0. Inap, 6731; 7201; 7202

7204

H6A. DEFINE ASY

Code ASY # (01-18)

See ASY MC for combinations.

99. NA

00. Inap, 6731; 7201; 7202; 7203

Var #

7205

If b: "S" BOX

1. \$
2. %
7. Other
9. NA
0. Inap, 6731; 7201; 7202; 7203

7206

If b: "AGE" BOX

1. AGE
2. ASY
3. AGE + ASY
7. Other
9. NA
0. Inap, 6731; 7201; 7203; 7204

7207

If b: \$ or % field to 2 decimals

000 000 01 - 999 995 00

-9. NA

000 000 00. Inap, 6731; 7201; 7202; 7203

7208

If b: AGE/ASY field (001-150; 999)

000. Inap, 6731; 7201; 7202; 7203

Var #

.7209 If c: "S" BOX
 1. \$
 2. %
 7. Other
 9. NA
 0. Inap, 6731; 7201; 7202; 7203

7210 If c: "AGE" BOX
 1. AGE
 2. ASY
 3. AGE + ASY
 7. Other
 9. NA
 0. Inap, 6731; 7201; 7202; 7203

7211 (UNDER) If c: \$ or % field to 2 decimals
7213 (FROM MIN) 000 000 01 - 999 995 00
7216 (FROM) -9. NA
7219 (FROM) 000 000 00. Inap, 6731; 7201; 7202; 7203
7222 (OVER)

7212 (UNDER) If c: AGE/ASY field (001-150; 999)
7214 (FROM MIN) 000. Inap, 6731; 7201; 7202; 7203
7215 (TO)
7217 (FROM)
7218 (TO)
7220 (FROM)
7221 (TO MAX)
7223 (OVER)

Var #

7501

H7. Express the death and survivor benefit and supplement formulas, including any other minimums or maximums on benefit amounts, using the terms defined in the above questions (ASY,PSY,FAS,SS,RED) and standard notation.

CHECK BOX: TOTAL NUMBER OF SEPARATE FORMULAS CODED:

Code # checked (01-10)

0 in
7516-7541,
7603-7628

01. One formula(s)

0 in
7529-7541,
7603-7628

02. Two "

0 in
7603-7628

03. Three "

0 in
7616-7628

04. Four "

05. Five "

99. NA

00. Inap, 6731

7502

CHECK BOX: ALL FORMULAS DETERMINE:

1. MONTHLY

2. YEARLY BENEFITS

9. NA

0. Inap, 6731; 7501

DS #1 - see variable 7926
DS #2 - see variable 7927
DS #3 - see variable 7928
DS #4 - see variable 7929
DS #5 - see variable 7930

Var #

7503 (1)
7516 (2)
7529 (3)

DS#1 = RAS (01-06, 10-15; 96, 99)

00. Inap, 6731; 7501

for V7503-7507
if 'D', code 96

7504 (1)
7517 (2)
7530 (3)

DS#1 = DAT (01-15; 96, 99)

00. Inap, 6731; 7501

7505 (1)
7518 (2)
7531 (3)

DS#1 = ROT (01-15; 96, 99)

00. Inap, 6731; 7501

7506 (1)
7519 (2)
7532 (3)

DS#1 = LNG (01-15; 96, 99)

00. Inap, 6731; 7501

7507 (1)
7520 (2)
7533 (3)

DS#1 BEG (01-15; 96, 99)

Inap, 6731; 7501

7926 (1)

DS#1 FORMULA

7927 (2)

Code 120-digit formula

7928 (3)

Inap, 6731; 7501

Var #

7603 (4)
7616 (5)

DS#4 = RAS (01-06, 10-15; 96,99)

00. Inap, 6731; 7501

7604 (4)
7617 (5)

DS#4 = DAT (01-15; 96,99)

00. Inap, 6731; 7501

7605 (4)
7618 (5)

DS#4 = ROT (01-15; 96,99)

00. Inap, 6731; 7501

7606 (4)
7619 (5)

DS#4 = LNG (01-15 96,99)

00. Inap, 6731; 7501

7607 (4)
7620 (5)

DS#4 = BEG (07-15; 96,99)

Inap, 6731; 7501

7929 (4)

DS#4 FORMULA

7930 (5)

Code 120-digit formula

Inap, 6731; 7501

Var #

7701

H8. What combinations of age and actual service years are required (RAS) at the time of the participants death for beneficiaries to be eligible to receive death and survivor benefits or supplements?

0 in
7702-7759

- 1. USE ONE OR MORE NEW DEFINITIONS
- 2. USE PRIOR DEFINITIONS
- 9. NA
- 0. Inap, 6731

7702

H8. SUMMARY: # of RAS's

0 in
7722-7759

- 1. One

0 in
7741-7759

- 2. Two
- 3. Three
- 0. Inap, 6731; 7701

RAS13 = V7703-7721 RAS14 = V7722-7740 RAS15 = V7741-7759
--

7703 (13)
7722 (14)
7741 (15)

H8. DEFINE ASY

Code ASY #01-18

99. NA

00. NOT USED; Inap, 6731; 7701; 7702

See ASY MC for combination codes.

Var #

- 7704 (13) H8a. NO MINIMUM AGE OR ASY
7723 (14) _____
7742 (15) 1. "a" checked
9. NA
0. "a" not checked; Inap, 6731;7701; 7702
- 7705 (13) H8b. MINIMUM AGE OF: _____ (18-85, 99) AND NO MINIMUM ASY
7724 (14) _____
7743 (15) 00. Inap, 6731; 7701; 7702
- 7706 (13) H8c. MINIMUM ASY OF: _____ (01-50, 99) AND NO MINIMUM AGE
7725 (14) _____
7744 (15) 00. Inap, 6731; 7701, 7702
- 7707 (13) H8d. MINIMUM AGE OF: _____ (18-85, 99)
7726 (14) _____
7745 (15) 00. Inap, 6731; 7701; 7702
- 7708 (13) H8d. AND MINIMUM ASY OF: _____ (01-50, 99)
7727 (14) _____
7746 (15) 00. Inap, 6731; 7701; 7702

Var #

7709 (13) H8e. MINIMUM AGE OF: _____ (18-85, 99)
7728 (14) _____
7747 (15) 00. Inap, 6731; 7701; 7702

7710 (13) H8e. AND MINIMUM ASY OF: _____ (01-50, 99)
7729 (14) _____
7748 (15) 00. Inap, 6731; 7701; 7702

7711 (13) H8f. MINIMUM AGE OF: _____ (18-85, 99)
7730 (14) _____
7749 (15) 00. Inap, 6731; 7701; 7702

7712 (13) H8f. AND MINIMUM ASY OF: _____ (01-50, 99)
7731 (14) _____
7750 (15) 00. Inap, 6731; 7701; 7702

7713 (13) H8g. AGE PLUS ASY OF: _____ (001-150, 999) NO MIN AGE OR ASY
7732 (14) _____
7751 (15) 000. Inap, 6731; 7701; 7702

7714 (13) H8h. AGE PLUS ASY OF: _____ (001-150, 999)
7733 (14) _____
7752 (15) 000. Inap, 6731; 7701; 7702

7715 (13) H8h. AND MINIMUM AGE OF: _____ (18-85, 99)
7734 (14) _____
7753 (15) 00. Inap, 6731; 7701; 7702

Var #

7716 (13) H8i. AGE PLUS ASY OF: _____ (001-150, 999)

7735 (14)

7754 (15)

000. Inap, 6731; 7701; 7702

7717 (13) H8i. AND MINIMUM ASY OF: _____ (01-50, 99)

7736 (14)

7755 (15)

00. Inap, 6731; 7701; 7702

7718 (13) H8j. AGE PLUS ASY OF: _____ (001-150, 999)

7737 (14)

7756 (15)

000. Inap, 6731; 7701; 7702

7719 (13) H8j. AND MINIMUM AGE OF: _____ (18-85, 99)

7738 (14)

7757 (14)

00. Inap, 6731; 7701; 7702

7720 (13) H8j. AND MINIMUM ASY OF: _____ (01-50, 99)

7739 (14)

7758 (15)

00, Inap, 6731; 7701; 7702

7721 (13) H8z. OTHER

7740 (14)

7759 (15)

1. "z" checked

9. NA

0. "z" not checked; Inap, 6731; 7701; 7702

Var #

7801

H9. Do the death and survivor benefit or supplement formulas apply only to participants that die during specific calendar time period, or to all dates(DAT) covered by this pension plan?

0 in
7802-7817

- 1. USE ONE OR MORE NEW DATES
- 2. USE PRIOR DEFINITIONS
- 9. NA
- 0. Inap, 6731

7802

H9. SUMMARY: # of DAT's

0 in
7808-7817

- 1. One

0 in
7813-7817

- 2. Two
- 3. Three
- 0. Inap, 6731; 7801

DAT13 = V7803-7807
DAT14 = V7808-7812
DAT15 = V7813-7817

Var #

7803 (13)
7808 (14)
7813 (15)

H9. NEW DATES

1. a - COVERS ALL YEARS: NO SPECIFIC DATES CITED.
2. b - BEFORE:
3. c - AFTER:
4. d - FROM/TO:
7. z - OTHER
9. NA
0. Inap, 6731; 7801; 7802

7804 (13)
7809 (14)
7814 (15)

H9. BEFORE/AFTER/FROM: MONTH (01-12; 99)

00. Inap, 6731; 7801; 7802

7805 (13)
7810 (14)
7815 (15)

H9. BEFORE/AFTER/FROM: YEAR (01-95; 99)

00. Inap, 6731; 7801; 7802

7806 (13)
7811 (14)
7816 (15)

H9. TO: MONTH (01-12; 99)

00. Inap, 6731; 7801; 7802

7807 (13)
7812 (14)
7817 (15)

H9. TO: YEAR (01-95; 99)

00. Inap, 6731; 7801; 7802

7818

H10. To receive any of the death and survivor benefits or supplements are there any other requirements (ROT), such as specific employer approval, or benefits limited to only participants that made voluntary contributions, or only for employment related deaths, or other special requirements?

0 in
7819-7834

1. USE ONE OR MORE NEW REQUIREMENTS
2. USE PRIOR DEFINITIONS
9. NA
0. Inap, 6731

Var #

7819

H10 SUMMARY: # of ROT's

0 in
7825-7834

1. One

0 in
7830-7834

2. Two

3. Three

0. Inap, 6731; 7818

ROT13 = V7820-7824
ROT14 = V7825-7829
ROT15 = V7830-7834

7820 (13)
7825 (14)
7830 (15)

H10. NEW REQUIREMENTS (ROT'S)

NOTE: If ROT formula cited below. see V7890, V7891, V7892).

- 01. a - NO OTHER SPECIAL REUQUIREMENTS
- 02. b - EMPLOYER APPROVAL REQUIRED
- 03. c - VOLUNTARY CONTRIBUTIONS REQUIRED
- 04. d - PAST SERVICE CREDIT REQUIRED
- 05. e - IF PLAN PARTICIPANT ON:
- 06. f - IF PLAN PARTICIPANT BEFORE:
- 07. g - IF PLAN PARTICIPANT AFTER:
- 08. h - IF PLAN PARTICIPANT FROM/TO:
- 09. i - ONLY FOR EMPLOYMENT RELATED DEATHS
- 10. j - ONLY FOR NON-EMPLOYMENT RELATED DEATHS
- 11. k - ONLY IF BENEFICIARY ELIGIBLE FOR SS BENEFITS
- 12. l - ONLY IF BENEFICIARY NOT ELIGIBLE FOR SS BENEFITS

- 20. Veterans only
- 21. Non-veterans only
- 22. Only non-employment related disabilities for veterans
- 23. Only non-employment related disabilities for non-veterans
- 24. Participants have children under age 21 at time of termination.

- 30. Must qualify for company's long-term disability program
- 31. Must not qualify for company's long-term disability program
- 32. Must qualify for company's disability program or be eligible for SS disability
- 33. Must qualify for company's disability program and be eligible for SS disability
- 34. Not currently eligible for any type of SS benefit
- 35. Not eligible for company's disability program, but is eligible for SS disability
- 36. Must qualify for SS disability

- 38. Must qualify for company's disability program and plan participant before:
- 39. Must qualify for company's disability program and ROT formula

- 40. Fire, police officer; hazardous duty employee
- 41. ROT formula and if hazardous duty employee
- 42. Employment related disability for fire, police officers
- 43. Non-employment related disability for fire, police officers

Var #

7820 (13)
7825 (14)
7830 (15)
(continued)

- 45. Employer approval and ROT formula
- 46. Employer approval and voluntary contributions
- 47. Employer approval and plan participant before:
- 60. ROT formula and plan participant on:
- 61. ROT formula and plan participant before:
- 62. ROT formula and plan participant after:
- 63. ROT formula and plan participant from/to:
- 64. Voluntary contribution required and ROT formula
- 65. Voluntary contributions required and plan participant from/to:
- 66. No withdrawal of voluntary contributions and plan participant before:
- 67. Withdrawal of voluntary contributions or plan participant after:
- 69. Only if eligible for SS disability and plan participant on:
- 70. Only if eligible for SS disability and plan participant before:
- 71. Only if not eligible for SS disability and plan participant before:
- 72. Only if eligible for SS disability and ROT formula
- 73. Only if eligible for SS disability and voluntary contributions
- 74. Only if eligible for SS disability and employment related disability
- 75. Employment related disabilities and plan participant before:
- 76. Employment related disabilities and plan participant after:
- 77. Non-employment related disabilities and plan participant before:
- 78. Non-employment related disabilities and plan participant from/to:
- 79. Employment related disabilities and plan participant from/to:
- 96. ROT requirement expressed in standard notation formula-- see V7890/V7891/V7892.
- 97. z - OTHER
- 99. NA
- 00. Inap, 6731; 7818; 7819.

Var #

7821 (13)
7826 (14)
7831 (15)

H10. ON/BEFORE/AFTER/FROM: MONTH (01-12; 99)

00. Inap, 6731; 7818; 7819

7822 (13)
7827 (14)
7832 (15)

H10. ON/BEFORE/AFTER/FROM: YEAR (01-95; 99)

00. Inap, 6731; 7818; 7819

7823 (13)
7828 (14)
7833 (15)

H10. TO: MONTH (01-12; 99)

00. Inap, 6731; 7818; 7819

7824 (13)
7829 (14)
7834 (15)

H10. TO: YEAR (01-95; 99)

00. Inap, 6731; 7818; 7819

7835

H11. Aside from lump-sum withdrawal options, are the death and survivor benefits and supplements paid to the beneficiary on a regular basis for life, on a regular basis for some other specified time period, and one-time payment, or how long (LNG)?

0 in
7836-7851

- 1. USE ONE OR MORE NEW PAYMENT LENGTHS
- 2. USE PRIOR DEFINITIONS
- 9. NA
- 0. Inap, 6731

7836

H11. SUMMARY: # of LNG's

0 in
7842-7851

1. One

LNG13 = V7837-7841
LNG14 = V7842-7846
LNG15 = V7847-7851

0 in
7847-7851

2. Two

3. Three

0. Inap, 6731; 7835

7837 (13)
7842 (14)
7847 (15)

H11. NEW PAYMENT LENGTHS

- 01. a - FOR LIFE
- 02. b - FOR # YRS:
- 03. c - UNTIL AGE:
- 04. d - AFTER AGE:
- 05. e - FROM AGE:
TO AGE:
- 06. f - UNTIL BENEFICIARY ELIGIBLE FOR SOCIAL SECURITY BENEFITS
- 07. g - AFTER BENEFICIARY ELIGIBLE FOR SOCIAL SECURITY BENEFITS
- 08. h - ONE TIME PAYMENT
- 09. i - FOR LIFE, UNLESS SPOUSE REMARRIES

- 18. Until participant would have been eligible for NR
- 19. After participant would have been eligible for NR
- 20. For life after first # YRS:
- 21. Until earlier of AGE:
or duration of #YRS:
- 22. After earlier of AGE:
or duration of #YRS:
- 23. Until earlier of AGE:
or eligible for Social Security Disability
- 30. Until child reaches AGE 18 (or 21 if student)

- 50. Until earlier of AGE 65 or #YRS=.5*ASY1
- 51. Until earlier of AGE 65 or #YRS=.25*ASY10

- 97. z - OTHER
- 99. NA
- 00. Inap, 6731; 7835; 7836.

7838 (13)
7843 (14)
7848 (15)

H11. # YEARS (01-50; 99)

- 00. Inap, 6725; 7835; 7836

7839 (13)
7844 (14)
7849 (15)

H11. UNTIL/AFTER/FROM AGE: (18-85; 99)

- 00. Inap, 6725; 7835; 7836

7840 (13)
7845 (14)
7850 (15)

H11. TO AGE: (18-85; 99)

- 00. Inap, 6725; 7835; 7836

7841 (13)
7846 (14)
7851 (15)

H11. UNREDUCED... (f and g only)

- 1. UNREDUCED
- 2. REDUCED
- 3. NOT CITED
- 9. NA
- 0. Inap, 6731; 7835; 7836.

7890

ROT13 formula

7891

ROT14 formula

7892

ROT15 formula

Var #

7901

H12. SUMMARY: # of BEG's

0 in
7907-7916

1. One

0 in
7912-7916

2. Two
3. Three
0. Inap, 6731.

7902 (13)
7907 (14)
7912 (15)

H12. Do death and survivor benefit payments begin at the time of the participants death, or do the payments begin at some later date depending on the age of the beneficiary, or some other factor?

- 01. a - PAYMENTS ALWAYS BEGIN AT TIME OF PARTICIPANTS DEATH
- 02. b - PAYMENTS BEGIN AT TIME OF DEATH ONLY IF PARTICIPANT QUALIFIED FOR EARLY OR NORMAL RETIREMENT
- 03. c - PAYMENTS BEGIN WHEN PARTICIPANTS AGE+ASY WOULD HAVE REACHED AGE:
- 04. d - PAYMENTS BEGIN WHEN PARTICIPANTS AGE+ASY WOULD HAVE REACHED:
- 05. e - PAYMENTS BEGIN WHEN PARTICIPANT WOULD HAVE QUALIFIED FOR NORMAL RETIREMENT
- 06. f - PAYMENTS BEGIN WHEN PARTICIPANT WOULD HAVE QUALIFIED FOR EARLY RETIREMENT
- 07. g - PAYMENTS BEGIN ANYTIME BENEFICIARIES CHOOSE AFTER PARTICIPANTS DEATH
- 08. h - PAYMENTS BEGIN WHEN BENEFICIARY REACHES AGE:
- 09. i - PAYMENTS BEGIN ANYTIME BENEFICIARIES CHOOSE AFTER AGE:
- 10. j - PAYMENTS BEGIN ANYTIME BENEFICIARIES CHOOSE BETWEEN THE PARTICIPANTS DEATH AND AGE:
- 11. k - PAYMENTS BEGIN ANYTIME BENEFICIARIES CHOOSE FROM AGE:
TO AGE:

- 19. After 10 YRS
- 20. After 2 YRS
- 21. After 5 YRS
- 22. After earlier of 5 YRS or AGE:
- 23. After participant qualifies for Social Security Disability
- 24. After earlier of age:
or when qualified for Social Security Disability

- 51. After earlier of AGE 65 or # YRS=.25*ASY10

- 97. z - OTHER
- 99. NA
- 00. Inap, 6731; 7901.

Var #

7903 (13)
7908 (14)
7913 (15)

H12. DEFINE ASY

Code ASY # (01-18)

See ASY MC for
continuation codes.

99. NA

00. NOT USED; Inap, 6731; 7901

7904 (13)
7909 (14)
7914 (15)

H12. REACHED/REACHES/AFTER/AND/FROM AGE: (18-85; 99)

00. Inap, 6731; 7901

7905 (13)
7910 (14)
7915 (15)

H12. TO AGE: (18-85; 99)

00. Inap, 6731; 7901

7906 (13)
7911 (14)
7916 (15)

H12. AGE + ASY (001-150; 999) (d only)

000. Inap, 6731; 7901

Var #

7925

H13. Please express how the death and survivor benefit and supplement formulas are combined to determine the total death and survivor benefit amount, including any limitations on the minimum or maximum total death and survivor benefit.

DST#1 =

Code 120-digit formula

Inap, 6731

7925

DST #1 formula

7926

DS#1 formula

7927

DS#2 formula

7928

DS#3 formula

7929

DS#4 formula

7930

DS#5 formula

8001

J1. Does this plan include provision for automatic cost of living adjustments to post retirements benefits?

- 1. YES
- 5. NO
- 9. NA

0 in
8002-8013

8002

J1A. What is the frequency of determining these cost of living adjustments?

- 1. a - EVERY _____ MONTHS
- 2. b - EVERY _____ YEARS
- 7. z - OTHER
- 9. NA
- 0. Inap, 8001

8003

J1A. Actual # Months or Years (01-95; 99)

00. Inap, 8001

Var #

8004

J1B. Is the Consumer Price Index (CPI) or some other price index used to calculate these cost of living adjustments?

0 in
8008-8013

Make
card

0 in
8005-8008

- 1. YES, CPI
- 3. YES, OTHER PRICE INDEX (SPECIFY)
- 5. NO
- 9. NA
- 0. Inap, 8001

8005

J1C. How is the price index used to adjust benefits?

- 1. a - PRICE INDEX MINUS _____ PERCENTAGE POINTS
- 2. b - _____ % OF INCREASE IN PRICE INDEX, NO MAX
- 3. c - _____ % OF INCREASE IN PRICE INDEX, WITH MAX ADJUSTMENT OF:
- 4. d - _____ % OF INCREASE IN PRICE INDEX, NO MAX ADJUSTMENT IF INDEX INCREASED BY AT LEAST _____ %
- 5. e - _____ % OF INCREASE IN PRICE INDEX, WITH MAX ADJUSTMENT OF _____ % IF INDEX INCREASED BY AT LEAST _____ %
- 7. z - OTHER
- 9. NA
- 0. Inap, 8001; 8004

Var #

8006

J1C. FIRST % field to 2 decimals

0001-9500

9999. NA

0000. Inap, 8001; 8004

8007

J1C. SECOND % field to 2 decimals (d and e only)

0001-9500

9999. NA

0000. Inap, 8001; 8004

8008

J1C. THIRD % field to 2 decimals (e only)

0001-9500

9999. NA

0000. Inap, 8001; 8004

Var #

8009

J2. Is the average increase in the wages and salaries of active employees used to calculate cost of living adjustments for retirement benefits?

0 in
8013

1. YES

0 in
8010-8012

5. NO

9. NA

0. Inap, 8001; 8004

8010

J2A. How are average wage and salary increased among active employees used to adjust retirement benefits?

1. a - _____% INCREASE ACTIVE PAY, NO MAX ADJUSTMENT

2. b - _____% INCREASE ACTIVE PAY, WITH MAX ADJUSTMENT
OF: _____%

7. z - OTHER

9. NA

0. Inap, 8001; 8004; 8009

8011

J2A. FIRST % field to 2 decimals

0001-9500

9999. NA

0000. Inap, 8001; 8004; 8009

8012

J2A. SECOND % field to 2 decimals (b only)

0001-9500

9999. NA

0000. Inap, 8001; 8004; 8009

8013

J3. How are the cost of living benefit adjustments calculated?

01. Fixed, unindexed increase of 3% per year.

Make card

97. Other

99. NA

00. Inap., 8001; 8004; 8009

Var #

8014

J4. Excluding survivor benefits, does this pension plan include a provision for any lump-sum benefit payment upon the death of a retired participant?

1. YES

0 in
8015-8023

5. NO

9. NA

8015

J4A. Under which retirement circumstances are the death benefit payments made?

a - NORMAL RETIREMENT

1. Checked

Use same code
for 8016-8020.

5. Not checked

9. NA - All J4A not checked

0. Inap, 8014

8016

b - LATE RETIREMENT

8017

c - EARLY RETIREMENT

8018

d - VESTED DEFERRED RETIREMENT

8019

e - DISABILITY RETIREMENT

8020

z - OTHER

Var #

8021

J4B. How are the lump-sum death benefits calculated for a retired participant?

- 1. a - FIXED DOLLAR AMOUNT: \$ _____
- 2. b - FIXED PERCENT YEARLY BENEFITS _____ %
- 7. z - OTHER
- 9. NA
- 0. Inap, 8014

8022

J4B. \$ DOLLAR AMOUNT (000 001-999 995; 999 999)

000 000. Inap, 8014

8023

J4B. % PERCENT YEARLY BENEFITS to 2 decimals (0001-9500; 9999)

0000. Inap, 8014

8024

J5. Does this pension plan include a payment option available at the time of retirement under which benefits would continue to be paid to a surviving beneficiary?

0 in
8025-8030

- 1. YES
- 5. NO
- 9. NA

8025

J5A. Under which retirement circumstances is the survivor payment option available?

a - NORMAL RETIREMENT

- 1. Checked
- 5. Not checked
- 9. NA - all J5A not checked
- 0. Inap, 8024

Use same code
for 8026-8030.

8026

b - LATE RETIREMENT

8027

c - EARLY RETIREMENT

8028

d - VESTED DEFERRED RETIREMENT

8029

e - DISABILITY RETIREMENT

8030

z - OTHER

8031

K1. Record below any unusual characteristic(s) of this pension plan, or any other pertinent information needed to interpret the plan provisions as coded in Sections B-J.

Precoded in red pencil by Study Staff

- 1. General comments
- 2. Specific comments on formulas
- 3. Plan is not an annuity
- 4. Eligibility requirements
- 5. Inappropriate comments
- 0. Inap, no (further) comments

Use same code
for V8032-8033.

8032

K1. Comments

8033

K1. Comments

PART III: DEFINED CONTRIBUTION PENSION PLANS

Var #

8101 L1. Identify the specific pension plan coversheet (SEQ#), the pension provider (PPID), and the plan number (PLAN #) that is coded below:
SEQ#

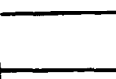
8102 L1. PENSION PROVIDER - PPID

8103 L1. PLAN #

8104 L2. Do any of the contribution formulas or eligibility requirements depend on the number of actual years of credited service the employee has accumulated?

- 1. YES
- 5. NO
- 9. NA
- 0. Inap, No III

0 in
8105-8159



8105 L2. SUMMARY: # of ASY's

0 in
8124-8159



0 in
8142-8159



- 2. TWO
- 3. Three
- 0. Inap, 8104

ASY16 = V8106-8123
ASY17 = V8124-8141
ASY18 = V8142-8159

Var #

8106 (16) L2A. How is the number of actual years of credited service (ASY)
8124 (17) determined for use in the contribution formulas or for
8142 (18) eligibility requirements?

1. a - ALL YEARS OF EMPLOYMENT ARE CREDITED
2. b - ONLY COUNT YEARS AFTER AGE:
3. c - ONLY COUNT YEARS AFTER FIRST # YEARS:
4. d - ONLY COUNT YEARS AFTER AGE:
OR AFTER FIRST # YEARS:
5. e - ONLY COUNT YEARS AFTER AGE:
AND AFTER FIRST # YEARS:
6. f - ONLY COUNT YEARS DURING FINAL # YEARS:
7. z - OHTER
9. NA
0. Inap, 8104; 8105

8107 (16) L2a. AFTER AGE (18-85, 99)
8125 (17)
8143 (18)

00. Inap, 8104; 8105

8108 (16) L2a. # YEARS (01-50, 99)
8126 (17)
8144 (18)

00. Inap, 8104; 8105

Var #

8109 (16) L2B. Are the above definitions of ASY based only on specific calendar
8127 (17) time periods, or on all years covered by this pension plan?
8145 (18)

1. a - COVERS ALL YEARS: NO SPECIFIC DATES CITED
2. b - BEFORE:
3. c - AFTER:
4. d - FROM/TO:
7. z - OTHER
9. NA
0. Inap, 8104, 8105

8110 (16) L2B. BEFORE/AFTER/FROM: MONTH (01-12,99)
8128 (17)
8146 (18) 00. Inap, 8104; 8105

8111 (16) L2B. BEFORE/AFTER/FROM: YEAR (01-95, 99)
8129 (17)
8147 (18) 00. Inap, 8104; 8105

8112 (16) L2B. TO: MONTH (01-12, 99)
8130 (17)
8148 (18) 00. Inap, 8104; 8105

8113 (16) L2B. TO: YEAR (01-95, 99)
8131 (17)
8149 (18) 00. Inap, 8104; 8105

Var #

8114 (16) L2C. Is there a maximum number of actual years of credited service
8132 (17) that can be used in the contribution formulas or a maximum
8150 (18) age after which service years can no longer be credited?

1. a - YES, MAXIMUM AGE:
2. b - YES, MAXIMUM ASY:
3. c - YES, MAXIMUM AGE PLUS ASY:
4. d - YES, WHEN OVER MAXIMUM AGE:
OR OVER MAXIMUM ASY:
5. e - YES, WHEN OVER MAXIMUM AGE:
AND OVER MAXIMUM ASY:
6. f - NO
7. z - OTHER
9. NA
0. Inap, 8104; 8105

8115 (16) L2C. AGE (18-85, 99)
8133 (17) _____
8151 (18) 00. Inap, 8104; 8105

8116 (16) L2C. ASY (01-50, 99)
8134 (17) _____
8152 (18) 00. Inap, 8104; 8105

8117 (16) L2C. AGE+ASY (001-150, 999)
8135 (17) _____
8153 (18) 000. Inap, 8104; 8105

Var #

8118 (16) L2D. Are participants credited one service year for each year they
8136 (17) meet minimum employment requirements, or does the amount of
8154 (18) credited service depend on the actual number of hours worked
each year?

- 01. a - CREDITED ONE ASY FOR EACH YEAR EMPLOYED; NO MINIMUM
WORK REQUIREMENTS
- 02. b - CREDITED WITH EITHER ZERO OR ONE ASY; ONE ASY IS
CREDITED FOR EACH YEAR WORKED A MINIMUM OF:
HOURS PER YEAR
- 03. c - # WEEKS PER YEAR, AND
HOURS PER WEEK
- 04. d - # MONTHS PER YEAR, AND
HOURS PER MONTH
- 05. e - CREDITED WITH A PRORATED FRACTION FROM ZERO TO ONE
ASY; ONE FULL ASY IS CREDITED FOR EACH YEAR WORKED
A MINIMUM OF: # HOURS PER YEAR
- 06. f - # WEEKS PER YEAR, AND
HOURS PER WEEK
- 07. g - # MONTHS PER YEAR, AND
HOURS PER MONTH
- 08. h - CREDITED EACH YEAR WITH A PRORATED FRACTION FROM ZERO
TO MORE THAN ONE ASY; ONE FULL ASY IS CREDITED FOR
EVERY: # HOUR PER YEAR
- 09. i - # WEEK PER YEAR, AND
HOURS PER WEEK
- 10. j - # MONTHS PER YEAR, AND
HOURS PER MONTH
- 97. z - OHTER
- 99. NA
- 00. Inap, 8104; 8105

Var #

8119 (16) L2D. # HOURS PER YEAR (0001-2496, 9999)

8137 (17)

8155 (18) 0000. Inap, 8104; 8105

8120 (16) L2D. # WEEKS PER YEAR (01-52, 99)

8138 (17)

8156 (18) 00. Inap, 8104; 8105

8121 (16) L2D. # HOURS PER WEEK (01-48, 99)

8139 (17)

8157 (18) 00. Inap, 8104; 8105

8122 (16) L2D. # MONTHS PER YEAR (01-12, 99)

8140 (17)

8158 (18) 00. Inap, 8104; 8105

8123 (16) L2D. # HOURS PER MONTH (001-208, 999)

8141 (17)

8159 (18) 000. Inap, 8104; 8105

Var #

8201

M1. Are employees required to make contributions in order to participate in this defined contribution plan?

0 in
8202

- 1. YES
- 5. NO
- 9. NA
- 0. Inap, No III

8202

MLA. Can the participants make any voluntary contributions to this defined contribution pension plan?

0 in
8203-8238,
scr 83-90,
and V9101

- 1. YES

0 in
8203-8238,
and
scr 83-102

- 5. NO
- 9. NA
- 0. Inap, 8201

8203

M2. SUMMARY: # of MAN's

0 in
8304-8338,
8404-8438

- 1. One

0 in
8404-8438

- 2. Two
- 3. Three
- 0. Inap, 8202

MAN 1 = V8204-8238
MAN 2 = V8304-8338
MAN 3 = V8404-8438

Var #

8204

M2. On an annual basis, how is the mandatory (MAN) contribution calculated?

0 in
8209-
8223

- 02 b - FIXED DOLLAR AMOUNT:
- 03 c - FIXED PERCENTAGE OF PAY:
UP TO MAXIMUM CONTRIBUTION:
- 04 d - FIXED PERCENTAGE PAY AT OR BELOW SWB:
- 05 e - FIXED PERCENTAGE PAY ABOVE SWB:
- 06 f - FIXED PERCENTAGE PAY ABOVE SWB:
UP TO MAXIMUM CONTRIBUTION:

0 in
8207-
8208

- 07 g - VARYING DOLLAR OR PERCENTAGE:

0 in
8207-
8223

- 97 z - OTHER (SPECIFY BELOW):
- 99 NA
- 00 Inap, 8202; 8203

8205

M2. CHECK: PRE TAX ...

- 1. PRE TAX
- 2. POST TAX
- 9. NA
- 0. NOT CITED; Inap, 8202; 8203; 8204

8206

M2. DEFINE ASY

Code ASY # 01-18

See ASY MC
for combination
codes.

- 99. NA
- 00. NOT USED; Inap, 8202; 8203; 8204

8207

M2. If b-f: \$ amount field (000 991-999 995, 999 999)

- 999 996. NO MAXIMUM
- 000 000. Inap, 8202; 8203; 8204

8208

M2. If b-f: % percent field to 2 decimals (0001-9500, 9999)

- 0000. Inap, 8202; 8203; 8204

Var #

8209 M2. If g: "\$ AMOUNT" BOX

1. \$ AMOUNT
2. % PAY
3. % (PAY > SWB)
4. % (PAY ≤ SWB)

7. Other

9. NA

0. Inap, 8202; 8203; 8204

8210 M2. If g: "PAY" BOX

1. PAY
2. AGE
3. ASY
4. AGE + ASY

7. Other

9. NA

0. Inap, 8202; 8203; 8204

8211 (UNDER) M2. If g: \$ or % field to 2 decimals

8213 (FROM-MIN) 000 000 01 - 999 995 00

8216 (FROM) -9. NA

8219 (FROM) 000 000 00. Inap, 8202; 8203; 8204

8222 (OVER)

8212 (UNDER) M2. If g: PAY/AGE/ASY Field

8214 (FROM-MIN) Code # given as a 6-digit whole number (000 001 - 999 995)
8215 (TO)

999 999. NA

8217 (FROM)

8218 (TO) 000 000. Inap, 8202; 8203; 8204

8220 (FROM)

8221 (TO-MAX)

8223 (OVER)

Var #

8224

M2A. Do any of the above mandatory contribution formulas depend on the Social Security taxable wage base (SWB)?

1. a - YES, ACTUAL SWB IN EFFECT EACH YEAR
2. b - YES, CAREER AVERAGE SWB CALCULATED EACH YEAR
3. c - YES, CAREER AVERAGE SWB ESTIMATED IN YEAR: 19____
4. d - NO
7. z - OTHER
9. NA
0. Inap, 8202; 8203; 8204

8225

M2A. YEAR (01-95, 99)

00. Inap, 8202; 8203; 8204

Var #

- 8226 M2B. Are the mandatory contribution formulas based on wages and salaries earned during specific calendar time periods, or during all years covered by this pension plan?
-
1. a - COVERS ALL YEARS: NO SPECIFIC DATES CITED
2. b - BEFORE:
3. c - AFTER:
4. d - FROM:
TO:
7. z - OTHER
9. NA
0. Inap, 8202; 8203; 8204
-
- 8227 M2B. BEFORE/AFTER/FROM: MONTH (01-12, 99)
-
00. Inap, 8202; 8203; 8204
-
- 8228 M2B. BEFORE/AFTER/FROM: YEAR (01-95, 99)
-
00. Inap, 8202; 8203; 8204
-
- 8229 M2B. TO: MONTH (01-12, 99)
-
00. Inap, 8202; 8203; 8204
-
- 8230 M2B. TO: YEAR (01-95, 99)
-
00. Inap, 8202; 8203; 8204

Var #

8231 M2C. When are participants required to start making these mandatory contribution?

1. a - AT ONSET OF EMPLOYMENT
2. b - AFTER EMPLOYEE REACHES AGE:
3. c - AFTER EMPLOYEE COMPLETES FIRST # YRS:
4. d - AFTER EMPLOYEE REACHES AGE:
OR AFTER COMPLETES FIRST # YRS:
5. e - AFTER EMPLOYEE REACHES AGE:
AND AFTER COMPLETES FIRST # YRS:
7. z - OTHER
9. NA
0. Inap, 8202; 8203; 8204

8232 M2C. AGE (18-85, 99)

00. Inap, 8202; 8203; 8204

8233 M2C. # YEARS (01-50, 99)

00. Inap, 8202; 8203; 8204

Var #

8234 M2D. Is there a maximum age or service years after which participants are no longer required to make these contributions?

- 1. a - YES, MAXIMUM AGE:
- 2. b - YES, MAXIMUM ASY:
- 3. c - YES, MAXIMUM AGE PLUS ASY:
- 4. d - YES, WHEN OVER MAXIMUM AGE:
OR OVER MAXIMUM ASY:
- 5. e - YES, WHEN OVER MAXIMUM AGE:
AND OVER MAXIMUM ASY:
- 6. f - NO
- 7. z - OTHER
- 9. NA
- 0. Inap, 8202;, 8203; 8204

8235 M2D. DEFINE ASY

Code ASY #01-18

See ASY MC for combination codes
--

- 99. NA
- 00. NOT USED; Inap, 8202; 8203; 8204

8236 M2D. AGE (18-85, 99)

- 00. Inap, 8202; 8203; 8204

8237 M2D. ASY (01-50, 99)

- 00. Inap, 8202; 8203; 8204

8238 M2D. AGE+ASY (001-150, 999)

- 000. Inap, 8202; 8203; 8204

Var #

8501

M2E. Does this defined contribution pension plan include a provision that the employer automatically matches all or part of each participant's mandatory contribution?

1. YES

0 in
8502-8536,
scr 86-90

5. NO

9. NA

0. Inap, 8202

8502

M2E. SUMMARY: # of MMAN's

0 in
scr 87-90

1. ONE

0 in
scr 89-90

2. Two

3. Three

0. Inap, 8202; 8501

MMAN1 = V8503-8536, 8601-8633
MMAN2 = V8703-8736, 8801-8833
MMAN3 = V8903-8936, 9001-9033

Var #

8503 M2F. For each dollar or percentage point of pay the participant contributes, how much does the employer contribute in matching funds (MMAN)

0 in
8506-8528

1. a. FIXED MATCH RATE:

0 in
8505

2. b. VARYING MATCH RATE:

0 in
8505
8528

7. z. OTHER

9. NA

0. Inap, 8202; 8501; 8502

8504 M2F. DEFINE ASY

Code ASY# 01-18

See ASY MC
for combination
codes.

99. NA

00. NOT USED; Inap, 8202; 8501; 8502; 8503

8505 M2F. If a: % field to 1 decimal (0001-9950, 9999)

0001 - 9950

9999. NA

0000. Inap, 8202; 8501; 8502; 8503

8506 M2F. If b: "\$/¢" BOX

1. \$/¢
2. % PAY

7. Other

9. NA

0. Inap, 8202; 8501; 8502; 8503

8507

M2F. If b: "PAY" BOX

1. PAY
2. AGE
3. ASY
4. AGE+ASY
5. % CONTRIB

7. Other

9. NA

- 0 Inap, 8202; 8501; 8502; 8503

8508 (UNDER) M2F. If b: Dollar or % Field to 2 decimals

8511 (FROM-MIN) 000 000 01 - 999 995 00

8516 (FROM) -9. NA

8521 (FROM) 000 000 00. Inap, 8202; 8501; 8502; 8503

8526 (OVER)

8509 (UNDER) M2F. If b: PAY/AGE/ASY Field8512 (FROM MIN) Code PAY/AGE/ASY as a 6 digit whole number (000 001 - 999 995)
8514 (TO)

999 999 NA

8517 (FROM)

8519 (TO) Inap, 8202; 8501; 8502; 8503

8522 (FROM)

8524 (TO-MAX)

8527 (OVER)

8510 (UNDER) M2F. If b: % CONTRIB Field to 2 decimal places (0001 - 9500, 9999)

8513 (FROM MIN)

8515 (TO)

8518 (FROM)

8520 (TO) Inap, 8202; 8501; 8502; 8503

8523 (FROM)

8525 (TO MAX)

8528 (OVER)

Var #

8529 M2G. Is there a maximum on the amount the employer will contribute
in matching funds?

1. a - YES, MAXIMUM DOLLAR CONTRIBUTION:
2. b - YES, MAXIMUM PERCENTAGE PAY:
3. c - NO (ADDITIONAL) MAXIMUMS ON MATCHING MANDATORY CONTRIBUTIONS
7. z - OTHER
9. NA
0. Inap, 8202; 8501; 8502

8530 M2G. \$ Field (000 001-999 995, 999 999)

000 000. Inap, 8202; 8501; 8502

8531 M2G. % Field to 2 decimals (0001-9500, 9999)

0000. Inap, 8202; 8501; 8502

Var #

8601

M2J. What is the vesting schedule that applies to these matching employer contributions?

0 in
8604-8633

1. a - SAME AS: _____

0 in 8603,
8603-8633

2. b - IMMEDIATE 100% VESTING FROM TIME OF INITIAL PARTICIPATIONS

0 in 8603,
8611-8633

3. c - CLIFF VESTING: VESTING INCREASES FROM 0% TO 100% WHEN:

0 in
8603-8610

4. d - STEP VESTING:
BEGINS AT:

0 in
8603-8633

5. e - NO VESTING SCHEDULE CITED

7. z - OTHER

9. NA

0. Inap, 8202; 8501; 8502

8602

M2J. DEFINE ASY

Code ASY #01-18

Use Asy MC
for combination
codes.

99. NA

00. NOT USED; Inap, 8202; 8501; 8502; 8601

8603

M2J. SAME AS: _____

00 Inap, 8202; 8501; 8502; 8601

01. MMAN1

02. MMAN2

03. MMAN3

04. MVOL1

05. MVOL2

06. MVOL3

07. CTE1

08. CTE2

09. CTE3

10. COE1

11. COE2

12. COE3

Make
card

97. OTHER

99. NA

Var #

CLIFF VESTING

- 8604 If c: AGE (18-85, 99)
 00. Inap, 8202; 8501; 8502; 8601
- 8605 If c: ASY (01-50, 99)
 00. Inap, 8202; 8501; 8502; 8601
- 8606 If c: AGE + ASY (001-150, 999)
 000. Inap, 8202; 8501; 8502; 8601
- 8607 If c: AGE OR ASY - AGE (18-85, 99)
 00. Inap, 8202; 8501; 8502; 8601
- 8608 If c: AGE OR ASY - ASY (01-50, 99)
 00. Inap, 8202; 8501; 8502; 8601
- 8609 If c: AGE AND ASY - AGE (18-85, 99)
 00. Inap, 8202; 8501; 8502; 8601
- 8610 If c: AGE AND ASY - ASY (01-50, 99)
 00. Inap; 8202; 8501; 8502; 8601

Var #

STEP VESTING BEGINS AT ____ % WHEN:

8611 If d: ____% to 2 decimals (0001-9500, 9999)

0000. Inap, 8202; 8501; 8502; 8601

8612 If d: AGE (18-85, 99)

00. Inap, 8202; 8501; 8502; 8601

8613 If d: ASY (01-50, 99)

00. Inap, 8202; 8501; 8502; 8601

8614 If d: AGE + ASY (001-150, 999)

000. Inap, 8202; 8501; 8502; 8601

8615 If d: AGE OR ASY - AGE (18-85, 99)

00. Inap, 8202; 8501; 8502; 8601

8616 If d: AGE OR ASY - ASY (01-50, 99)

00. Inap, 8202; 8501; 8502; 8601

8617 If d: AGE AND ASY - AGE (18-85, 99)

00. Inap, 8202; 8501; 8502; 8601

8618 If d: AGE AND ASY - ASY (01-50, 99)

00. Inap, 8202; 8501; 8502; 8601

Var #

STEP VESTING REACHES: ___% WHEN:

8619 If d: ___% to 2 decimals (0001-9500, 9999)

0000. Inap, 8202; 8501; 8502; 8601

8620 If d: AGE (18-85, 99)

00. Inap, 8202; 8501; 8502; 8601

8621 If d: ASY (01-50, 99)

00. Inap, 8202; 850; 8502; 8601

8622 If d: AGE + ASY (001-150, 999)

000. Inap, 8202; 8501; 8502; 8601

8623 If d: AGE OR ASY - AGE (18-85, 99)

00. Inap, 8202; 8501; 8502; 8601

8624 If d: AGE OR ASY - ASY (01-50, 99)

00. Inap, 8202; 8501; 8502; 8601

8625 If d: AGE AND ASY - AGE (18-85, 99)

00. Inap, 8202, 8501; 8502; 8601

8626 If d: AGE AND ASY - ASY (01-50, 99)

00. Inap, 8202; 8501; 8502; 8601

Var #

STEP VESTING REACHES 100% WHEN:

- 8627 If d: AGE (18-85, 99)
 00. Inap, 8202; 8501; 8502; 8601
- 8628 If d: ASY (01-50, 99)
 00. Inap, 8202; 8501; 8502; 8601
- 8629 If d: AGE + ASY (001-150, 999)
 000. Inap, 8202; 8501; 8502; 8601
- 8630 If d: AGE OR ASY - AGE (18-85, 99)
 00. Inap, 8202; 8501; 8502; 8601
- 8631 If d: AGE OR ASY - ASY (01-50, 99)
 00. Inap, 8202; 8501; 8502; 8601
- 8632 If d: AGE AND ASY - AGE (18-85, 99)
 00. Inap, 8202; 8501; 8502; 8601
- 8633 If d: AGE AND ASY - ASY (01-50, 90)
 00. Inap, 8202; 8501; 8502; 8601

Var #

9101

M2K. Can the participant make any voluntary contributions to this defined contribution pension plan?

- 1. YES
- 5. NO

0 in
9102-9142
and scr 92-102

9. NA

0. Inap, 8202

9102

M3. SUMMARY: # of VOL's

0 in scr
93-96

1. ONE

VOL 1 = V9103-9142, 9201-9220
VOL 2 = V9303-9342, 9401-9420
VOL 3 = V9503-9542, 9601-9620

0 in scr
95-96

2. TWO

3. THREE

0. Inap, 8202, 9101

Var #
9103

M3. What annual minimums apply to the voluntary contributions?

0 in
9106-9122

01. a - NO MINIMUM AMOUNT

0 in
9108-9122

02. b - FIXED DOLLAR AMOUNT:

03. c - FIXED PERCENTAGE OF PAY:
UP TO MAXIMUM AMOUNT:

04. d - FIXED PERCENTAGE PAY AT OR BELOW SWB:

05. e - FIXED PERCENTAGE PAY ABOVE SWB:

06. f - FIXED PERCENTAGE PAY ABOVE SWB:
WITH MINIMUM CONTRIBUTION:

0 in
9106-9107

07. g - VARYING DOLLAR OR PERCENTAGE:

0 in
9106-9122

97. z - OTHER (SPECIFY BELOW):

99. NA

00. Inap, 8202, 9101, 9102

9104

M3. CHECK: PRE TAX ...

- 1. PRE TAX
- 2. POST TAX

9. NA

0. NOT CITED; Inap, 8202, 9101, 9102, 9103

9105

M3. DEFINE ASY

Code ASY # 01-18

See ASY MC
for combination
codes.

99. NA

00. NOT USED: Inap, 8202, 9101, 9102, 9103

9106

M3. If b-f: \$ amount field (000 001-999 995, 999 999)

999 996. NO MAXIMUM

000 000. Inap, 8202, 9101, 9102, 9103

9107

M3. If b-f: % percent field (0001-9500, 9999) to two decimals

0000. Inap, 8202, 9101, 9102, 9103

Var #

9108 M3. If g: "\$ AMOUNT" BOX

1. \$ AMOUNT
 2. % PAY
 3. % (PAY)SWB)
 4. % (PAY)SWB)
 7. OTHER
 9. NA
 0. Inap, 8202, 9101, 9102, 9103

9109 M3. If g: "PAY" BOX

1. PAY
 2. AGE
 3. ASY
 4. AGE + ASY
 7. OTHER
 9. NA
 0. Inap, 8202, 9101, 9102, 9103

9110 (UNDER) M3. If g: \$ or % field to 2 decimals

9112 (FROM-MIN) 000 000 01 - 999 995 00
 9115 (FROM) -9. NA
 9118 (FROM) 000 000 00 Inap, 8202, 9101, 9102, 9103
 9121 (OVER)

9111 (UNDER) M3. If g: PAY/AGE/ASY Field

9113 (FROM-MIN) Code as 6 digit whole number
 9114 (TO) 000 001 - 999 995
 9116 (FROM) 999 999 NA
 9117 (TO)
 9119 (FROM) 000 000 Inap, 8201, 9101, 9102, 9103
 9120 (TO-MAX)
 9122 (OVER)

9123

M3A. Aside from IRS maximums, what annual or career average maximums apply to the voluntary (VOL) contributions?

0 in
9126-9142

01. a - NO MAXIMUM AMOUNT

0 in
9128-9142

- 02. b - FIXED DOLLAR AMOUNT:
- 03. c - FIXED PERCENTAGE OF PAY:
UP TO MAXIMUM AMOUNT:
- 04. d - FIXED PERCENTAGE PAY AT OR BELOW SWB:
- 05. e - FIXED PERCENTAGE PAY ABOVE SWB:
- 06. f - FIXED PERCENTAGE PAY ABOVE SWB:
UP TO MAXIMUM CONTRIBUTION:

0 in
9126-9127

07. g - VARYING DOLLAR OR PERCENTAGE:

0 in
9126-9142

- 97. z - OTHER
- 99. NA

00. Inap, 8202, 9101, 9102

9124

M3A. CHECK: ANNUAL ...

- 1. ANNUAL
- 2. CAREER
- 9. NA
- 0. NOT CITED: Inap, 8202, 9101, 9102, 9123

9125

M3A. DEFINE ASY:

Code ASY # 01-18

99. NA

00. NOT USED; Inap, 8202, 9101, 9102, 9123

See ASY MC
for combination
codes.

9126

M3A. If b-f: \$ amount field (000 001-999 995, 999 999)

999 996. NO MAXIMUM

000 000. Inap, 8202, 9101, 9102, 9123

9127

M3A. If b-f: % percent field to 2 decimals (0001-9500, 9999)

0000. Inap, 8202, 9101, 9102, 9123

Var #

9128 M3A. If g: "\$ AMOUNT" BOX

- 1. \$ AMOUNT
- 2. % PAY
- 3. % (PAY > SWB)
- 4. % (PAY ≤ SWB)

- 7. OTHER

- 9. NA

- 0. Inap, 8202, 9101, 9102, 9123

9129 M3A. If g: "PAY" BOX

- 1. PAY
- 2. AGE
- 3. ASY
- 4. AGE + ASY

- 7. OTHER

- 9. NA

- 0. Inap, 8202, 9101, 9102, 9123

9130 (UNDER) M3A. If g: \$ or % field to 2 decimals

- 9132 (FROM-MIN) 000 000 01 - 999 995 00
- 9135 (FROM) -9. NA
- 9138 (FROM) 000 000 00 Inap, 8202, 9101, 9102, 9123
- 9141 (OVER)

9131 (UNDER) M3A. If g: PAY/AGE/ASY Field

- 9133 (FROM-MIN) Code as whole 6 digit number
- 9134 (TO) 000 001 - 999 995
- 9136 (FROM) 999 999 NA
- 9137 (TO)

- 9139 (FROM) 000 000 Inap, 8202, 9101, 9102, 9123
- 9140 (TO-MAX)

- 9142 (OVER)

Var #

9201 M3B. Do any of the above voluntary contribution formulas depend on the Social Security taxable wage base (SWB)?

- 1. a - YES, ACTUAL SWB IN EFFECT EACH YEAR
- 2. b - YES, CAREER AVERAGE SWB CALCULATED EACH YEAR
- 3. c - YES, CAREER AVERAGE SWB ESTIMATED IN YEAR:
- 4. d - NO

- 7. z - OTHER

- 9. NA

- 0. Inap, 8202, 9101, 9102

9202 M3B. If c: YEAR: ___ (01-95, 99)

- 00. Inap

9203 M3C. Are the above voluntary contribution formulas based only on specific calendar time periods, or on all years covered by this pension plan?

- 1. a - COVERS ALL YEARS: NO SPECIFIC DATES CITED.
- 2. b - BEFORE:
- 3. c - AFTER:
- 4. d - FROM:/TO:

- 7. z - OTHER

- 9. NA

- 0. Inap 8202, 9101, 9102

9204 M3C. BEFORE/AFTER/FROM: MONTH (01-12, 99)

- 00. Inap 8202, 9101, 9102

9205 M3C. BEFORE/AFTER/FROM: YEAR (01-95, 99)

- 00. Inap, 8202, 9101, 9102

9206 M3C TO: MONTH (01-12, 99)

- 00. Inap 8202, 9101, 9102

9207 M3C. TO: YEAR (01-95, 99)

- 00. Inap, 8202, 9101, 9102

Var #

9208

M3D. When are participants eligible to make these voluntary contributions?

1. a - AT ONSET OF EMPLOYMENT
2. b - AFTER EMPLOYEE REACHES AGE:
3. c - AFTER EMPLOYEE COMPLETES FIRST # YRS:
4. d - AFTER EMPLOYEE REACHES AGE:
OR AFTER COMPLETES FIRST # YRS:
5. e - AFTER EMPLOYEE REACHES AGE:
AND AFTER COMPLETES FIRST # YRS:

7. z - OTHER

9. NA

0. Inap, 8202, 9101, 9102

9209

M3D. AGE (18-85, 99)

00. Inap, 8202, 9101, 9102

9210

M3D # YEARS (01-50, 99)

00. Inap, 8202, 9101, 9102

Var #

9211 M3E. Is there a maximum age or service years after which participants can no longer make voluntary contributions?

1. a - YES, MAXIMUM AGE:
2. b - YES, MAXIMUM ASY:
3. c - YES, MAXIMUM AGE PLUS ASY:
4. d - YES, WHEN OVER MAXIMUM AGE:
OR OVER MAXIMUM ASY:
5. e - YES, WHEN OVER MAXIMUM AGE:
AND OVER MAXIMUM ASY:
6. f - NO
7. z - OTHER
9. NA
0. Inap, 8202, 9101, 9102

9212 M3E. DEFINE ASY

- Code ASY # 01-18 _____
99. NA
00. NOT USED; Inap, 8202, 9101, 9102

See ASY MC for combination codes.

9213 M3E. AGE (18-85, 99)

00. Inap, 8202, 9101, 9102

9214 M3E ASY (01-50, 99)

00. Inap, 8202, 9101, 9102

9215 M3E. AGE + ASY (001-150, 999)

000. Inap, 8202, 9101, 9102

Var #

9216 M3F. Can vested participants at their own discretion withdraw any of their voluntary contributions while still employed?

- 01. a - YES
- 02. b - YES, AFTER AGE:
- 03. c - YES, AFTER ASY:
- 04. d - YES, AFTER AGE:
- 05. e - YES, AFTER AGE:
- 06. f - YES, AFTER AGE PLUS ASY EQUALS:
- 07. g - NO, CANNOT WITHDRAW UNTIL RETIRE OR TERMINATE EMPLOYMENT

- 97. z - OTHER

- 99. NA

- 00. Inap, 8202, 9101, 9102

9217 M3F. DEFINE ASY

- Code ASY # (01-18) _____
- 99. NA
- 00. Inap, 8202, 9101, 9102

See ASY MC for combination codes.

9218 M3F. AGE (18-85, 99)

- 00. Inap, 8202, 9101, 9102

9219 M3F ASY (01-50, 99)

- 00. Inap, 8202, 9101, 9102

9220 M3F. AGE + ASY (001-150, 999)

- 000. Inap, 8202, 9101, 9102

Var #

9701 M3G. Does this defined contribution pension plan include a provision that the employer automatically matches all or part of each participant's annual voluntary contributions?

- 1. YES
- 5. NO
- 9. NA
- 0. Inap, 8202, 9101

0 in
9702-9736
and
scr 98-102

9702 M3G. SUMMARY: # of MVOL's

- 1. ONE
- 2. TWO
- 3. THREE
- 0. Inap, 8202, 9101, 9701

0 in
99-102

0 in
scr 101-102

MVOL1 = 9703-9736, 9801-9833
MVOL2 = 9903-9936, 10001-10033
MVOL3 = 10103-10136, 10201-10233

9703 M3G. EMPLOYER MATCH OF VOLUNTARY CONTRIBUTIONS - LETTER

- 1. a - FIXED MATCH RATE: %
- 2. b - VARYING MATCH RATE:
- 7. z - OTHER
- 9. NA
- 0. Inap, 8202, 9101, 9701, 9702

0 in
9706-9728

0 in
9705

0 in
9705-9728

9704 M3G. DEFINE ASY

- Code ASY # (01-18)
- 99. NA
- 00. NOT USED; 8202, 9101, 9701, 9702, 9703

See ASY MC
for combination
codes.

9705 M3G. If a: % field to 1 decimal (0001-9900, 9999)

- 0000. Inap, 8202, 9101, 9701, 9702, 9703

Var #

9706

M3G. If b: "\$/c" BOX

1. \$/c
2. % PAY
7. OTHER
9. NA
0. Inap, 8202, 9101, 9701, 9702, 9703

9707

M3G. If b: "PAY" BOX

1. PAY
2. AGE
3. ASY
4. AGE + ASY
5. % CONTRIBUTION
7. OTHER
9. NA
0. Inap, 8202, 9101, 9701, 9702, 9703

Var #

9708 (UNDER) M3G. If b: Dollar or % Field to 2 decimals

9711 (FROM-MIN) 000 000 01 - 999 995 00

9716 (FROM) -9. NA

9721 (FROM) 000 000 00 Inap,

9726 (OVER)

9709 (UNDER) M3G. If b: PAY/AGE/ASY Field

9712 (FROM-MIN) Code # given for PAY/AGE/ASY/AGE + ASY
9714 (TO) as a 6 digit whole number (000 001 - 999 995)

9717 (FROM) 999 999 NA

9719 (TO) 000 000 Inap

9722 (FROM)

9724 (TO-MAX)

9727 (OVER)

9710 (UNDER) M3G. If b: % CONTRIB field to 2 decimals

9713 (FROM-MIN) Code % CONTRIB (if approp.) 0001-9500

9715 (TO) 9999 NA

9718 (FROM) 0000 Inap,

9720 (TO)

9723 (FROM)

9725 (TO-MAX)

9728 (OVER)

Var #

9729

M3H. Is there a maximum on the amount the employer will contribute in matching funds?

1. a - YES, MAXIMUM DOLLAR CONTRIBUTION: \$
2. b - YES, MAXIMUM PERCENTAGE PAY: %
3. c - NO (ADDITIONAL) MAXIMUMS ON MATCHING VOLUNTARY CONTRIBUTIONS

7. z - OTHER

9. NA

0. Inap

9730

M3H. \$ field (000 001 - 999 995, 999 999)

000 000 Inap

9731

M3H. % percent field to 2 decimals (0001-9500, 9999)

0000. Inap

Var #

9732 M3J. To receive any of the matching employer contributions, are there
any other special requirements?

- 01. a - NO OTHER SPECIAL REQUIREMENTS (EXCEPT MATCHING AMOUNTS)
- 02. b - EMPLOYER APPROVAL REQUIRED
- 03. c - PAST SERVICE REQUIRED
- 04. d - IF PLAN PARTICIPANT ON:
- 05. e - IF PLAN PARTICIPANT BEFORE:
- 06. f - IF PLAN PARTICIPANT AFTER:
- 07. g - IF PLAN PARTICIPANT FROM:/TO:

- 97. z - OTHER

- 99. NA

- 00. Inap,

9733 M3J. ON/BEFORE/AFTER/FROM: MONTH (01-12, 99)

- 00. Inap,

9734 M3J. ON/BEFORE/AFTER/FROM: YEAR (01-95, 99)

- 00. Inap,

9735 M3J. TO: MONTH (01-12, 99)

- 00. Inap,

9736 M3J. TO: YEAR (01-95, 99)

- 00. Inap,

Var #

9801 M3K. What is the vesting schedule that applies to these matching employer contributions?

0 in
9804-9833

1. a - SAME AS: _____

0 in
9803-9833

2. b - IMMEDIATE 100 % VESTING FROM TIME OF INITIAL PARTICIPATIONS

0 in 9803,
9811-9833

3. c - CLIFF VESTING: VESTING INCREASES FROM 0% TO 100 % WHEN:

0 in
9803-9810

4. d - STEP VESTING:
BEGINS AT:

0 in
9803-9833

5. e - NO VESTING SCHEDULE CITED

7. z - OTHER

9. NA

0. Inap, 8202, 9101, 9701, 9702

9802 M3K. DEFINE ASY

Code ASY # 01-18 _____

Use ASY MC
for combination
codes.

99. NA

00. NOT USED: Inap, 8202; 9101, 9701, 9702

9803 M3K. SAME AS: _____

00. Inap, 8202, 9101, 9701, 9702, 9801.

- 01. MMAN1
- 02. MMAN2
- 03. MMAN3
- 04. MVOL1
- 05. MVOL2
- 06. MVOL3
- 07. CTE1
- 08. CTE2
- 09. CTE3
- 10. COE1
- 11. COE2
- 12. COE3
- 13. F2

Make
card

97. OTHER

99. NA

Var #

CLIFF VESTING

9804

If c: AGE (18-85, 99)

00. Inap, 8202, 9101, 9701, 9702, 9801

9805

If c: ASY (01-50, 99)

00. Inap, 8202, 9101, 9701, 9702, 9801

9806

If c: AGE + ASY (001-150, 999)

000. Inap, 8202, 9101, 9701, 9702, 9801

9807

If c: AGE OR ASY - AGE (18-85, 99)

00. Inap, 8202, 9101, 9701, 9702, 9801

9808

If c: AGE OR ASY - ASY (01-50, 99)

00. Inap, 8202, 9101, 9701, 9702, 9801

9809

If c: AGE AND ASY - AGE (18-85, 99)

00. Inap, 8202, 9101, 9701, 9702, 9801

9810

If c: AGE AND ASY - ASY (01-50, 99)

00. Inap, 8202, 9101, 9701, 9702, 9801

Var #

STEP VESTING BEGINS AT _____ % WHEN:

9811 If d: ___% to 2 decimals (0001-9500, 9999)

0000. Inap, 8202, 9101, 9701, 9702, 9801

9812 If d: AGE (18-85, 99)

00. Inap, 8202, 9101, 9701, 9702, 9801

9813 If d: ASY (01-50, 99)

00. Inap, 8202, 9101, 9701, 9702, 9801

9814 If d: AGE + ASY (001-150, 999)

000. Inap, 8202, 9101, 9701, 9702, 9801

9815 If d: AGE OR ASY - AGE (18085, 99)

00. Inap, 8202, 9101, 9701, 9702, 9801

9816 If d: AGE OR ASY - ASY (01-50, 99)

00. Inap, 8202, 9101, 9701, 9702, 9801

9817 If d: AGE AND ASY - AGE (18-85, 99)

00. Inap, 8202, 9101, 9701, 9702, 9801

9818 If d: AGE AND ASY - ASY (01-50, 99)

00. Inap, 8202, 9101, 9701, 9702, 9801

Var #

STEP VESTING REACHES: ____% WHEN:

9819 If d: ____% to 2 decimals (0001-9500, 9999)

0000. Inap, 8202, 9101, 9701, 9702, 9801

9820 If d: AGE (18-85, 99)

00. Inap, 8202, 9101, 9701, 9702, 9801

9821 If d: ASY (01-50, 99)

00. Inap, 8202, 9101, 9701, 9702, 9801

9822 If d: AGE + ASY (001-150, 999)

000. Inap, 8202, 9101, 9701, 9702, 9801

9823 If d: AGE OR ASY - AGE (18-85, 99)

00. Inap, 8202, 9101, 9701, 9702, 9801

9824 If d: AGE OR ASY - ASY (01-50, 99)

00. Inap, 8202, 9101, 9701 9702, 9801

9825 If d: AGE AND ASY - AGE (18-85, 99)

00. Inap, 8202, 9101, 9701 9702, 9801

9826 If d: AGE AND ASY - ASY (01-50, 99)

00. Inap, 8202, 9101, 9701, 9702, 9801

Var #

STEP VESTING REACHES 100% WHEN:

9827

If d: AGE (18-85, 99)

00. Inap, 8202, 9101, 9701, 9702, 9801

9828

If d: ASY (01-50, 99)

00. Inap, 8202, 9101, 9701, 9702, 9801

9829

If d: AGE + ASY (001-150, 999)

000. Inap, 8202, 9101, 9701, 9702, 9801

9830

If d: AGE OR ASY - AGE (18-85, 99)

00. Inap, 8202, 9101, 9701, 9702, 9801

9831

If d: AGE OR ASY - ASY (01-50, 99)

00. Inap, 8202, 9101, 9701, 9702, 9801

9832

If d: AGE AND ASY - AGE (18-85, 99)

00. Inap, 8202, 9101, 9701, 9702, 9801

9833

If d: AGE AND ASY - ASY (01-50, 90)

00. Inap, 8202, 9101, 9701, 9702, 9801

Var #

10301

R1. Aside from the above matching contributions, does the employer make any (other) regular annual contributions to each participants account?

1. YES

0 in
10302-10347,
scr 104-114

5. NO

9. NA

10302

RIA. Does this pension plan include any provisions that determine the total amount of the employer's annual contribution by company revenues, profits, assets, or other measures of company performance (this total is then allocated among participants, which may be based on the participant's PAY, AGE, ASY, hours worked, or other participant characteristics)?

0 in
10303

1. YES

5. NO

9. NA

0. Inap, 10301

Var #

10303

R1B. Does this pension plan include any provisions that calculate the total amount of the employer's annual contribution as the sum of the contributions dues each participant, as determined by the participant's PAY, AGE, ASY, hours worked, or other participant characteristics?

0 in
10304-10347
and scr
104-108,
V10901

1. YES

"5. NO" may not be coded - it should have signaled editor revisions only.

9. NA - exit this case and see supervisor

0. Inap, 10301, 10302

10304

R2. SUMMARY: # of CTE's

0 in scr
105-108

1. ONE

CTE1 = V10305-10347, V10401-10438
CTE2 = V10505-10547, V10601-10638
CTE3 = V10705-10747, V10801-10838

0 in scr
107-108

2. TWO

3. THREE

0. Inap, 10301, 10303

10305

R2. On what basis is the total annual employer contribution (CTE) calculated?

- 01. a - PRE TAX PROFITS
- 02. b - POST TAX PROFITS
- 03. c - PROFITS--PRE TAX OR POST TAX NOT CITED
- 04. d - AGGREGATE PAYROLL
- 05. e - REVENUES
- 06. f - NET FINANCIAL ASSETS
- 07. g - CAPITAL INVESTMENTS
- 08. h - COMPANY NET WORTH
- 09. i - CHANGE IN COMPANY NET WORTH
- 10. j - CHANGE IN VALUE OF COMPANY STOCK
- 11. k - FORFEITURES (SEPARATE ADDITIONAL CONTRIBUTIONS)

97. z - OTHER

99. NA

00. Inap, 10301, 10303, 10304

Var #

10306 R2A. Is the total employer contribution calculated at a fixed dollar or percentage rate set in advance, or is the amount or rate of contribution determined on a yearly basis? (ENTER IN SAME COLUMN AS ABOVE FOR EACH DEFINITION.)

- 1. a - FIXED DOLLAR AMOUNT: \$
- 2. b - FIXED PERCENTAGE RATE: %
- 3. c - AMOUNT OF CONTRIBUTION DETERMINED YEARLY

- 7. z - OTHER

- 9. NA

- 0. Inap, 10301, 10303, 10304

10307 R2A. \$ field (000 001-999 995, 999 999)

000 000. Inap, 10301, 10303, 10304

10308 R2A. % Field to 2 decimals (0001-9500, 9999)

0000. Inap, 10301, 10303, 10304

10309 R2B. What annual minimums apply to the total employer contributions?

- 1. a - NO MINIMUM; DOES NOT APPLY--FIXED CONTRIBUTION RATE
- 2. b - MINIMUM TOTAL DOLLARS: \$
- 3. c - MINIMUM PERCENTAGE: %

- 7. z - OTHER

- 9. NA

- 0. Inap, 10301, 10303, 10304

10310 R2B. \$ Field (000 001-999 995, 999 999)

000 000. Inap, 10301, 10303, 10304

10311 R2B. % Field to 2 decimals (0001-9500, 9999)

0000. Inap, 10301, 10303, 10304

Var #

10312 R2C. Aside from IRS maximums, what annual maximums apply to the total employer contribution?

1. a - NO MAXIMUM; DOES NOT APPLY--FIXED CONTRIBUTION RATE
2. b - MAXIMUM TOTAL DOLLARS: \$
3. c - MAXIMUM PERCENTAGE: %

7. z - OTHER

9. NA

0. Inap, 10301, 10303, 10304

10313 R2C. \$ field (000 001 - 999 995, 999 999)

000 000. Inap, 10301, 10303, 10304

10314 R2C. % Field to 2 decimals (0001-9500, 9999)

0000, Inap, 10301, 10303, 10304

Var #

10315

R2D. How is the total annual employer contribution allocated among the participants?

- 01. a - EQUALLY DIVIDED AMONG ALL PARTICIPANTS
- 02. b - PROPORTIONAL TO HOURS WORKED,
NO MAXIMUM HOURS
- 03. c - PROPORTIONAL TO HOURS WORKED DURING YEAR,
WITH MAXIMUM HOURS PER YEAR:
- 04. d - PROPORTIONAL TO CREDITED SERVICE YEARS, NO MAXIMUM SERVICE
YEARS
- 05. e - PROPORTIONAL TO CREDITED SERVICE YEARS,
WITH MAXIMUM NUMBER SERVICE YEARS:
- 06. f - PROPORTIONAL TO WAGES AND SALARIES PAID DURING YEAR, NO
MAXIMUM
- 07. g - PROPORTIONAL TO WAGES AND SALARIES PAID
DURING YEAR, WITH MAXIMUM WAGES & SALARY:
- 08. h - PROPORTIONAL TO WAGES AND SALARIES AT OR BELOW THE SWB
- 09. i - PROPORTIONAL TO WAGES AND SALARIES ABOVE THE SWB, NO
MAXIMUM
- 10. j - PROPORTIONAL TO WAGES AND SALARIES ABOVE
THE SWB, WITH MAXIMUM WAGES & SALARIES:
- 11. k - PROPORTIONAL TO WAGES AND SALARIES AND CREDITED SERVICE
YEARS
- 12. l - PROPORTIONAL TO WAGES AND SALARIES AND CREDITED SERVICE
YEARS, WITH MAXIMUM WAGES AND SALARIES: MAXIMUM CREDITED
SERVICE YEARS:
- 13. m - PROPORTIONAL TO MANDATORY CONTRIBUTION:
- 14. n - PROPORTIONAL TO VOLUNTARY CONTRIBUTION:
- 15. o - PROPORTIONAL TO VOLUNTARY CONTRIBUTIONS:
WITH MAXIMUM VOLUNTARY CONTRIBUTION:
- 16. p - PROPORTIONAL TO VOLUNTARY CONTRIBUTIONS:
WITH MAX VOL AS A PERCENT OF PAY:
- 17. q - PROPORTIONAL TO TOTAL PARTICIPANT CONTRIBUTIONS, NO
MAXIMUM
- 18. r - PROPORTIONAL TO TOTAL PARTICIPANT CONTRIBUTIONS,
WITH MAX ON TOTAL CONTRIBUTION:
- 19. s - PROPORTIONAL TO TOTAL PARTICIPANT CONTRIBUTIONS,
WITH MAX ON TOTAL AS PERCENT PAY:

- 97. z - OTHER

- 99. NA

- 00. Inap, 10301, 10303, 10304

Var #

- 10316 R2D.(c) HOURS PER YEAR (0001-2496, 9999)
0000. Inap, 10301, 10303, 10304
- 10317 R2D.(e,l) SERVICE YEARS (01-50, 99)
00. Inap, 10301, 10303, 10304
- 10318 R2D.(g,j,l,o,r) \$ DOLLARS (000 001-999 995, 999 999)
000 000. Inap, 10301, 10303, 10304
- 10319 R2D.(m) MAN (01-15, 99)
00. Inap, 10301, 10303, 10304
- 10320 R2D.(n,o,p) VOL (01-15, 99)
00. Inap, 10301, 10303, 10304
- 10321 R2D.(p,s) % PERCENT to 2 decimals (0001-9500, 9999)
0000. Inap, 10301, 10303, 10304
- 10322 R2E. Do any of the above employer contribution formulas depend on the Social Security taxable wage base (SWB)?
1. a - YES, ACTUAL SWB IN EFFECT EACH YEAR
2. b - YES, CAREER AVERAGE SWB CALCULATED EACH YEAR
3. c - YES, CAREER AVERAGE SWB ESTIMATED IN YEAR:
4. d - NO
7. z - OTHER
9. NA
0. Inap, 10301, 10303, 10304
- 10323 R2E. YEAR 19__ (01-95, 99)
00. Inap, 10301, 10303, 10304

Var #

10324 R2F. Are the above employer contribution formulas based only on specific calendar time periods, or on all years covered by this pension plan?

1. a - COVERS ALL YEARS: NO SPECIFIC DATES CITED.
2. b - BEFORE:
3. c - AFTER:
4. d - FROM:
TO:

7. z - OTHER

9. NA

0. Inap, 10301, 10303, 10304

10325 R2F. BEFORE/AFTER/FROM: MONTH (01-12, 99)

00. Inap, 10301, 10303, 10304

10326 R2F. BEFORE/AFTER/FROM: YEAR (01-95, 99)

00. Inap, 10301, 10303, 10304

10327 R2F. TO: MONTH (01-12, 99)

00. Inap, 10301, 10303, 10304

10328 R2F. TO: YEAR (01-95, 99)

00. Inap, 10301, 10303, 10304

Var #

10329

R2G. Are participants eligible for employer contributions for each year they meet minimum employment requirements, or does the amount of the contribution depend on the actual number of hours worked each year?

- 01. a - FULL CONTRIBUTION FOR EACH YEAR EMPLOYED; NO MINIMUM WORK REQUIREMENTS
- 02. b - CONTRIBUTION EITHER FULL OR ZERO; FULL CONTRIBUTION IS GIVEN FOR EACH YEAR WORKED A MINIMUM OF: #HOURS PER YEAR
- 03. c - #WEEKS PER YEAR
- AND #HOURS PER WEEK
- 04. d - #MONTHS PER YEAR,
- AND #HOURS PER MONTH
- 05. e - CONTRIBUTION A PRORATED FRACTION FROM ZERO TO ONE ASY; FULL CONTRIBUTION IS GIVEN FOR EACH YEAR WORKED A MINIMUM OF: #HOURS PER YEAR
- 06. f - #WEEKS PER YEAR, AND #HOURS PER WEEK
- 07. g - #MONTHS PER YEAR, AND #HOURS PER MONTH
- 08. h - CONTRIBUTION A PRORATED FRACTION FROM ZERO TO MORE THAN ONE ASY; FULL CONTRIBUTION GIVEN FOR EVERY: #HOURS PER YEAR
- 09. i - #WEEKS PER YEAR, AND #HOURS PER WEEK
- 10. j - #MONTHS PER YEAR, AND #HOURS PER MONTH
- 97. z - OTHER
- 99. NA
- 00. Inap, 10301, 10303, 10304

Var #

- 10330 R2G. # HOURS PER YEAR (0001-2496, 9999)
0000. Inap, 10301, 10303, 10304
- 10331 R2G. # WEEKS PER YEAR (01-52, 99)
00. Inap, 10301, 10303, 10304
- 10332 R2G. # HOURS PER WEEK (01-48, 99)
00. Inap, 10301, 10303, 10304
- 10333 R2G. # MONTHS PER YEAR (01-12, 99)
00. Inap, 10301, 10303, 10304
- 10334 R2G. # HOURS PER MONTH (001-208, 999)
000. Inap, 10301, 10303, 10304

Var #

10335 R2H. To receive any of the above employer contributions, are there any other special requirements?

- 01. a - NO OTHER SPECIAL REQUIREMENTS (EXCEPT MATCHING AMOUNTS)
- 02. b - EMPLOYER APPROVAL REQUIRED
- 03. c - PAST SERVICE REQUIRED
- 04. d - IF PLAN PARTICIPANT ON:
- 05. e - IF PLAN PARTICIPANT BEFORE:
- 06. f - IF PLAN PARTICIPANT AFTER:
- 07. g - IF PLAN PARTICIPANT FROM:/TO:

- 97. z - OTHER

- 99. NA

- 00. Inap, 10301, 10303, 10304

10336 R2H. ON/BEFORE/AFTER/FROM: MONTH (01-12, 99)

- 00. Inap, 10301, 10303, 10304

10337 R2H. ON/BEFORE/AFTER/FROM: YEAR (01-95, 99)

- 00. Inap, 10301, 10303, 10304

10338 R2H. TO: MONTH (01-12, 99)

- 00. Inap, 10301, 10303, 10304

10339 R2H. TO: YEAR (01-95, 99)

- 00. Inap, 10301, 10303, 10304

Var #

10340

R2J. When does the employer begin to make these contributions on behalf of the participant?

1. a - AT ONSET OF EMPLOYMENT
2. b - AFTER EMPLOYEE REACHES AGE:
3. c - AFTER EMPLOYEE COMPLETES FIRST # YRS:
4. d - AFTER EMPLOYEE REACHES AGE:
OR AFTER COMPLETES FIRST # YRS:
5. e - AFTER EMPLOYEE REACHES AGE:
AND AFTER COMPLETES FIRST # YRS:

7. z - OTHER

9. NA

0. Inap, 10301, 10303, 10304

10341

R2J. AGE (18-85, 99)

00. Inap, 10301, 10303, 10304

10342

R2J. # YRS (01-50, 99)

00. Inap, 10301, 10303, 10304

Var #

10343

R2K Is there a maximum age or service years after which employer contributions are no longer made on behalf of the participant?

1. a - YES, MAXIMUM AGE:
2. b - YES, MAXIMUM ASY:
3. c - YES, MAXIMUM AGE PLUS ASY
4. d - YES, WHEN OVER MAXIMUM AGE:
OR OVER MAXIMUM ASY:
5. e - YES, WHEN OVER MAXIMUM AGE:
AND OVER MAXIMUM ASY:
6. f - NO
7. z - OTHER
9. NA
0. Inap, 10301, 10303, 10304

10344

R2K. DEFINE ASY

Code ASY # (01-18)

99. NA

00. Inap, 10301, 10303, 10304

See ASY MC for combination codes.

10345

R2K AGE (18-85, 99)

00. Inap, 10301, 10303, 10304

10346

R2K. ASY (01-50, 99)

00. Inap, 10301, 10303, 10304

10347

R2K AGE + ASY (001-150, 999)

000. Inap, 10301, 10303, 10304

10401 R2L. What is the vesting schedule that applies to these employer contributions?

0 in
10404-10433

1. a - SAME AS: _____

0 in
10403-10433

2. b - IMMEDIATE 100% VESTING FROM TIME OF INITIAL PARTICIPATION

0 in 10403,
10411-10433

3. c - CLIFF VESTING: VESTING INCREASES FROM 0% TO 100% WHEN:

0 in
10403-10410

4. d - STEP VESTING:
BEGINS AT:

0 in
10403-10433

5. e - NO VESTING SCHEDULE CITED

7. z - OTHER

9. NA

0. Inap, 10301, 10303, 10304

10402 R2L. DEFINE ASY

Code ASY # 01-18

99. NA

00. NOT USED: Inap, 10301, 10303, 10304

Use ASY MC
for combination
codes.

10403 R2L. SAME AS: _____

00. Inap, 10301, 10303, 10304

- 01. MMAN1
- 02. MMAN2
- 03. MMAN3
- 04. MVOL1
- 05. MVOL2
- 06. MVOL3
- 07. CTE1
- 08. CTE2
- 09. CTE3
- 10. COE1
- 11. COE2
- 12. COE3
- 13. F2

Make
card

97. OTHER

99. NA

Var #

CLIFF VESTING

- 10404 If c: AGE (18-85, 99)
00. Inap, 10301, 10303, 10304
- 10405 If c: ASY (01-50, 99)
00. Inap, 10301, 10303, 10304
- 10406 If c: AGE + ASY (001-150, 999)
000. Inap, 10301, 10303, 10304
- 10407 If c: AGE OR ASY - AGE (18-85, 99)
00. Inap, 10301, 10303, 10304
- 10408 If c: AGE OR ASY - ASY (01-50, 99)
00. Inap, 10301, 10303, 10304
- 10409 If c: AGE AND ASY - AGE (18-85, 99)
00. Inap, 10301, 10303, 10304
- 10410 If c: AGE AND ASY - ASY (01-50, 99)
00. Inap, 10301, 10303, 10304

Var #

STEP VESTING BEGINS AT _____ % WHEN:

10411 If d: ___% to 2 decimals (0001-9500, 9999)

0000. Inap, 10301, 10303, 10304

10412 If d: AGE (18-85, 99)

00. Inap, 10301, 10303, 10304

10413 If d: ASY (01-50, 99)

00. Inap, 10301, 10303, 10304

10414 If d: AGE + ASY (001-150, 999)

000. Inap, 10301, 10303, 10304

10415 If d: AGE OR ASY - AGE (18085, 99)

00. Inap, 10301, 10303, 10304

10416 If d: AGE OR ASY - ASY (01-50, 99)

00. Inap, 10301, 10303, 10304

10417 If d: AGE AND ASY - AGE (18-85, 99)

00. Inap, 10301, 10303, 10304

10418 If d: AGE AND ASY - ASY (01-50, 99)

00. Inap, 10301, 10303, 10304

Var #

STEP VESTING REACHES: _____ % WHEN:

10419 If d: _____ % to 2 decimals (001-9500, 9999)

0000. Inap, 10301, 10303, 10304

10420 If d: AGE (18-85, 99)

00. Inap, 10301, 10303, 10304

10421 If d: ASY (01-50, 99)

00. Inap, 10301, 10303, 10304

10422 If d: AGE + ASY (001-150, 999)

000. Inap, 10301, 10303, 10304

10423 If d: AGE OR ASY - AGE (18-85, 99)

00. Inap, 10301, 10303, 10304

10424 If d: AGE OR ASY - ASY (01-50, 99)

00. Inap, 10301, 10303, 10304

10425 If d: AGE AND ASY - AGE (18-85, 99)

00. Inap, 10301, 10303, 10304

10426 If d: AGE AND ASY - ASY (01-50, 99)

00. Inap, 10301, 10303, 10304

Var #

STEP VESTING REACHES 100% WHEN:

- 10427 If d: AGE (18-85, 99)
 00. Inap, 10301, 10303, 10304
- 10428 If d: ASY (01-50, 99)
 00. Inap, 10301, 10303, 10304
- 10429 If d: AGE + ASY (001-150, 999)
 000. Inap, 10301, 10303, 10304
- 10430 If d: AGE OR ASY - AGE (18-85, 99)
 00. Inap, 10301, 10303, 10304
- 10431 If d: AGE OR ASY - ASY (01-50, 99)
 00. Inap, 10301, 10303, 10304
- 10432 If d: AGE AND ASY - AGE (18-85, 99)
 00. Inap, 10301, 10303, 10304
- 10433 If d: AGE AND ASY - ASY (01-50, 90)
 00. Inap, 10301, 10303, 10304

Var #

10434

R2M. Can vested participants at their own discretion withdraw any of these employer contributions while still employed?

- 01. a - YES
- 02. b - YES, AFTER AGE:
- 03. c - YES, AFTER ASY:
- 04. d - YES, AFTER AGE: _____ OR ASY:
- 05. e - YES, AFTER AGE: _____ AND ASY:
- 06. f - YES, AFTER AGE PLUS ASY EQUALS:
- 07. g - NO, CANNOT WITHDRAW UNTIL RETIRE OR TERMINATE EMPLOYMENT

- 97. z - OTHER

- 99. NA

- 00. Inap, 10301, 10303, 10304

10435

R2M. DEFINE ASY

Code ASY # (01-18)

99. NA

00. Inap, 10301, 10303, 10304

See ASY MC for combination codes.

10436

R2M. AGE (18-85, 99)

00. Inap, 10301, 10303, 10304

10437

R2M. ASY (01-50, 99)

00. Inap, 10301, 10303, 10304

10438

R2M. AGE + ASY (001-150, 999)

000. Inap, 10301, 10303, 10304

Var #

10901

R2N. Does this pension plan include any provisions that calculate the total amount of the employer's annual contribution as the sum of the contributions due each participant, as determined by the participants' PAY, AGE, ASY, hours worked, or other participant characteristics?

1. YES

0 in
10902-10948
and scr 110-114

5. NO

9. NA

0. Inap, 10301, 10303

10902

R3. SUMMARY: # of COE's

0 in scr
111-114

1. ONE

COE1 = V10903-10948, 11001-11038
COE2 = V11103-11148, 11201-11238
COE3 = V11303-11348, 11401-11438

0 in scr
113-114

2. TWO

3. THREE

0. Inap, 10301, 10901

Var #

10903 R3. On an annual basis, how are these (other) employer contributions (COE) calculated?

0 in
10908-10922

- 02. b - FIXED DOLLAR AMOUNT:
- 03. c - FIXED PERCENTAGE OF PAY:
UP TO MAXIMUM AMOUNT:
- 04. d - FIXED PERCENTAGE PAY AT OR BELOW SWB:
- 05. e - FIXED PERCENTAGE PAY ABOVE SWB:
- 06. f - FIXED PERCENTAGE PAY ABOVE SWB:
UP TO MAXIMUM CONTRIBUTION:

0 in
10906-10907

- 07. g - VARYING DOLLAR OR PERCENTAGE:

0 in
10906-10922

- 97. z - OTHER
- 99. NA

00. Inap, 10301, 10901, 10902

10904 R3. CHECK: PRE TAX

- 1. PRE TAX
- 2. POST TAX
- 9. NA
- 0. NOT CITED; Inap, 10301, 10901, 10902, 10903

10905 R3. DEFINE ASY:

Code ASY # (01-18)

99. NA

00. NOT USED; Inap, 10301, 10901, 10902, 10903

See ASY MC
for combination
codes.

10906 R3. b-f: \$ Dollar field (000 001-999 995, 999 999)

999 996. NO MAXIMUM

000 000. Inap, 10301, 10901, 10902, 10903

10907 R3. b-f: % Percent field to 2 decimals (0001-9500, 9999)

0000. Inap, 10301, 10901, 10902, 10903

Var #

10908

R3. g: "\$ AMOUNT" BOX

- 1. \$ AMOUNT
- 2. % PAY
- 3. % (PAY>SWB)
- 4. % (PAY≤SWB)

- 7. OTHER

- 9. NA

- 0. Inap, 10301, 10901, 10902, 10903

10909

R3. g: "PAY" BOX

- 1. PAY
- 2. AGE
- 3. ASY
- 4. AGE + ASY

- 7. OTHER

- 9. NA

- 0. Inap, 10301, 10901, 10902, 10903

10910 (UNDER)

R3. g: \$ or % Field to 2 decimals

10912 (FROM-MIN)

000 000 01 - 999 995 00

10915 (FROM)

-9, NA

10918 (FROM)

000 000 00 Inap, 10301, 10901, 10902, 10903

10921 (OVER)

10911 (UNDER)

R3. g: PAY/AGE/ASY Field

10913 (FROM-MIN)

Code # given as 6 digit whole number (000 001-999 995)

10914 (TO)

10916 (FROM)

000 000 Inap, 10301, 10901, 10902, 10903

10917 (TO)

10919 (FROM)

10920 (TO-MAX)

10922 (OVER)

Var #

10923 R3A. Do any of the (other) employer contribution formulas depend on the Social Security taxable wage base (SWB)?

- 1. a - YES, ACTUAL SWB IN EFFECT EACH YEAR
- 2. b - YES, CAREER AVERAGE SWB CALCULATED EACH YEAR
- 3. c - YES, CAREER AVERAGE SWB ESTIMATED IN YEAR: 19__
- 4. d - NO

- 7. z - OTHER

- 9. NA

- 0. Inap, 10301, 10901, 10902

10924 R3A. YEAR 19__ (01-95, 99)

- 00. Inap, 10301, 10901, 10902

10925 R3B. Are the (other) employer contribution formulas based on wages and salaries earned during specific calendar time periods, or during all years covered by this pension plan?

- 1. a - COVERS ALL YEARS: NO SPECIFIC DATES CITED.
- 2. b - BEFORE:
- 3. c - AFTER:
- 4. d - FROM:
TO:

- 7. z - OTHER

- 9. NA

- 0. Inap, 10301, 10901, 10902

10926 R3B. BEFORE/AFTER/FROM: MONTH (01-12, 99)

- 00. Inap, 10301, 10901, 10902

10927 R3B. BEFORE/AFTER/FROM: YEAR (01-95, 99)

- 00. Inap, 10301, 10901, 10902

10928 R3B. TO: MONTH (01-12, 99)

- 00. Inap, 10301, 10901, 10902

10929 R3B. TO: YEAR (01-95, 99)

- 00. Inap, 10301, 10901, 10902

Var #

10930

R3C. Are participants eligible for employer contributions for each year they meet minimum employment requirements, or does the amount of the contribution depend on the actual number of hours worked each year?

- 01. a - FULL CONTRIBUTION FOR EACH YEAR EMPLOYED; NO MINIMUM WORK REQUIREMENTS
- 02. b - CONTRIBUTION EITHER FULL OR ZERO; FULL CONTRIBUTION IS GIVEN FOR EACH YEAR WORKED A MINIMUM OF:
#HOURS PER YEAR
- 03. c - #WEEKS PER YEAR, AND
#HOURS PER WEEK
- 04. d - #MONTHS PER YEAR, AND
#HOURS PER MONTH
- 05. e - CONTRIBUTION A PRORATED FRACTION FROM ZERO TO ONE ASY; FULL CONTRIBUTION IS GIVEN FOR EACH YEAR WORKED A MINIMUM OF:
#HOURS PER YEAR
- 06. f - #WEEKS PER YEAR, AND
#HOURS PER WEEK
- 07. g - #MONTHS PER YEAR, AND
#HOURS PER MONTH
- 08. h - CONTRIBUTION A PRORATED FRACTION FROM ZERO TO MORE THAN ONE ASY; FULL CONTRIBUTION GIVEN FOR EVERY:
#HOURS PER YEAR
- 09. i - #WEEKS PER YEAR, AND
#HOURS PER WEEK
- 10. j - #MONTHS PER YEAR, AND
#HOURS PER MONTH

- 97. z - OTHER

- 99. NA

- 00. Inap, 10301, 10901, 10902

Var #

10931 R3C. #HOURS PER YEAR (0001-2496, 9999)
0000. Inap, 10301, 10901, 10902

10932 R3C. #WEEKS PER YEAR (01-52, 99)
00. Inap, 10301, 10901, 10902

10933 R3C. #HOURS PER WEEK (01-48, 99)
00. Inap, 10301, 10901, 10902

10934 R3C. #MONTHS PER YEAR (01-12, 99)
00. Inap, 10301, 10901, 10902

10935 R3C. #HOURS PER MONTH (001-208, 999)
000. Inap, 10301, 10901, 10902

Var #

10936 R3D. To receive any of the above employer contributions, are there any other special requirements?

- 01. a - NO OTHER SPECIAL REQUIREMENTS (EXCEPT MATCHING AMOUNTS)
- 02. b - EMPLOYER APPROVAL REQUIRED
- 03. c - PAST SERVICE REQUIRED
- 04. d - IF PLAN PARTICIPANT ON:
- 05. e - IF PLAN PARTICIPANT BEFORE:
- 06. f - IF PLAN PARTICIPANT AFTER:
- 07. g - IF PLAN PARTICIPANT FROM:/TO:

- 97. z - OTHER

- 99. NA

- 00. Inap, 10301, 10901, 10902

10937 R3D. ON/BEFORE/AFTER/FROM: MONTH (01-12, 99)

- 00. Inap, 10301, 10901, 10902

10938 R3D. ON/BEFORE/AFTER/FROM: YEAR (01-95, 99)

- 00. Inap, 10301, 10901, 10902

10939 R3D. TO: MONTH (01-12, 99)

- 00. Inap, 10301, 10901, 10902

10940 R3D. TO: YEAR (01-95, 99)

- 00. Inap, 10301, 10901, 10902

Var #

10941 R3E. When does the employer begin to make these (other) regular annual contributions on behalf of the participant?

1. a - AT ONSET OF EMPLOYMENT
2. b - AFTER EMPLOYEE REACHES AGE:
3. c - AFTER EMPLOYEE COMPLETES FIRST # YRS:
4. d - AFTER EMPLOYEE REACHES AGE:
 OR AFTER COMPLETES FIRST # YRS:
5. e - AFTER EMPLOYEE REACHES AGE:
 AND AFTER COMPLETES FIRST # YRS:

7. z - OTHER

9. NA

0. Inap, 10301, 10901, 10902

10942 R3E. AGE (18-85, 99)

00. Inap, 10301, 10901, 10902

10943 R3E. YEARS (01-50, 99)

00. Inap, 10301, 10901, 10902

Var #

10944 R3F. Is there a maximum age or service years after which participants no longer receive these (other) regular employer contributions?

- 1. a - YES, MAXIMUM AGE:
- 2. b - YES, MAXIMUM ASY:
- 3. c - YES, MAXIMUM AGE PLUS ASY:
- 4. d - YES, WHEN OVER MAXIMUM AGE:
OR OVER MAXIMUM ASY:
- 5. e - YES, WHEN OVER MAXIMUM AGE:
AND OVER MAXIMUM ASY:
- 6. f - NO
- 7. z - OTHER
- 9. NA
- 0. Inap, 10301, 10901, 10902

10945 R3F. DEFINE ASY:

- Code ASY # (01-18)
- 99. NA
- 00. NOT USED; Inap, 10301, 10901, 10902

See ASY MC for combination codes.

10946 R3F. AGE (18-85, 99)

- 00. Inap, 10301, 10901, 10902

10947 R3F. ASY (01-50, 99)

- 00. Inap, 10301, 10901, 10902

10948 R3F. AGE + ASY (001-150, 999)

- 000. Inap, 10301, 10901, 10902

Var #

11001 R3G. What is the vesting schedule that applies to these other employer contributions?

0 in 11004-11033

1. a - SAME AS: _____

0 in 11003-11033

2. b - IMMEDIATE 100% VESTING FROM TIME OF INITIAL PARTICIPATIONS

0 in 11003, 11011-11033

3. c - CLIFF VESTING: VESTING INCREASES FROM 0% TO 100% WHEN:

0 in 11003-11010

4. d - STEP VESTING:
BEGINS AT:

0 in 11003-11033

5. e - NO VESTING SCHEDULE CITED

7. z - OTHER

9. NA

0. Inap, 10301, 10901, 10902

11002 R3G. DEFINE ASY

Code ASY #01-18

99. NA

00. NOT USED; Inap, 10301, 10901, 10902, 11001

Use ASY MC for combination codes.

11003 R3G. SAME AS: _____

00. Inap, 10301, 10901, 10902, 11001

01. MMAN1

02. MMAN2

03. MMAN3

04. MVOL1

05. MVOL2

06. MVOL3

07. CTE1

08. CTE2

09. CTE3

10. COE1

11. COE2

12. COE3

13. F2

Make card

97. OTHER

99. NA

Var #

CLIFF VESTING

- 11004 If c: AGE (18-85, 99)
 00. Inap, 10301, 10901, 10902, 11001
- 11005 If c: ASY (01-50, 99)
 00. Inap, 10301, 10901, 10902, 11001
- 11006 If c: AGE + ASY (001-150, 999)
 000. Inap, 10301, 10901, 10902, 11001
- 11007 If c: AGE OR ASY - AGE (18-85, 99)
 00. Inap, 10301, 10901, 10902, 11001
- 11008 If c: AGE OR ASY - ASY (01-50, 99)
 00. Inap, 10301, 10901, 10902, 11001
- 11009 If c: AGE AND ASY - AGE (18-85, 99)
 00. Inap, 10301, 10901, 10902, 11001
- 11010 If c: AGE AND ASY - ASY (01-50, 99)
 00. Inap, 10301, 10901, 10902, 11001

Var #

STEP VESTING BEGINS AT _____ % WHEN:

11011 If d: ___% to 2 decimals (0001-9500, 9999)

0000. Inap, 10301, 10901, 10902, 11001

11012 If d: AGE (18-85, 99)

00. Inap, 10301, 10901, 10902, 11001

11013 If d: ASY (01-50, 99)

00. Inap, 10301, 10901, 10902, 11001

11014 If d: AGE + ASY (001-150, 999)

000. Inap, 10301, 10901, 10902, 11001

11015 If d: AGE OR ASY - AGE (18085, 99)

00. Inap, 10301, 10901, 10902, 11001

11016 If d: AGE OR ASY - ASY (01-50, 99)

00. Inap, 10301, 10901, 10902, 11001

11017 If d: AGE AND ASY - AGE (18-85, 99)

00. Inap, 10301, 10901, 10902, 11001

11018 If d: AGE AND ASY - ASY (01-50, 99)

00. Inap, 1301, 10901, 10902, 11001

Var #

STEP VESTING REACHES: ___% WHEN:

11019 If d: ___% to 2 decimals (0001-9500, 9999)

0000. Inap, 10301, 10901, 10902, 11001

11020 If d: AGE (18-85, 99)

00. Inap, 10301, 10901, 10902, 11001

11021 If d: ASY (01-50, 99)

00. Inap, 10301, 10901, 10902, 11001

11022 If d: AGE + ASY (001-150, 999)

000. Inap, 10301, 10901, 10902, 11001

11023 If d: AGE OR ASY - AGE (18-85, 99)

00. Inap, 10301, 10901, 10902, 11001

11024 If d: AGE OR ASY - ASY (01-50, 99)

00. Inap, 10301, 10901, 10902, 11001

11025 If d: AGE AND ASY - AGE (18-85, 99)

00. Inap, 10301, 10901, 10902, 11001

11026 If d: AGE AND ASY - ASY (01-50, 99)

00. Inap, 10301, 10901, 10902, 11001

Var #

STEP VESTING REACHES 100% WHEN:

- 11027 If d: AGE (18-85, 99)
00. Inap, 10301, 10901, 10902, 11001
- 11028 If d: ASY (01-50, 99)
00. Inap, 10301, 10901, 10902, 11001
- 11029 If d: AGE + ASY (001-150, 999)
000. Inap, 10301, 10901, 10902, 11001
- 11030 If d: AGE OR ASY - AGE (18-85, 99)
00. Inap, 10301, 10901, 10902, 11001
- 11031 If d: AGE OR ASY - ASY (01-50, 99)
00. Inap, 10301, 10901, 10902, 11001
- 11032 If d: AGE AND ASY - AGE (18-85, 99)
00. Inap, 10301, 10901, 10902, 11001
- 11033 If d: AGE AND ASY - ASY (01-50, 90)
00. Inap, 10301, 10901, 10902, 11001

Var #

11034

R3H. Can vested participants at their own discretion withdraw any of these employer contributions while still employed?

- 01. a - YES
- 02. b - YES, AFTER AGE:
- 03. c - YES, AFTER ASY:
- 04. d - YES, AFTER AGE: OR ASY:
- 05. e - YES, AFTER AGE: AND ASY:
- 06. f - YES, AFTER AGE PLUS ASY EQUALS:
- 07. g - NO, CANNOT WITHDRAW UNTIL RETIRE OR TERMINATE EMPLOYMENT

- 97. z - OTHER

- 99. NA

- 00. Inap, 10301, 10901, 10902

11035

R3H. DEFINE ASY

- Code ASY # (01-18) _____
- 99. NA
- 00. Inap, 10301, 10901, 10902

See ASY MC for combination codes.

11036

R3H. AGE (18-85, 99)

- 00. Inap, 10301, 10901, 10902

11037

R3H. ASY (01-50, 99)

- 00. Inap, 10301, 10901, 10902

11038

R3H. AGE + ASY (001-150, 999)

- 000. Inap, 10301, 10901, 10902

Var #

11501 S1. Does the employer make any lump-sum contributions at the time of retirement to participants that qualify for normal retirement, including any contributions to achieve some minimum retirement benefit?

1. YES

0 in
11502-11529
and
scr 116-117

5. NO

9. NA

11502 S1A. SUMMARY: # of CLS's

0 in
11603-11629,
11703-11729

1. ONE

CLS1 = 11503-11529
CLS2 = 11603-11629
CLS3 = 11703-11729

0 in
11703-11729

2. TWO

3. THREE

0. Inap, 11401

11503 S1A. How is the lump-sum contribution (CLS) at the time of normal retirement determined?

0 in
11508-11522

- 02. b - FIXED DOLLAR AMOUNT:
- 03. c - FIXED PERCENTAGE OF PAY:
UP TO MAXIMUM AMOUNT:
- 04. d - FIXED PERCENTAGE PAY AT OR BELOW SWB:
- 05. e - FIXED PERCENTAGE PAY ABOVE SWB:
- 06. f - FIXED PERCENTAGE PAY ABOVE SWB:
UP TO MAXIMUM CONTRIBUTION:

0 in
11506-11507

07. g - VARYING DOLLAR OR PERCENTAGE:

0 in
11508-11522

- 08. h - AUGMENT TO ACHIEVE MINIMUM ACCOUNT BALANCE OF:
- 09. i - AUGMENT TO ACHIEVE A MINIMUM REGULAR PAYMENT OF:
- 10. j - AUGMENT TO ACHIEVE A MINIMUM REGULAR PAYMENT OF:

0 in
11506-11522

- 11. k - AUGMENT TO REPLACE SS UNTIL ELIGIBLE FULL BENEFITS
- 12. l - AUGMENT TO REPLACE SS UNTIL ELIGIBLE REDUCED BENEFITS
- 13. m - AUGMENT TO REPLACE SS, FULL OR REDUCED NOT CITED
- 97. z - OTHER
- 99. NA

00. Inap, 11501, 11502

11504

SlA. CHECK: PRE TAX

1. PRE TAX
2. POST TAX
9. NA
0. NOT CITED; Inap, 11501, 11502, 11503

11505

SlA. DEFINE ASY:

- Code ASY # (01-18)
99. NA
 00. NOT USED; Inap, 11501, 11502, 11503

Use ASY MC for combination codes.

11506

SlA. If a-f, h-j: \$ Dollar field (000 000-999 995, 999 999)

- 999 996. NO MAXIMUM
- 000 000. Inap, 11501, 11502, 11503

11507

SlA. If a-f, h-j: % Percent field to 2 decimals (001-9501, 9999)

0000. Inap, 11501, 11502, 11503

11508

SlA. If g: "\$ AMOUNT" BOX

1. \$ AMOUNT
2. % PAY
3. % (PAY > SWB)
4. % (PAY ≤ SWB)
7. OTHER
9. NA
0. Inap, 11501, 11502, 11503

11509

SlA. If g: "PAY" BOX

1. PAY
2. AGE
3. ASY
4. AGE + ASY
7. OTHER
9. NA
0. Inap, 11501, 11502, 11503

Var #

1151 (UNDER) S1A. \$ or % Field to 2 decimals (000 000 01-999 995 00,
-9)

11512 (FROM-MIN) 000 000 00. Inap, 11501, 11502, 11503

11515 (FROM) -9. NA

11518 (FROM)

11521 (OVER)

11511 (UNDER) S1A. PAY/AGE/ASY Field (000 001-999 995, 999 999)

11513 (FROM-MIN) Code number given as a 6 digit whole #

11514 (TO)

000 000. Inap, 11501, 11502, 11503

11516 (FROM)

11517 (TO)

11519 (FROM)

11520 (TO-MAX)

11522 (OVER)

11523 S1B. Do any of the above lump-sum contribution formulas depend on the
Social Security taxable wage base (SWB)?

1. a - YES, ACTUAL SWB IN EFFECT AT RETIREMENT
2. b - YES, CAREER AVERAGE SWB CALCULATED AT RETIREMENT
3. c - YES, CAREER AVERAGE SWB ESTIMATED IN YEAR: _____
4. d = NO

7. z - OTHER

9. NA

0. Inap, 11501, 11502, 11503

11524 S1B. YEAR (01-95, 99)

00. Inap, 11501, 11502, 11503

Var #

11525 S1C. To receive the lump-sum employer contributions, are there any other special requirements?

- 01. a - NO OTHER SPECIAL REQUIREMENTS (EXCEPT MATCHING AMOUNTS)
- 02. b - EMPLOYER APPROVAL REQUIRED
- 03. c - PAST SERVICE REQUIRED
- 04. d - IF PLAN PARTICIPANT ON:
- 05. e - IF PLAN PARTICIPANT BEFORE:
- 06. f - IF PLAN PARTICIPANT AFTER:
- 07. g - IF PLAN PARTICIPANT FROM:/TO:

- 97. z - OTHER

- 99. NA

- 00. Inap, 11501, 11502, 11503

11526 S1C. ON/BEFORE/AFTER/FROM: MONTH (01-12, 99)

- 00. Inap, 11501, 11502, 11503

11527 S1C. ON/BEFORE/AFTER/FROM: YEAR (01-95, 99)

- 00. Inap, 11501, 11502, 11503

11528 S1C. TO: MONTH (01-12, 99)

- 00. Inap, 11501, 11502, 11503

11529 S1C. TO: YEAR (01-95, 99)

- 00. Inap, 11501, 11502, 11503

Var #

11809

S2. Express how contributions from all sources to each participant are determined, including any other minimums or maximums on contributions, using the terms defined in the above questions (MAN, MMAN, VOL, VMOL, CTE, COE, CLS), and standard notation.
CNRT#1=

Code 120-digit formula

11809

CNRT #1 formula

11810

S2A. Does this pension plan specify the rate at which these contributions accrue interest?

1. YES

0 in
11811-
11812

5. NO

9. NA

11811

S2B. How is the annual rate determined?

1. a - FIXED RATE: · %

2. b - VARYING RATE DEPENDING ON COMPANY PERFORMANCE, NO MIN. RATE

3. c - VARYING RATE DEPENDING ON COMPANY PERFORMANCE, WITH MIN.:

 · %

4. d - VARYING RATE DEPENDING ON ACTUAL RETURNS ON INVESTED FUNDS

7. z - OTHER

9. NA

0. Inap, 11810

11812

S2B. % Percent to 2 decimals (0001-9501, 9999)

0000. Inap, 11810

CRAS1 = V11813 - 11831

Var #

S3. Aside from vesting requirements, what minimum combinations of age and actual service years are required (CRAS) to receive annuity benefits from this defined contribution plan?

11813

S3. DEFINE ASY:

Code ASY # (01-18)

99. NA

00. NOT USED

Use ASY MC for combination codes.

11814

S3-a. NO MINIMUM AGE OR ASY

1. "a" Checked

9. NA

0. "a" not checked

11815

S3-b. MINIMUM AGE OF: ___ AND NO MINIMUM ASY

Code age given (18-85)

99. NA

00. Inap

11816

S3-c. MINIMUM ASY OF: ___ AND NO MINIMUM AGE

Code ASY (01-50)

99. NA

00. Inap

11817

S3-d. MINIMUM AGE OF: ___ (18-85, 99)

00. Inap

11818

S3-d. AND MINIMUM ASY OF: ___ (01-50, 99)

00. Inap

Var #

11819 S3-e. MINIMUM AGE OF: ____ (18-85, 99)

00. Inap

11820 S3-e. AND MINIMUM ASY OF: ____ (01-50, 99)

00. Inap

11821 S3-f. MINIMUM AGE OF: ____ (18-85, 99)

00. Inap

11822 S3-f. AND MINIMUM ASY OF: ____ (01-50, 99)

00. Inap

11823 S3-g. AGE PLUS ASY OF: _____, NO MIN AGE OR ASY

Code AGE + ASY (001-150)

999. NA

000. Inap

11824 S3-h. AGE PLUS ASY OF: ____ (001-150, 999)

000. Inap

11825 S3-h. AND MINIMUM AGE OF: ____ (18-85, 99)

Var #

11826 S3-i. AGE PLUS ASY OF: ____ (001-150, 999)

000. Inap

11827 S3-i. AND MINIMUM ASY OF: ____ (01-50, 99)

00. Inap

11828 S3-j. AGE PLUS ASY OF: ____ (001-150, 999)

000. Inap

11829 S3-j. AND MINIMUM AGE OF: ____ (18-85, 99)

00. Inap

11830 S3-j. AND MINIMUM ASY OF: ____ (01-50, 99)

00. Inap

11831 S3-z. OTHER

1. "z" is checked

9. NA

0. "z" not checked

Var #

S4. For vested participants that terminate employment before retirement, when are they eligible to receive regular annuity payments based on all contributions to their accounts, including accrued interest?

11912

S4. DEFINE ASY:

Code ASY # (01-18)

99. NA

00. NOT USED

Use ASY MC for combination codes.

11913

S4-a. NO MINIMUM AGE OR ASY

1. "a" Checked

9. NA

0. "a" not checked

11914

S4-b. MINIMUM AGE OF: ____ AND NO MINIMUM ASY

Code age given (18-85)

99. NA

00. Inap

11915

S4-c. MINIMUM ASY OF: ____ AND NO MINIMUM AGE

Code ASY (01-50)

99. NA

00. Inap

11916

S4-d. MINIMUM AGE OF: ____ (18-85, 99)

00. Inap

11917

S4-d. AND MINIMUM ASY OF: ____ (01-50, 99)

Var #

11918 S4-e. MINIMUM AGE OF: ____ (18-85, 99)

00. Inap

11919 S4-e. AND MINIMUM ASY OF: ____ (01-50, 99)

00. Inap

11920 S4-f. MINIMUM AGE OF: ____ (18-85, 99)

00. Inap

11921 S4-f. AND MINIMUM ASY OF: ____ (01-50, 99)

00. Inap

11922 S4-g. AGE PLUS ASY OF: ____, NO MIN AGE OR ASY

Code AGE + ASY (001-150)

999. NA

000. Inap

11923 S4-h. AGE PLUS ASY OF: ____ (001-150, 999)

000. Inap

11924 S4-h. AND MINIMUM AGE OF: ____ (18-85, 99)

00. Inap

Var #

11925 S4-1. AGE PLUS ASY OF: ____ (001-150, 999)

000. Inap

11926 S4-1. AND MINIMUM ASY OF: ____ (01-50, 99)

00. Inap

11927 S4-j. AGE PLUS ASY OF: ____ (001-150, 999)

000. Inap

11928 S4-j. AND MINIMUM AGE OF: ____ (18-85, 99)

00. Inap

11929 S4-j. AND MINIMUM ASY OF: ____ (01-50, 99)

00. Inap

11930 S4-z. OTHER

1. "z" is checked

9. NA

"z" not checked

Var #

11931 T1. Are participants in this pension plan subject to a mandatory retirement age?

1. YES

0 in
11932

5. NO

9. NA

11932 T1A. What is the mandatory retirement age? (18-85, 99)

00. Inap, 11931

12001 T2. For participants that retire earlier or later than the normal retirement date, does the employer make any additional lump-sum contribution to the participant's account?

1. YES

0 in
12002-12029
and scr
121-122

5. NO

9. NA

12002 T3. SUMMARY: # of CLS's

0 in
12103-12129,
12203-12229

1. ONE

CLS4 = 12003-12029
CLS5 = 12103-12129
CLS6 = 12203-12229

0 in
12203-12229

2. TWO

3. THREE

0. Inap

Var #

12003

T3. How is the additional lump-sum contribution (CLS) determined?

- | | | |
|-------------------------|------|--|
| 0 in
12008-
12029 | —02. | b - FIXED DOLLAR AMOUNT: |
| | —03. | c - FIXED PERCENTAGE OF PAY:
UP TO MAXIMUM AMOUNT: |
| | —04. | d - FIXED PERCENTAGE PAY AT OR BELOW SWB: |
| | —05. | e - FIXED PERCENTAGE PAY ABOVE SWB: |
| | —06. | f - FIXED PERCENTAGE PAY ABOVE SWB:
UP TO MAXIMUM CONTRIBUTION: |
| | | |
| 0 in
12006-
12007 | —07. | g - VARYING DOLLAR OR PERCENTAGE: |
| | | |
| 0 in
12008-
12029 | —08. | h - AUGMENT TO ACHIEVE MINIMUM ACCOUNT BALANCE OF: |
| | —09. | i - AUGMENT TO ACHIEVE A MINIMUM REGULAR PAYMENT OF: |
| | —10. | j - AUGMENT TO ACHIEVE A MINIMUM REGULAR PAYMENT OF:
FINAL PAY |
| | | |
| 0 in
12006-
12022 | —11. | k - AUGMENT TO REPLACE SS UNTIL ELIGIBLE FULL BENEFITS |
| | —12. | l - AUGMENT TO REPLACE SS UNTIL ELIGIBLE REDUCED BENEFITS |
| | —13. | m - AUGMENT TO REPLACE SS, FULL OR REDUCED NOT CITED |
| | —97. | z - OTHER |
| | —99. | NA |
| | | |
| | 00. | Inap, 12001, 12002 |

- 12004 T3. CHECK: PRE TAX
1. PRE TAX
 2. POST TAX
 9. NA
 0. NOT CITED; Inap, 12001, 12002, 12003
- 12005 T3. DEFINE ASY:
- Code ASY # (01-18) _____
99. NA
00. NOT USED; Inap, 12001, 12002, 12003
- Use ASY MC
for combination
codes.
- 12006 T3. If b-f, h-j: \$ Dollar field (000 000-999 995, 999 999)
- 999 996. NO MAXIMUM
- 000 000. Inap, 12001, 12002, 12003
- 12007 T3. If b-f, h-j: % Percent field to 2 decimals (001-9501, 9999)
0000. Inap, 12001, 12002, 12003
- 12008 T3. If g: "\$ AMOUNT" BOX
1. \$ AMOUNT
 2. % PAY
 3. % (PAY > SWB)
 4. % (PAY ≤ SWB)
 7. OTHER
 9. NA
 0. Inap, 12001, 12002, 12003
- 12009 T3. If g: "PAY" BOX
1. PAY
 2. AGE
 3. ASY
 4. AGE + ASY
 7. OTHER
 9. NA
 0. Inap, 12001, 12002, 12003

Var #

12010 (UNDER) T3. \$ or % Field to 2 decimals (000 000 01-999 995 00, -9)

12012 (FROM-MIN) 000 000 00. Inap, 12001, 12002, 12003

12015 (FROM) -9. NA

12018 (FROM)

12021 (OVER)

12011 (UNDER) T3. PAY/AGE/ASY Field (000 001-999 995, 999 999)

12013 (FROM-MIN) Code number given as a 6 digit whole #

12014 (TO) 000 000. Inap, 12001, 12002, 12003

12016 (FROM)

12017 (TO)

12019 (FROM)

12020 (TO-MAX)

12022 (OVER)

12023 T3A. Do any of the above lump-sum contribution formulas depend on the Social Security taxable wage base (SWB)?

- 1. a - YES, ACTUAL SWB IN EFFECT AT RETIREMENT
- 2. b - YES, CAREER AVERAGE SWB CALCULATED AT RETIREMENT
- 3. c - YES, CAREER AVERAGE SWB ESTIMATED IN YEAR: _____
- 4. d - NO

7. z - OTHER

9. NA

0. Inap, 12001, 12002, 12003

12024 T3A. YEAR (01-95, 99)

00. Inap, 12001, 12002, 12003

Var #

12025

T3B. To receive the lump-sum employer contributions, are there any other special requirements?

- 01. a - NO OTHER SPECIAL REQUIREMENTS (EXCEPT MATCHING AMOUNTS)
- 02. b - EMPLOYER APPROVAL REQUIRED
- 03. c - PAST SERVICE REQUIRED
- 04. d - IF PLAN PARTICIPANT ON:
- 05. e - IF PLAN PARTICIPANT BEFORE:
- 06. f - IF PLAN PARTICIPANT AFTER:
- 07. g - IF PLAN PARTICIPANT FROM:/TO:

- 97. z - OTHER

- 99. NA

- 00. Inap, 12001, 12002, 12003

12026

T3B. ON/BEFORE/AFTER/FROM: MONTH (01-12, 99)

- 00. Inap, 12001, 12002, 12003

12027

T3B. ON/BEFORE/AFTER/FROM: YEAR (01-95, 99)

- 00. Inap, 12001, 12002, 12003

12028

T3B. TO: MONTH (01-12, 99)

- 00. Inap, 12001, 12002, 12003

12029

T3B. TO: YEAR (01-95, 99)

- 00. Inap, 12001, 12002, 12003

12301

W1. Does this pension plan include any special provisions for disability retirement benefits?

1. YES

5. NO

9. NA

0 in 12302- 12311 and scr 124-126	————— —————
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Var #

12302 W1A. Does this pension plan include a provision that increases the vesting percentage for participants that were not fully vested at the time of disablement?

- 01. a - YES, INCREASED TO 100% AT TIME OF DISABLEMENT
- 02. b - YES, INCREASED TO 100% WHEN ABOVE MINIMUM AGE: _____
- 03. c - YES, INCREASED TO 100% WHEN ABOVE MINIMUM ASY: _____
- 04. d - YES, INCREASED TO 100% WHEN ABOVE MINIMUM AGE + ASY: _____
- 05. e - YES, INCREASED TO 100% WHEN ABOVE MINIMUM AGE: _____
OR ABOVE MINIMUM ASY: _____
- 06. f - YES, INCREASED TO 100% WHEN ABOVE MINIMUM AGE: _____
AND ABOVE MINIMUM ASY: _____
- 07. g - NO, VESTING PERCENTAGE IS NOT INCREASED ABOVE AMOUNT CALCULATED AT TIME OF DISABLEMENT
- 97. z - OTHER
- 99. NA
- 00. Inap, 12301

12303 W1A. DEFINE ASY

- Code ASY # (01-18)
- 99. NA
- 00. NOT USED; Inap, 12301

Use ASY MC for combination codes.

12304 W1A. AGE (18-85, 99)

- 00. Inap, 12301

12305 W1A. ASY (01-50, 99)

- 00. Inap, 12301

12306 W1A. AGE + ASY (001-150, 999)

- 000. Inap, 12301

Var #

12307 WLB. After disablement, do participants continue to accrue service credit until they are eligible for early or normal retirement benefits, or are no additional service years credited after the time of disablement?

- 1. a - ACCRUE ONE ASY FOR EACH YEAR DISABLED, NO MAXIMUM
- 2. b - ACCRUE ONE ASY FOR EACH YEAR, TO MAXIMUM AGE: _____
- 3. c - ACCRUE ONE ASY FOR EACH YEAR, TO MAXIMUM ADDITIONAL ASY: _____
- 4. d - ACCRUE ONE ASY FOR EACH YEAR, TO MAXIMUM TOTAL ASY: _____
- 5. e - ACCRUE ONE ASY FOR EACH YEAR, TO TOTAL AGE+ASY: _____
- 6. f - NO ADDITIONAL SERVICE YEARS CREDITED
- 7. z - OTHER
- 9. NA
- 0. Inap, 12301

12308 WLB. DEFINE ASY

- Code ASY # (01-18) _____
- 99. NA
- 00. NOT USED; Inap, 12301

Use ASY MC for combination codes.

12309 WLB. AGE (18-85, 99)

- 00. Inap, 12301

12310 WLB. ASY (01-50, 99)

- 00. Inap, 12301

12311 WLB. AGE + ASY (001-150, 999)

- 000. Inap, 12301

Var #

12401 W2. Does the employer make any lump-sum contributions to participant's accounts at the time of disablement, including any contributions to achieve some minimum retirement benefit?

1. YES

0 in
12402-12434
and scr
125-126

5. NO

9. NA

0. Inap, 12301

12402 W2. SUMMARY: # of CLS's

0 in
12503-12534,
12603-12634

1. ONE

CLS7 = 12403-12434
CLS8 = 12503-12534
CLS9 = 12603-12634

0 in
12603-12634

2. TWO

3. THREE

0. Inap, 12301, 12401

Var #

12403

W2A. How is the lump-sum contribution (CLS) at the time of normal retirement determined?

0 in
12408-
12422

- 02. b - FIXED DOLLAR AMOUNT:
- 03. c - FIXED PERCENTAGE OF PAY:
UP TO MAXIMUM AMOUNT:
- 04. d - FIXED PERCENTAGE PAY AT OR BELOW SWB:
- 05. e - FIXED PERCENTAGE PAY ABOVE SWB:
- 06. f - FIXED PERCENTAGE PAY ABOVE SWB:
UP TO MAXIMUM CONTRIBUTION:

0 in
12406-
12407

- 07. g - VARYING DOLLAR OR PERCENTAGE:

0 in
12408-
12422

- 08. h - AUGMENT TO ACHIEVE MINIMUM ACCOUNT BALANCE OF:
- 09. i - AUGMENT TO ACHIEVE A MINIMUM REGULAR PAYMENT OF:
- 10. j - AUGMENT TO ACHIEVE A MINIMUM REGULAR PAYMENT OF:
FINAL PAY

0 in
12406-
12422

- 11. k - AUGMENT TO REPLACE SS UNTIL ELIGIBLE FULL BENEFITS
- 12. l - AUGMENT TO REPLACE SS UNTIL ELIGIBLE REDUCED BENEFITS
- 13. m - AUGMENT TO REPLACE SS, FULL OR REDUCED NOT CITED
- 97. z - OTHER
- 99. NA

00. Inap, 12301, 12401, 12402

Var #
12404

W2A. CHECK: PRE TAX

- 1. PRE TAX
- 2. POST TAX
- 9. NA
- 0. NOT CITED; Inap, 12301, 12401, 12402, 12403

12405

W2A. DEFINE ASY:

Code ASY # (01-18)

Use ASY MC for combination codes.

- 99. NA
- 00. NOT USED; Inap, 12301, 12401, 12402, 12403

12406

W2A. If b-f, h-j: \$ Dollar field (000 000-999 995, 999 999)

- 999 996. NO MAXIMUM
- 000 000. Inap, 12301, 12401, 12402, 12403

12407

W2A. If b-f, h-j: % Percent Field to 2 decimals (001-9501, 9999)

- 0000. Inap, 12301, 12401, 12402, 12403

12408

W2A. If g: "\$ AMOUNT" BOX

- 1. \$ AMOUNT
- 2. % PAY
- 3. % (PAY>SWB)
- 4. % (PAY≤SWB)
- 7. OTHER
- 9. NA
- 0. Inap, 12301, 12401, 12402, 12403

12409

W2A. If g: "PAY" BOX

- 1. PAY
- 2. AGE
- 3. ASY
- 4. AGE + ASY
- 7. OTHER
- 9. NA
- 0. Inap, 12301, 12401, 12402, 12403

Var #

12410 (UNDER) W2A. \$ or % Field to 2 decimals (000 000 01-999 995 00, -9)

12412 (FROM-MIN) 000 000 00. Inap, 12301, 12401, 12402, 12403

12415 (FROM) -9. NA

12418 (FROM)

12421 (OVER)

12411 (UNDER) W2A. PAY/AGE/ASY Field (000 001-999 995, 999 999)

12413 (FROM-MIN) Code number given as a 6 digit whole #

12414 (TO)

000 000. Inap, 12301, 12401, 12402, 12403

12416 (FROM)

12417 (TO)

12419 (FROM)

12420 (TO-MAX)

12422 (OVER)

12423 W2B. Do any of the above lump-sum contribution formulas depend on the Social Security taxable wage base (SWB)?

1. a - YES, ACTUAL SWB IN EFFECT AT RETIREMENT
2. b- YES, CAREER AVERAGE SWB CALCULATED AT RETIREMENT
3. c - YES, CAREER AVERAGE SWB ESTIMATED IN YEAR: _____
4. d - NO

7. z - OTHER

9. NA

0. Inap, 12301, 12401, 12402, 12403

12424 W2B. YEAR (01-95, 99)

00. Inap, 12301, 12401, 12402, 12403

Var #

12425 W2C. Are the above lump-sum contribution formulas based on wages and salaries earned during specific calendar time periods, or during all years covered by this pension plan?

1. a - COVERS ALL YEARS: NO SPECIFIC DATES CITED
2. b - BEFORE:
3. c - AFTER:
4. d - FROM:/TO:

7. z - OTHER

9. NA

0. Inap, 12301, 12401, 12402, 12403

12426 W2C. BEFORE/AFTER/FROM: MONTH (01-12, 99)

00. Inap, 12301, 12401, 12402, 12403

12427 W2C. BEFORE/AFTER/FROM: YEAR (01-95, 99)

00. Inap, 12301, 12401, 12402, 12403

12428 W2C. TO: MONTH (01-12, 99)

00. Inap, 12301, 12401, 12402, 12403

12429 W2C. TO: YEAR (01-95, 99)

00. Inap, 12301, 12401, 12402, 12403

Var #

12430 W2D. To receive the lump-sum employer contributions, are there any other special requirements?

- 01. a - NO OTHER SPECIAL REQUIREMENTS (EXCEPT MATCHING AMOUNTS)
- 02. b - EMPLOYER APPROVAL REQUIRED
- 03. c - PAST SERVICE REQUIRED
- 04. d - IF PLAN PARTICIPANT ON:
- 05. e - IF PLAN PARTICIPANT BEFORE:
- 06. f - IF PLAN PARTICIPANT AFTER:
- 07. g - IF PLAN PARTICIPANT FROM:/TO:

- 97. z - OTHER

- 99. NA

- 00. Inap, 12301, 12401, 12402, 12403

12431 S1C. ON/BEFORE/AFTER/FROM: MONTH (01-12, 99)

- 00. Inap, 12301, 12401, 12402, 12403

12432 S1C. ON/BEFORE/AFTER/FROM: YEAR (01-95, 99)

- 00. Inap, 12301, 12401, 12402, 12403

12433 S1C. TO: MONTH (01-12, 99)

- 00. Inap, 12301, 12401, 12402, 12403

12434 S1C. TO: YEAR (01-95, 99)

- 00. Inap, 12301, 12401, 12402, 12403

Var #

12701 W3. Does this pension plan include any special provisions for the payment of benefits to the surviving beneficiaries or estates of participants whose death occurs before retirement?

1. YES

0 in
12702-12711
and scr
128-130

5. NO

9. NA

12702

W3A. Does this pension plan include a provision that increases the vesting percentage for participants that were not fully vested at the time of death?

- 01. a - YES, INCREASED TO 100% AT TIME OF DEATH
- 02. b - YES, INCREASED TO 100% WHEN ABOVE MINIMUM AGE: _____
- 03. c - YES, INCREASED TO 100% WHEN ABOVE MINIMUM ASY: _____
- 04. d - YES, INCREASED TO 100% WHEN ABOVE MINIMUM AGE+ASY: _____
- 05. e - YES, INCREASED TO 100% WHEN ABOVE MINIMUM AGE: _____

- 06. f - YES, INCREASED TO 100% WHEN OR ABOVE MINIMUM ASY: _____
- 06. f - YES, INCREASED TO 100% WHEN AND ABOVE MINIMUM AGE: _____
- 06. f - YES, INCREASED TO 100% WHEN AND ABOVE MINIMUM ASY: _____

07. g - NO, VESTING PERCENTAGE IS NOT INCREASED ABOVE AMOUNT CALCULATED AT TIME OF DEATH.

97. z - OTHER

99. NA

00. Inap, 12701

12703

W3A. DEFINE ASY

Code ASY # (01-18)

99. NA

00. NOT USED; Inap, 12701

Use ASY MC
for combination
codes.

12704

W3A. AGE (18-85, 99)

00. Inap, 12701

12705

W3A. ASY (01-50, 99)

00. Inap, 12701

12706

W3A. AGE + ASY (001-150, 999)

000. Inap, 12701

Var #

12707

W3B. After the participant's death, does service credit continue to accrue until the participant would have been eligible for early or normal retirement benefits, or are no additional service years credited after the time of death?

- 01. a - ACCRUE ONE ASY FOR EACH YEAR, NO MAXIMUM
- 02. b - ACCRUE ONE ASY FOR EACH YEAR, TO MAXIMUM AGE: ___
- 03. c - ACCRUE ONE ASY FOR EACH YEAR, TO MAXIMUM ADDITIONAL ASY: ___
- 04. d - ACCRUE ONE ASY FOR EACH YEAR, TO MAXIMUM TOTAL ASY: ___
- 05. e - ACCRUE ONE ASY FOR EACH YEAR, TO TOTAL AGE PLUS ASY: ___
- 06. f - USE ASY AT THE TIME OF DEATH; AND GREATER OF AGE AT DEATH OR AGE: ___
- 07. g - NO ADDITIONAL AGE OR SERVICE YEARS CREDITED
- 97. z - OTHER
- 99. NA
- 00. Inap, 12701

12708

W3B. DEFINE ASY

- Code ASY # (01-18)
- 99. NA
- 00. NOT USED; Inap, 12701

Use ASY MC for combination codes.

12709

W3B. AGE (18-85, 99)

- 00. Inap, 12701

12710

W3B. ASY (01-50, 99)

- 00. Inap, 12701

12711

W3B. AGE + ASY (001-150, 999)

- 000. Inap, 12701

Var #

12801 W4. Does the employer make any lump-sum contributions to participants' accounts at the time of death, including any contributions to achieve some minimum retirement benefit?

1. YES

0 in
12802-
12829
and scr
129, 130

5. NO

9. NA

0. Inap, 12701

12802 S1A. SUMMARY: # of CLS's

0 in
12903-12929
13003-13029

1. ONE

CLS 10 = 12803-12829
CLS 11 = 12903-12929
CLS 12 = 13003-13029

0 in
13003-13029

2. TWO

3. THREE

0. Inap, 12601, 12701

Var #

12803

W4A. How is the additional lump-sum contribution (CLS) determined?

0 in
12808-
12822

- 02. b - FIXED DOLLAR AMOUNT:
- 03. c - FIXED PERCENTAGE OF PAY:
UP TO MAXIMUM AMOUNT:
- 04. d - FIXED PERCENTAGE PAY AT OR BELOW SWB:
- 05. e - FIXED PERCENTAGE PAY ABOVE SWB:
- 06. f - FIXED PERCENTAGE PAY ABOVE SWB:
UP TO MAXIMUM CONTRIBUTION:

0 in
12806-
12807

- 07. g - VARYING DOLLAR OR PERCENTAGE:

0 in
12808-
12822

- 08. h - AUGMENT TO ACHIEVE MINIMUM ACCOUNT BALANCE OF:
- 09. i - AUGMENT TO ACHIEVE A MINIMUM REGULAR PAYMENT OF:
- 10. j - AUGMENT TO ACHIEVE A MINIMUM REGULAR PAYMENT OF:
FINAL PAY

0 in
12806-
12822

- 11. k - AUGMENT TO REPLACE SS UNTIL ELIGIBLE FULL BENEFITS
- 12. l - AUGMENT TO REPLACE SS UNTIL ELIGIBLE REDUCED BENEFITS
- 13. m - AUGMENT TO REPLACE SS, FULL OR REDUCED NOT CITED
- 97. z - OTHER
- 99. NA

00. Inap, 12701, 12801, 12802

Var #

12804

W4A. CHECK: PRE TAX

- 1. PRE TAX
- 2. POST TAX

- 9. NA

- 0. NOT CITED; Inap, 12701, 12801, 12802, 12803

12805

W4A. DEFINE ASY:

Code ASY # (01-18)

99. NA

00. NOT USED; Inap, 12701, 12801, 12802, 12803

Use ASY MC for combination codes.

12806

W4A. If b-f, h-j: \$ DOLLAR field (000 000-999 995, 999 999)

999 999. NO MAXIMUM

000 000. Inap, 12701, 12801, 12802, 12803

12807

W4A. If b-f, h-j: % Percent Field to 2 decimals (0001-9501, 9999)

0000. Inap, 12701, 12801, 12802, 12803

12808

W4A. If g: "\$ AMOUNT" BOX

- 1. \$ AMOUNT
- 2. % PAY
- 3. % (PAY > SWB)
- 4. % (PAY ≤ SWB)

7. OTHER

9. NA

0. Inap, 12701, 12801, 12802, 12803

Var #
12809

W4A. If g: "PAY" BOX

- 1. PAY
- 2. AGE
- 3. ASY
- 4. AGE + ASY

- 7. OTHER

- 9. NA

- 0. Inap, 12701, 12801, 12802, 12803

12810 (UNDER) W4A. \$ or % Field to 2 decimals (000 000 01-999 995 00, -9)

12812 (FROM-MIN) 000 000 00. Inap, 12701, 12801, 12802, 12803

12815 (FROM)

-9. NA

12818 (FROM)

12821 (OVER)

12811 (UNDER) W4A. PAY/AGE/ASY Field (000 001-999 995, 999 999)

12813 (FROM-MIN) Code number given as a 6 digit whole #

12814 (TO)

000 000. Inap, 12701, 12801, 12802, 12803

12816 (FROM)

12817 (TO)

12819 (FROM)

12820 (TO-MAX)

12822 (OVER)

12823 W4B. Do any of the above lump-sum contribution formulas depend on the Social Security taxable wage base (SWB)?

- 1. a - YES, ACTUAL SWB IN EFFECT AT RETIREMENT
- 2. b - YES, CAREER AVERAGE SWB CALCULATED AT RETIREMENT
- 3. c - YES, CAREER AVERAGE SWB ESTIMATED IN YEAR: _____
- 4. d - NO

- 7. z - OTHER

- 9. NA

- 0. Inap, 12701, 12801, 12802, 12803

12824 W4B. YEAR (01-95, 99)

00. Inap, 12701, 12801, 12802, 12803

Var #

12825 W4C. To receive the lump-sum employer contributions, are there any other special requirements?

- 01. a - NO OTHER SPECIAL REQUIREMENTS (EXCEPT MATCHING AMOUNTS)
- 02. b - EMPLOYER APPROVAL REQUIRED
- 03. c - PAST SERVICE REQUIRED
- 04. d - IF PLAN PARTICIPANT ON:
- 05. e - IF PLAN PARTICIPANT BEFORE:
- 06. f - IF PLAN PARTICIPANT AFTER:
- 07. g - IF PLAN PARTICIPANT FROM:/TO:

- 97. z - OTHER

- 99. NA

- 00. Inap, 12701, 12801, 12802, 12803

12826 W4C. ON/BEFORE/AFTER/FROM: MONTH (01-12, 99)

- 00. Inap, 12701, 12801, 12802, 12803

12827 W4C. ON/BEFORE/AFTER/FROM: YEAR (01-95, 99)

- 00. Inap, 12701, 12801, 12802, 12803

12828 W4C. TO: MONTH (01-12, 99)

- 00. Inap, 12701, 12801, 12802, 12803

12829 W4C. TO: YEAR (01-95, 99)

- 00. Inap, 12701, 12801, 12802, 12803

13101 XI. Does this pension plan include a payment option available at the time of retirement under which benefits would continue to be paid to a surviving beneficiary?

1. YES

0 in
13102-13107

5. NO

9. NA

Var #

13102 X1A. Under which retirement circumstances is the survivor payment option available?

a - NORMAL RETIREMENT

- 1. CHECKED
- 9. NA to all of X1A
- 0. Not Checked; Inap, 12101

Use same code
for 13103-13107

- 13103 b - LATE RETIREMENT
- 13104 c - EARLY RETIREMENT
- 13105 d - VESTED DEFERRED RETIREMENT
- 13106 e - DISABILITY RETIREMENT
- 13107 z - OTHER

13108 X2. Excluding survivor benefits, does this pension plan include a provision for any lump-sum benefit payment upon the death of a retired participant?

- 1. YES
- 5. NO
- 9. NA

0 in
13109-
13117

13109 X2A. Under which retirement circumstances are the death benefit payments made?

a - NORMAL RETIREMENT

- 1. Checked
- 9. NA to all of X2A
- 0. Not Checked; Inap, 13108

Use same code
for 13110-13114

- 13110 b - LATE RETIREMENT
- 13111 c - EARLY RETIREMENT
- 13112 d - VESTED DEFERRED RETIREMENT
- 13113 e - DISABILITY RETIREMENT
- 13114 z - OTHER

Var #

13115 X2B. How are the lump-sum death benefits calculated for a retired participant?

1. a - FIXED DOLLAR AMOUNT: \$ _____
2. b - FIXED PERCENT YEARLY BENEFITS: _____ %

7. z - OTHER

9. NA

0. Inap, 13108

13116 X2B. \$ Dollar Field (000 001-999 995, 999 999)

000 000. Inap, 13108

13117 X2B. % Percent Field to 2 decimals (001-9501, 9999)

0000. Inap, 13108

13118 Y1. Record below any unusual characteristic(s) of this pension plan, or any other pertinent information needed to interpret the plan provisions as coded in Sections L-X.

13119 (Precoded in red pencil by Study Staff)

- 13120
1. General comments
 2. Specific comments on formulas
 3. Plan is not an annuity
 4. Eligibility requirements
 5. Inappropriate comments

 0. Inap, no (further) comments

13201 Pension Provider Weight

Weights sample to be representative of all pension providers, with each separate provider given equal weight. The weight is the reciprocal of the number of plans coded for each provider.

13202 Pension Plan Weight

Weights sample to be representative of all pension plans, with each separate plan given equal weight.